

RFP # 2014-MITA-01
Medicaid Information Technology Architecture
State Self-Assessment (MITA SS-A) 3.0 RFP
Round 1
Proposer Questions
01/12/15

Question ID:	1
Date Question Asked:	01/05/2015
Question:	Can the Agency share the budget for this project?
Section Number:	1.1
RFP Page Number:	7
Agency Answer:	No
Question ID:	2
Date Question Asked:	01/05/2015
Question:	Could we please get a phone number for the procurement office. Normally, Courier services require us to provide a phone number in addition to the address.
Section Number:	3.2.1
RFP Page Number:	15
Agency Answer:	The procurement office phone number is 334-244-3090. However, as defined in Section 1.8, any oral communications will be considered unofficial and non-binding to the Agency.
Question ID:	3
Date Question Asked:	01/05/2015
Question:	RFP instructs to include the "RFP Proposal Sheet, and the first page of this RFP". Could the Agency please clarify what is the RFP Proposal Sheet? We do understand that first page of the RFP is the Page 1 of the RFP.
Section Number:	3.2.7
RFP Page Number:	17
Agency Answer:	The RFP Proposal Sheet is the first page of the RFP containing Procurement Information, Instructions to Proposers, and Proposer Information. The Proposer must submit the completed RFP Proposal Sheet as defined in Section 3.2.7.
Question ID:	4

Date Question Asked:	01/05/2015
Question:	If the Proposer does not have any exceptions, do we still include blank, signed Attachment 9.2 in the proposal?
Section Number:	4.1.6
RFP Page Number:	23
Agency Answer:	No
Question ID: 5	
Date Question Asked:	01/05/2015
Question:	Page 25 states "A statement from the PROPOSER's counsel as to whether there is pending or current litigation which would impair PROPOSER's performance in a Contract under this RFP; "Could the Agency please clarify this requirement. Are we to insert a letter from our counsel or a statement in the proposal is sufficient.
Section Number:	4.3.1.10
RFP Page Number:	25
Agency Answer:	Either one as long as it is affirmed by the Proposer's counsel.
Question ID: 6	
Date Question Asked:	01/05/2015
Question:	RFP is requesting 3 references in both of these sections for similar size and scope. Section 4.4 does state that we could list Government references. This totals to six (6) references. Would the Agency be willing to relax this requirement and allow the proposers to list the same references for these two sections?
Section Number:	4.4 and 4.5
RFP Page Number:	27 and 29
Agency Answer:	No, a total of 6 references shall be provided in accordance to Section 4.4 and 4.5.
Question ID: 7	
Date Question Asked:	01/05/2015
Question:	<ul style="list-style-type: none"> a. Could the Agency share what changes have occurred since the MITA 2.0 assessment was performed? b. Who did the SSA MITA 2.0 assessment for the Agency? c. Will the report for MITA 2.0 assessment be available to the vendor community? d. What was the duration of MITA 2.0 assessment project? e. Did the MITA 2.0 assessment complete all required architectures (BA, IA and TA)?

Section Number:	5.2.1.1
RFP Page Number:	37
Agency Answer:	<p>a. Specific changes in the business areas have not been documented since the MITA 2.0 assessment was completed in 2010.</p> <p>b. Fox Systems, currently Cognosante, did the SSA MITA 2.0 assessment.</p> <p>c. Yes, the MITA 2.0 SS-A will be posted on the website.</p> <p>d. 7 months.</p> <p>e. No, IA was not included in the MITA 2.0 assessment.</p>
Question ID:	8
Date Question Asked:	01/05/2015
Question:	Could the Agency please share the actual system architecture of the current systems? This information will be important to address this section.
Section Number:	5.2.1.7.3
RFP Page Number:	38
Agency Answer:	<p>The Agency currently uses InterChange as its MMIS, and it is composed of different software components which are loosely coupled and arranged in various software and architectural patterns to enable ease of use, development and maintainability. The core components include the MMIS batch processing which was developed in the C programming language executing in a Unix environment and an n-tier web-based user interface written primarily in C#, utilizing Microsoft ASP.NET. The MMIS data resides in an Oracle 10g database. There are many other critical software components for InterChange, involving letter generation, ad-hoc reports, optical character recognition, electronic storage of paper reports and forms, and EDI.</p> <p>The new E&E system was built in a web-based user interface environment incorporating rules engine technology. The legacy E&E system is a mainframe COBOL architecture.</p>
Question ID:	9
Date Question Asked:	01/05/2015
Question:	Could the Agency please identify/qualify "Other Alabama Medicaid vendors".
Section Number:	5.2.2.1.3
RFP Page Number:	38
Agency Answer:	Vendors referred to in this section perform MMIS functions outside of the current fiscal agent. These vendors include but are not limited to the:

	<ul style="list-style-type: none"> • Prior authorization agent • Pharmacy agent • HIE agent • Third Party Recovery agent
Question ID:	10
Date Question Asked:	01/05/2015
Question:	Could the Agency please confirm if the CDMs at the level of detail needed for the IA assessment exist and are (or will be) available (from the fiscal agent) to the successful vendor? The effort for developing as compared to obtaining is quite different, hence the question.
Section Number:	5.2.4.2
RFP Page Number:	39
Agency Answer:	The agency cannot confirm that the CDM will be at the level needed. The selected Proposer should plan on developing the CDM. Please refer to Section 5.2.4.2.
Question ID:	11
Date Question Asked:	01/05/2015
Question:	Can the Agency please elaborate on the distinction between the “Business Process Assessment” and the “Business Architecture Assessment”?
Section Number:	5.3
RFP Page Number:	49 and 50
Agency Answer:	The business processes define how the Agency achieves the goals of the Medicaid Enterprise; whereas, the Business Architecture is the systems’ structure as it relates to the business processes.
Question ID:	12
Date Question Asked:	01/05/2015
Question:	The RFP states “PROPOSERS must submit pricing for all consultant services to be delivered as a full-service model, including the staffing of maintenance and administrative positions for on-going operation”. Could the Agency please clarify “full-service model” and “on-going operations” as applicable for this RFP?
Section Number:	6.5
RFP Page Number:	65
Agency Answer:	All overhead costs, including administrative, indirect, travel, etc., must be included in the deliverable costs. The Agency will not reimburse the selected Proposer for these costs separately.

Question ID:	13
Date Question Asked:	01/05/2015
Question:	Will there be travel required to other offices Statewide and/or vendor sites other than Agency offices in Montgomery, Alabama?
Section Number:	6.8
RFP Page Number:	65
Agency Answer:	No
Question ID:	14
Date Question Asked:	01/05/2015
Question:	The RFP requires a performance guarantee of \$300K. Could the Agency relax this requirement?
Section Number:	6.12
RFP Page Number:	65
Agency Answer:	No
Question ID:	15
Date Question Asked:	01/05/2015
Question:	<p>1. Will the State consider the collective experience of the proposed individual resources, i.e. not just the experience of the firm, when evaluating proposals?</p> <p>2. Will the state consider the collective experience of the prime firm as well as any subcontractors proposed for this project?</p>
Section Number:	4.3.1.13
RFP Page Number:	25
Agency Answer:	<p>1. As described in the RFP, the Proposer shall thoroughly describe its experience for the Agency to consider.</p> <p>2. Yes, the Agency will consider experience from the Proposer as well as any Subcontractor as defined in Section 4.3.1 and 4.3.2.</p>
Question ID:	16
Date Question Asked:	01/05/2015
Question:	Does the State have existing written documentation, such as desk level procedures, available electronically for vendors to access and review once awarded the contract?
Section Number:	5.2.1.2
RFP Page Number:	37
Agency Answer:	The Agency does have written desk level procedures; some of them are in electronic form.
Question ID:	17

Date Question Asked:	01/05/2015
Question:	Does the Agency have an existing Agency Strategic plan that business goals and objectives over the short term (i.e. next 3- 5 years) and also does a statewide IT strategic plan exist documenting any Statewide IT Strategic plans?
Section Number:	5.2.3.4
RFP Page Number:	39
Agency Answer:	Yes to both.
Question ID: 18	
Date Question Asked:	01/05/2015
Question:	Does the state have existing business work flows for all Medicaid functions and eligibility determination functions or will the vendor be creating them for the first time with the State as a part of this project?
Section Number:	5.2.13
RFP Page Number:	44
Agency Answer:	The Agency has workflows from the prior assessment. The workflows may require updating and new workflows may need to be created for functions that are new or have had significant changes.
Question ID: 19	
Date Question Asked:	01/05/2015
Question:	What is the significance of requesting ancillary systems to be screened and documented within 2 months of project start date? Would the State consider removing this comment and leave it to proposer to propose the appropriate timeline for documenting the 13 ancillary systems listed in the RFP?
Section Number:	5.3
RFP Page Number:	53
Agency Answer:	Most of the ancillary systems are an integral part of the MMIS operations. The Agency wants to ensure that they are screened and documented at the same time. Having them screened and documented within 2 months will ensure all MMIS components are considered during the MMIS assessment. No, the Agency will not remove the comment.
Question ID: 20	
Date Question Asked:	01/05/2015
Question:	Please confirm that this 1 requirement is actually two separate requirements -- with "Track and follow-up on any action items identified during the meetings "being a separate, distinct requirement.

Section Number:	5.6.3.5
RFP Page Number:	59
Agency Answer:	Yes, it is two separate requirements. Please refer to Amendment I.
Question ID: 21	
Date Question Asked:	01/05/2015
Question:	What expectations or requirements does the state have regarding vendor resources being onsite for the duration of this project? E.g. will the state expect or require that all vendor resources or specific vendor resources be onsite every week during the course of the project?
Section Number:	General
RFP Page Number:	N/A
Agency Answer:	The Agency expects the Proposer to identify the percentage of time resources be assigned and onsite as described in Section 4.6.1.5.
Question ID: 22	
Date Question Asked:	01/05/2015
Question:	If the proposer uses non-proprietary software such as Microsoft Office to complete and maintain the documentation as listed above, is the proposer responsible for providing the agency with such software? Or is this only for proprietary software the proposer uses?
Section Number:	5.2.14.5
RFP Page Number:	47
Agency Answer:	The Agency does not expect the Proposer to provide the Agency with software in cases where the Agency has adequate licenses for that software.
Question ID: 23	
Date Question Asked:	01/05/2015
Question:	Alabama Medicaid's Eligibility & Enrollment (E&E) systems are included in the list of ancillary systems in section 5.2.8. Is there more that the Proposer needs to address for the E&E systems, specifically in regard to interfaces and interactions than for other ancillary systems?
Section Number:	5.2
RFP Page Number:	36
Agency Answer:	The E&E systems interface and interact with other state and federal agencies and these interfaces need to be part of the assessment. Ex: Federal Marketplace, IRS, Social Security Administration.

Question ID:	24
Date Question Asked:	01/05/2015
Question:	The SS-A scorecards depicted in the SS-A Companion Guide incorporate most of the first three bullets. Is the Agency expecting 4 separate artifacts or will a completed scorecard satisfy the first 3 bullets?
Section Number:	5.2
RFP Page Number:	36
Agency Answer:	A completed scorecard will satisfy the first three bullets.
Question ID:	25
Date Question Asked:	01/05/2015
Question:	The SS-A Companion Guide references a 5 year To Be time frame. Please articulate any potential time frames other than the required 5 years.
Section Number:	5.2.1.9
RFP Page Number:	38
Agency Answer:	No
Question ID:	26
Date Question Asked:	01/05/2015
Question:	As no specific steps are articulated under section 5.2.2.1 and the MMIS is one of the major areas listed above under section 5.2, please confirm that the phrase "do the MMIS assessment" refers to completing the 5 steps noted in section 5.2
Section Number:	5.2.2.1 and 5.2
RFP Page Number:	38
Agency Answer:	Yes, this is in reference to the 5 steps listed in Section 5.2.
Question ID:	27
Date Question Asked:	01/05/2015
Question:	Does the use of the term "MMIS assessments" (plural) in section 5.2.2.2 refer, collectively, to the Business Architecture (BA), Information Architecture (IA), Technical Architecture (TA), and Seven Conditions & Standards (7C&S) SS-As?
Section Number:	5.2.2.2
RFP Page Number:	38
Agency Answer:	Yes
Question ID:	28
Date Question Asked:	01/05/2015
Question:	The list of requirements in section 5.2.4 appears to address only the MMIS vendor. The names of the IA and TA related deliverables only address the MMIS. The Ancillary Systems

	sections mention only steps related to assessing compliance with the 7C&S. All of this appears to be in conflict with the requirement in section 5.2 to conduct the IA and TA SS-A for the major areas listed in that section. Please clarify.
Section Number:	5.2.4 and 5.2.5
RFP Page Number:	39 and 40
Agency Answer:	The Agency agrees the intent is to have an IA and TA SS-A for the major areas. The Agency will amend the RFP to remove reference to "MMIS" in the deliverables and the IA and TA. Please refer to Amendment I.
Question ID: 29	
Date Question Asked: 01/05/2015	
Question:	Can the Agency provide examples of important high level functions and messages for which technical service models are to be developed?
Section Number:	5.2.5.2
RFP Page Number:	40
Agency Answer:	An example of this would be the Agency's web portal services, communicating with the MMIS claims processing engine and messaging between the two.
Question ID: 30	
Date Question Asked: 01/05/2015	
Question:	Sections 5.2.10 appears to address creating a roadmap relative to ancillary systems in relation to the 7C&S. This appears to conflict with the requirement in section 5.2 to conduct the BA, IA, and TA SS-As and produce artifacts including the roadmap relative to the major area of ancillary systems. Please clarify.
Section Number:	5.2.10
RFP Page Number:	43
Agency Answer:	The Agency expects the Proposer to complete a MITA roadmap for all areas following the SS-A Companion Guide. Section 5.2.10 is intended to have the Proposer identify what it will take and cost to reach full maturity and be compliant with the Seven Conditions and Standards.
Question ID: 31	
Date Question Asked: 01/05/2015	
Question:	Should the Proposer interpret the lack of articulated steps in section 5.2.11 to imply that the requirement is to complete the 5 steps articulated in section 5.2 for the major areas?
Section Number:	5.2.11
RFP Page Number:	43

Agency Answer:	Yes
Question ID:	32
Date Question Asked:	01/05/2015
Question:	As the E&E system is identified as an ancillary system, is the RFP requesting an assessment of the E&E systems as part of the ancillary systems and a separate assessment of just the E&E interfaces and interactions?
Section Number:	5.2.11
RFP Page Number:	43
Agency Answer:	No. The interfaces and interactions of the E&E system must be included in the assessment of the E&E systems.
Question ID:	33
Date Question Asked:	01/05/2015
Question:	In which deliverable should the results of the assessment in section 5.2.11 be included?
Section Number:	5.2.11
RFP Page Number:	43
Agency Answer:	They must be included in the Ancillary Systems deliverables for E&E systems.
Question ID:	34
Date Question Asked:	01/05/2015
Question:	Does the State require the development of As Is business process models in the form of activity diagrams that graphically depict process workflows as part of the scope of services for this project?
Section Number:	5.2.13
RFP Page Number:	44
Agency Answer:	The requirements are defined within the RFP.
Question ID:	35
Date Question Asked:	01/05/2015
Question:	<p>In the SS-A Companion Guide, the BA Scorecard is a single artifact that addresses for each BP:</p> <ul style="list-style-type: none"> • Capabilities (both manual and automated and relative to all systems supporting a BP) • Associated As Is maturity levels • Associated To Be maturity levels • Supporting evidence reference. <p>In the RFP, this information appears to be broken across four</p>

	<p>deliverables. Is it the expectation that the Proposer will deliver four separate scorecards associated with the following deliverables?</p> <ul style="list-style-type: none"> • Medicaid Business Process SS-A – As Is Assessment • Medicaid Business Process SS-A – To Be Assessment • MMIS Business Architecture SS-A – As Is Assessment • MMIS Business Architecture SS-A – To Be Assessment
Section Number:	5.3
RFP Page Number:	49
Agency Answer:	The Proposer must follow the SS-A Companion Guide.
Question ID:	36
Date Question Asked:	01/05/2015
Question:	<p>In the SS-A Companion Guide, the 7C&S Scorecard is a single artifact that addresses for the BA, IA, and TA:</p> <ul style="list-style-type: none"> • Capabilities both manual and automated (relative to all systems) • Associated As Is maturity levels • Associated To Be maturity levels • Supporting evidence reference. <p>In the RFP, this information appears to be broken across six deliverables. Is the Proposer expected to deliver six separate scorecards associated with the following deliverables?</p> <ul style="list-style-type: none"> • Medicaid Business Process SS-A – As Is Assessment • Medicaid Business Process SS-A – To Be Assessment • MMIS - 7C&S SS-A - As Is Assessment • MMIS - 7C&S SS-A To Be Assessment • Ancillary Systems - 7C&S SS-A – As Is Assessments • Ancillary Systems - 7C&S SS-A – To Be Assessments
Section Number:	5.3
RFP Page Number:	49
Agency Answer:	The Proposer must follow the SS-A Companion Guide.
Question ID:	37
Date Question Asked:	01/05/2015
Question:	Does the State require the development of To Be business process models in the form of activity diagrams that graphically depict process workflows as part of the scope of services for this project?
Section Number:	5.3

RFP Page Number:	49
Agency Answer:	The requirements are defined within the RFP.
Question ID:	38
Date Question Asked:	01/05/2015
Question:	Does the term MITA Management Task refer to section 5.5.1, AGENCY Project Management?
Section Number:	5.6.1.4
RFP Page Number:	58
Agency Answer:	MITA Management Task refers to activities and tasks that will be performed by the Proposer as part of the MITA 3.0 PROPOSER Project Management Responsibilities listed in Section 5.6.1.
Question ID:	39
Date Question Asked:	01/05/2015
Question:	Does the term Project Initiation Task refer to section 5.5.2, AGENCY Project Initiation Responsibilities?
Section Number:	5.6.1.12
RFP Page Number:	58
Agency Answer:	No, the Project Initiation Task refers to activities performed by the Proposer as part of the initiation of the project. The Agency will support such tasks as described in Section 5.5.2.
Question ID:	40
Date Question Asked:	01/05/2015
Question:	The MITA 3.0 Strategy and Methodology for Documentation Review (Technical and Operational) appears to be the same document as the MITA 3.0 Strategies and Methodologies document referenced in 5.6.1.12. Is this document expected to address only the Documentation Review or the entire project?
Section Number:	5.6.2.1
RFP Page Number:	59
Agency Answer:	This document is expected to address the entire project.
Question ID:	41
Date Question Asked:	01/05/2015
Question:	Is the Proposer responsible for the development of the RFP or to support the development activities of the RFP?
Section Number:	5.6.3.1
RFP Page Number:	59
Agency Answer:	The awarded Proposer must support the procurement activities of the RFP and develop the core deliverables of the RFP which includes the development of the RFP as described in Section 5.6.3.1.

Question ID:	42
Date Question Asked:	01/05/2015
Question:	Will assessment of compliance with Seven Conditions and Standards need to be conducted based solely on interviews with the Agency/Vendor architects and technical staff? Or, will Contractor be provided access to system and system architecture documentation for the existing technology?
Section Number:	5.2.1.5 and 5.2.6.3
RFP Page Number:	37, 38 and 41
Agency Answer:	The awarded Proposer will be provided the system architecture documentation.
Question ID:	43
Date Question Asked:	01/05/2015
Question:	Can the subcontractor references in 4.5.2 be the same as the references provided in 4.5.2?
Section Number:	4.4.2 and 4.5.2
RFP Page Number:	28 and 29
Agency Answer:	A total of 6 references shall be provided in accordance to Section 4.4.2 and 4.5.2.
Question ID:	44
Date Question Asked:	01/05/2015
Question:	Would the Agency provide the MITA 2.0 SS-A for proposers' reference?
Section Number:	General
RFP Page Number:	N/A
Agency Answer:	Yes, the MITA 2.0 SS-A will be posted on the RFP website.