



**INFORMATION SYSTEMS DIVISION
RECIPIENT SUBSYSTEM MODERNIZATION PROJECT
BASELINE SYSTEM REQUIREMENTS AND
SPECIFICATIONS (UPDATED)**

**RFP:2011-ELI-01
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REVISION HISTORY

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LIST OF ACRONYMS

The following acronyms are used throughout this document:

Acronym	Definition
24/7	This is an abbreviation for “24 hours a day, 7 days a week” which, in the context of its use in the requirements, refers to service availability, i.e., “Self Service Web Portal” web site being available to the general public 24/7 with the exception of Agency-defined scheduled maintenance downtime. Therefore, 24/7 does not necessarily mean availability of a service at all times without interruption.
AB	Aid to the Blind
Accession	Augment or add to – synonyms: addition, augmentation, extension, increase
ACCP	Alabama Child Caring Program
ADA	American Dental Association
Ad Hoc Report	A report produced for a particular purpose and not intended to become a permanent reporting requirement; claim detail reporting in support of SURS is a part of normal SURS operations and is not included as an ad hoc report.
ADI	Web Applications Desktop Integrator (Web ADI) is a self-service Oracle application accessed using a browser
AFDC	Aid to Families with Dependent Children. This welfare cash assistance program was replaced by the TANF program in 1996.
Agency	Alabama Medicaid Agency
AIDS	Acquire Immune Deficiency Syndrome
AJAX	An acronym that stands for “Asynchronous JavaScript and XML”. According to W3Schools.com (http://www.w3schools.com/Ajax/Default.Asp), it is defined as the art of exchanging data with a

	server, and update parts of a web page – without reloading the whole page.
AKA	Also known as
ALLKids	ALL Kids is the Children’s Health Insurance Program offered by the Alabama Department of Public Health for children under age 19. ALL Kids is designed for children whose family earnings are too high to qualify for Medicaid but not enough to purchase individual health insurance.
AMAES	Alabama Medicaid Application and Eligibility System. The acronym that references the current recipient subsystem. This term is only used in that context for consistency in the requirements.
AMMIS	The Alabama Medicaid Management Information System consists of all subsystems of the AMMIS except the Recipient Subsystem. Currently it is the HP interChange MMIS system. The term interChange is used to reference this system for consistency in the requirements.
Alabama MMIS (aka AMMIS)	See definition for AMMIS above.
AMMIS-RS	Alabama Medicaid Management Information System Recipient Subsystem
ANSI	American National Standards Institute
APD	Advance Planning Document
API	Application Program Interface
Applicant	A person applying for Medicaid benefits.
Applicant/eligible	An applicant or existing beneficiary (this will replace client throughout).
Applications Data Warehouse	A repository of the new AMMIS Recipient Subsystem for historical and current transaction data designed to facilitate reporting and analysis.
ASCII	American Standard Code for Information Interchange
ASP.NET	ASASP.NET is a web application framework developed and marketed by Microsoft to allow programmers to build dynamic web sites, web applications and web services.
AVRS	Automated Voice Response System
BA	Business Architecture
Batch Window Availability	A reference to the percentage of time between 7:00 PM and 7:00 AM. EST, Monday through Friday, that the host computer system and supported applications are available for processing batch functions.

BCM	Business Capability Matrix
BENDEX	Beneficiary Data Exchange system; BENDEX is a data exchange between the State and the Social Security Administration (SSA). It provides SSA payment status and amount and Medicare data.
Beneficiary	A person receiving medical assistance from the Alabama Medicaid Agency.
BPM	Business Process Management
BPMN	Business Process Modeling Network
BPR	Business Process Reengineering
Business Logic Tier	Also referred to as the business logic layer, domain layer usually resides in either the application or on occasion the persistence tier of a multi-tier architecture. In an application with a properly separated business logic layer and data access layer, the data access layer can be rewritten to retrieve data from a different database, without affecting any of the business logic.
C#	C Sharp
Capture	Involves scanning, digitizing, copying or linking, optionally cleaning and index/classifying documents to obtain and organize information.
CBT	Computer Based Training
CCB	Change Control Board
CD	Compact Disc
CFR	Code of Federal Regulations
Central Data Validation Function	A single access point for verification of public and program information sources. The Centralized Data Validation Function performs a verification consolidation function in which the information sources are brought together and presented as a unified set to the case worker. This feature is the reverse of a decentralized function in which the case worker performs the consolidation tasks by accessing a discrete series of disparate information sites and sources.
Checklists	A summary list of all the data elements or documents that are required to complete a business process.
CHIP	Children's Health Insurance Program
CICS	Customer Information Control System
CMM-I	Capability Maturity Model Integration
CMPPA	Computer Matching and Privacy Protection Act of 1988
CMS	Centers for Medicare & Medicaid Services

COB	Coordination of Benefits
COBOL	Common Business Oriented Language
COLA	Cost of Living Allowance
COLD	Enterprise report management system
Contractor	Contractor and Vendor are generally used interchangeably within this document. The Contractor refers to the Vendor to whom the Agency has awarded the contract for this RFP.
COTS	Commercial Off the Shelf: Technology which is ready-made and available for sale, lease or license to the general public.
CPU	Central Processing Unit
CROCS	Comprehensive Recipient Online Collections System
Cut-Off	Indicates start of retention. A cut-off instruction is a set of rules that initiates the cut-off for a record/group of records. It means a record enters the retention and disposition lifecycle only after the cut-off occurs.
Dashboard control report	A management report used to display in real-time numeric key performance indicators (KPI's) to track trends aligned with key business goals and activities.
Dashboard report	A visual presentation of numeric key performance indicators that are used to track trends aligned with key business goals and activities.
DDI	Design, Development, and Implementation
DED	Data Element Dictionary
Delivery	Presents information from the manage, store and preserve components and contains functions used to enter information in systems or reading information for the store and preserve component.
DHR	Department of Human Resources
DIFSLA	Disclosure of Information to Federal, State, and Local Agencies
DIR	Department of Industrial Relations
DMH	Department of Mental Health
DPH	Department of Public Health
DPS	Department of Public Safety
DRS	Department of Rehabilitation Services
DSD	Detail System Design
DSS	Decision Support System
DVD	Digital Video Disc
DYS	Department of Youth Services

E&D	Elderly and Disabled
EA	Enterprise Architecture
EAI	Enterprise Application Integration
EDB	Enrollment Database: Medicare's Enrollment Database. This is the authoritative source for all Medicare entitlement information. This database contains information on all individuals entitled to Medicare, including demographic information, enrollment dates, third party buy-in information, and Medicare managed care enrollment.
EDI	Electronic Data Interchange
Electronic Case File	An online grouping of documents tied to a beneficiary that allows the worker to access current and historical information, enter information gathered, perform maintenance activities, determine online program eligibility, and view summary information. Replaces the term Electronic Case Record.
Enterprise Content Management (ECM)	The strategies, methods, and tools used to capture, manage, store, preserve, and deliver content and documents related to organizational processes. (source: AIIM.org)
EPA	Environmental Protection Agency
EPSDT	Early and Periodic Screening, Diagnosis, and Treatment
EPSS	Electronic Performance Support System
ESB	Enterprise Service Bus
ESIEM	Enterprise Security Information Event Management
ETC	Estimated Time to Complete
EVS	Eligibility Verification System
EVVE	Electronic Verification of Vital Events
Exception Rule Dependencies	The term was used as one example of a feature that could potentially be available to the worker to provide a GUI view of a business rule and the other business rules that it is dependent on including the exceptions that will occur if certain dependencies are not met.
Exparte	Whenever a change in circumstances causes an individual or a case to become ineligible for Medicaid a review of eligibility is completed to evaluate for Medicaid in any possible aid program/categories. This is referred to as an exparte review.
Facets	Facets is TriZetto's enterprise-wide software solution for health plan administration.
FDA	Food and Drug Administration

FDD	Feith Document Database
FEIN	Federal Employee Identification Number
FFP	Federal Financial Participation
File	A collection of related data or program records stored on some input/output or auxiliary storage medium.
File	A collection of related data or program records stored on some input/output or auxiliary storage medium.
FIPS	Federal Information Processing Standards
FIPS PUB	Federal Information Processing Standards Publication
FISMA	Federal Information Security Management
FMAP	Federal Medical Assistance Percentage
FOIA	Freedom of Information Act
FPL	Federal Poverty Level
FY	Fiscal Year
GUI	Graphical User Interface
Freeze	A point and time where data can no longer be updated or files will not be archived.
General Public Access Hours	This term refers to the availability of the "Self Service Web Portal" web site which is 24 hours a day, seven days a week with the exception of Agency-defined scheduled maintenance downtime.
HCBS	Home and Community Based Services
HIE	Health Information Exchange
HHS	United States Department of Health and Human Services
HIPAA	Health Insurance Portability and Accountability Act of 1996
HIS	Health Information System
HIV	Human Immunodeficiency Virus
HL7	Health Level 7 (standards for exchanging medical information)
HP	Hewlett Packard Enterprise Services
IA	Information Architecture
ICD	International Statistical Classification of Diseases and Related Health Problems
ID	Identification
IEEE	Institute of Electrical and Electronics Engineers
IEVS	Income Eligibility Verification System
IIS	Information Internet Server
Inbox	A folder that identifies the number of new unread

	messages sent to an email account.
InRule	InRule is a .NET solution for authoring, managing and executing business rules. It is used to leverage decision logic across multiple processes and applications with catalog-driven rule management and rule authoring.
interChange	The term used to reference AMMIS and MMIS systems. Sometimes referred to as 'HP' or 'EDS' by the state Agency.
Interim Transfer	A temporary reassignment until a permanent assignment can be executed.
IRC	Internal Revenue Code
IRS	Internal Revenue Service
ISAM	Indexed Sequential Access Method
ISD	A reference to the Alabama State Information Services Division
IT	Information Technology
ITB	Invitation To Bid
ITF	Integrated Test Facility
IV&V	Independent Verification & Validation
JAR	Joint Application Requirements
JAD	Joint Application Development
JScript	A scripting language that is implemented as a Windows Script engine and is used in Microsoft's Internet Explorer.
KnowledgeLake	KnowledgeLake develops document imaging and capture products for Microsoft SharePoint based enterprise content management solutions. It enables end users to scan and index documents, then store them in the appropriate SharePoint repository in TIFF, PDF, PDF/A, searchable PDF, and XPS file formats.
LDAP	Lightweight Directory Access Protocol
Low Confidence Area	This term was used as one of the examples given of what a logging database could potentially be used for such as identifying areas in the system like tasks in the workflow management that are prone to worker errors.
LTC	Long-Term Care
Manage	Connects the five traditional application components of 1) document management, 2) collaboration, 3) web/portal content management, 4) records management, and 5) workflow/business process management that can be used in combination or separately.
MARS	Management Administrative Reporting Subsystem
MCI	Master Client Index

Medicaid Information Services	A reference to the Alabama Medicaid Agency internal Information Services Division
MECMS	Medicaid Electronic Content management System project
MEQC	Medicaid Eligibility Quality Control
MITA	Medicaid Information Technology Architecture
MITA SS-A	MITA State Self-Assessment
MMA	Medicare Modernization Act
MMIS	Medicaid Management Information System. The MMIS in Alabama is also known as AMMIS (i.e., the Interchange system). See AMMIS and Alabama MMIS.
MMM	MITA Maturity Model
MS	Microsoft
MSIS	Medicaid Statistical Information System
Multi-tier architecture	<p>In software engineering, multi-tier architecture (often referred to as N-Tier architecture) is a layered architecture in which the system processes are logically (and/or optionally) physically separate. One of the most common tiered architectures is a three tier architecture consisting of a presentation, application (often referred to as business logic), and data management (often referred to as persistence layer or data access layer) tier.</p> <p>In addition to the three standard layers, Three-Layered Services Application defines a set of foundation services that all layers can use. These services fall into three basic categories:</p> <ul style="list-style-type: none"> ▪ Security. These services maintain application security. ▪ Operational management. These services manage components and associated resources, and also meet operational requirements such as scalability and fault tolerance. ▪ Communication. These are services, such as .NET remoting, SOAP, and asynchronous messaging, which provide communication between components. <p>In integration intensive systems it is not uncommon to see the addition of a fourth integration</p>

	tier
.NET	Microsoft's application development framework for Web, server and Smart Client Application
N-Tier	See multi-tier architecture
NASIRE	National Association of State Information Resource Executives
NCPDP	National Council for Prescription Drug Programs
ND	New Development
NET	Non-Emergency Transportation
NIST	National Institute of Standards and Technology
Normal Business Hours or Regular Business Hours	A reference to Monday through Friday, 7:00 AM to 5:00 PM CST, with the exception of Alabama State Holidays. State noted that "...we would eventually like to have 'regular business hours' extended to 6:00 PM if possible, but that might not start at implementation. Then, if everything were consistently going well, we could extend the hours to 6:00 PM at some point after implementation".
Notification	A notice generated by the system to someone outside the system e.g., applicant/eligible, referral to another agency, etc.
NSS	Network & Systems Support
OCR	Optical Character Recognition
OASIS	OASIS stands for Organization for the Advancement of Structured Information Standards. According the web site, http://www.oasis-open.org/home/index.php , "OASIS is a not-for-profit consortium that drives the development, convergence and adoption of open standards for the global information society. The consortium produces more Web services standards than any other organization along with standards for security, e-business, and standardization efforts in the public sector and for application-specific markets. Founded in 1993, OASIS has more than 5,000 participants representing over 600 organizations and individual members in 100 countries".
OGC	Office of the General Counsel
OJT	On-The-Job-Training
OMB	Office of Management and Budget
One-Stop Shop	A centralized place such as the Worker Portal where eligibility staff is able to complete any intake, eligibility, enrollment, or ongoing case management activities. The One-Stop Shop is also a place where various services from participating Alabama State agencies are offered

	in one convenient portal to the general public – however this is not the purpose of the new Recipient Subsystem.
Online Availability	<p>A reference to the percentage of time between 6:00 AM and 6:00 PM CST, Monday through Friday, that the host computer system and supported applications are available for online access.</p> <p>Online availability is guaranteed to be 99%</p> <p>The period after Online availability hours will be referred to as the “Batch Window”.</p>
OS	Operating System
PA	Prior Authorization
PC	Personal Computer
PDF	Portable Document Format
PERM	Payment Error Rate Measurement
Persistence Tier	The persistence tier (data access layer) provides access to permanent (persistent) storage of some kind often an entity or object relational database. Business logic methods from an application can be mapped to the Data Access Layer (DAL). So, for example, instead of making a query into a database to fetch all users from several tables the application can call a single method from a DAL which abstracts those database calls
PHI	Protected Health Information (PHI) is defined as individually identifiable health information that is transmitted by, or maintained in, electronic media or any other form or medium. Individually identifiable health information is information that is a subset of health information, including demographic information collected from an individual, and: (1) is created or received by a health care provider, health plan, employer, or health care clearinghouse; and (2) related to the past, present, or future physical or mental health or condition of an individual; the provision of health care to an individual; or the past, present, or future payment for the provision of health care to an individual; (i) that identifies the individual; or (ii) with respect to which there is a reasonable basis to believe the information can be used to identify the individual.
PI	Program Integrity
PII	Personally Identifiable Information (PII) is defined as information: (1) that directly identifies an

	individual (e.g., name, address, social security number or other identifying number or code, telephone number, email, address, etc.), or (2) by which an agency intends to identify specific individuals in conjunction with other data elements, i.e., indirect identification. (These data elements may include a combination of gender, race, birth date, geographic indicator, and other descriptors.)
PIN	Personal Identification Number
Presentation Tier	The presentation tier is responsible for providing the display and user interface. When multiple device support is required this layer may be split to include an integration layer that provides a framework to abstract device-specific functionality from the rest of the presentation tier.
Preserve	Involves handling the long-term, safe storage and backup static, infrequently changing information in the medium and long term.
PM	Project Manager
PMBOK	Project Management Body of Knowledge
PMI	Project Management Institute
PMO	Project Management Office
QA	Quality Assurance
QC	Quality Control
QMB	Qualified Medicare Beneficiaries
RACF	Resources Access Control Facility
Recipient Subsystem	Term used to reference the future system for Beneficiary Services.
Record	A group of related fields, or a single field, treated as a unit and comprising part of a file or data set, for purposes of input, processing, output, or storage by a computer.
Renewal Form	A recertification application.
Reports	A summary presentation of program data that is customized for a worker or management to help them make quick and effective decisions.
REST	Representational State Transfer (REST) is a style of software architecture for distributed hypermedia systems. The term Representational State Transfer was introduced and defined in 2000 by Roy Fielding
RFP	Request for Proposal
ROI	Return on Investment
RS	Recipient Subsystem

RS R&R	Recipient Subsystem Re-engineering and Redesign Phase II Project
SAIL	State of Alabama Independent Living
SAVE	Systematic Alien Verification for Entitlements
SCHIP	State Children's Health Insurance Program
SCO	System Change Order
SDX	State Data Exchange: The State Data Exchange (SDX) provides detailed information about benefits received by Supplemental Security Income (SSI) applicants/recipients. It is used to verify SSI benefits in determining eligibility for various public assistance programs.
Session Affinity	<p>A common approach for ensuring a highly scalable fault tolerant system is to cluster servers at each tier of the application architecture. This can create a challenge when the servers are state aware, e.g., information on page one of an application is on server one and when page two is requested server two in the cluster is unaware of this information,</p> <p>One solution to this problem is "sticky session" (aka "session affinity") where each user is assigned to a single server and his/her state data is contained on that server exclusively throughout the duration of the session.</p>
SFTP	SSH File Transfer Protocol
SharePoint	Microsoft SharePoint is a software platform and a family of software products developed by Microsoft for collaboration, file sharing and web publishing. Capabilities include developing websites, portals, intranets, content management systems, search engines, wikis, blogs and other tools for business intelligence.
SLMB	Specified Low-income Medicare Beneficiaries
SM	System Modification
SME	Subject Matter Expert
SMM	State Medicaid Manual
SOA	Service Oriented Architecture
SOAP	Simple Object Access Protocol
SOLQ	State Online Query
SOS	Secretary of State
SOW	Statement of Work
SQL	Structured Query Language
SS-A	MITA State Self-Assessment
SSA	Social Security Administration

SSI	Supplemental Security Income
SSDI	Social Security Disability Insurance
SSN	Social Security Number
Store	Involves temporary storage of frequently changing information in the short term and audit trails.
SUR	Surveillance Utilization Review
SURS	Surveillance and Utilization Review System
SVES	State Verification and Exchange System
System	A reference to the overall Recipient Subsystem.
System Alert	An automatic alert that is system generated based on action(s)or lack of action(s) by the worker
TA	Technical Assessment
TANF	Temporary Assistance for Needy Families
TBD	To Be Determined
TFQ	Together for Quality
TIN	Tax Identification Number
Title XIX	Title 19 of the Social Security Act; this Act pertains to Medicaid.
TMA	Transitional Medicaid Assistance
TPL	Third-Party Liability
UAT	User Acceptance Testing//also User Acceptance Test
UML	Unified Modeling Language
US	United States
USPS	United States Postal Service
USC	United States Code
User	A generic reference to an Agency worker, applicant, or beneficiary. Will be replaced by appropriate reference.
V1/V2	Version 1, Version 2, etc.
VSAM	Virtual Sequential Access Method
Validation	A check that the correct format is being used.
Vendor	Vendor and Contractor are generally used interchangeably within this document. Vendor refers to entities/companies that intend to bid on this RFP. The selected Vendor becomes the Contractor.
Verification	A check that the actual information is correct.
VPN	Virtual Private Network
WBS	Work Breakdown Structure
WBT	Web Based Tutorial
WIC	Women, Infants, and Children
Worker	An employee of the Alabama Medicaid Agency

	responsible for processing applicant/beneficiary information. The term 'worker' will be used instead of 'user' or 'caseworker' where appropriate in requirements for purposes of consistency.
Worker Alert	A reminder set by the worker. Term used instead of 'tickler'.
WSDL	Web Service Description Language
XML	Extensible Markup Language

1 OVERVIEW

The Baseline System Requirements and Specifications will function as the formal deliverable which documents requirements and specifications for the redesign, reengineering, development, and installation of a new Recipient Subsystem. It has been updated at the end of each stage of the project and this reflects the second update of changes during Stage 7.

The approach to requirement analysis has been and continues to be an iterative process. Traceability and mapping are key components throughout this iterative process as it is the Agency's goal to:

- Document the business need
- Ensure there are no gaps
- Meet federal regulations
- Acquire enhanced funding
- Progress along the MITA continuum
- Guarantee CMS certification

Since the last update of August 25, 2011 Cognosante continued to validate requirements through site visits and make changes and additions to the requirements as a result of direction from the Agency. The result was a total of 1491 system requirements.

The goal for these 1491 requirements is to only support an eligibility determination project. The requirements for member management will be addressed in the Member Management component of the Agency's MMIS system.

A review session was held for this update in order to validate areas where there appeared a need for changes and additions. .

2 REQUIREMENT UPDATES

Since the last update, Cognosante proposed minimal changes to the Agency. The updates to the requirements surrounded encryption and support for the Medicaid Enterprise Content Management System (MECMS). The Agency did validate that there would be no data warehouse as part of the eligibility system. The system should produce management reports in the form of dashboards, alerts, and standardized reports.

3 STAGE SEVEN REQUIREMENTS

The following list is generated from RAMS and represents all of the requirements and in their final form to date.

AL Mandatory Requirements

AL ID#	RFP Area	RFP Heading	RFP Topic Area	Key	RFP #	Requirements
CAS1	Common Administration	System Functionality	General	59784	1.1.1	The system shall be a comprehensive automated case management tool that meets the needs of all staff (including workers and their supervisors, whether employed by the State, County, or contracted private providers).
CAS2	Common Administration	System Functionality	General	59787	1.1.1	The system shall support all system requirements as define by the requirements specification document.
CAS3	Common Administration	System Functionality	General	59795	1.1.1	The system shall support all MITA capabilities.
CAS4	Common Administration	System Functionality	General	59794	1.1.1	The system shall support all CMS certification standards.
CAS5	Common Administration	System Functionality	General	59788	1.1.1	The system shall provide for the full protection of all applicant/recipients' rights to privacy and confidentiality through effective internal and external security controls that meet or exceed legal requirements, federal regulations (e.g. HIPPA), State official regulations and Agency policies on the subject.
CAS6	Common Administration	System Functionality	General	59785	1.1.1	The system shall provide the capability to pilot new policy or policy changes by program, by location(s), by timeframes.
CAS7	Common Administration	System Functionality	General	59783	1.1.1	The system shall have the ability to freeze data at Agency pre-determined points in the life of a case to prevent workers from modifying that data after a certain period of time or after a specific action has been completed on the record.
CAS7.1	Common Administration	System Functionality	General	59793	1.1.1	The system shall have show/hide or expand/collapse buttons.
CAS7.2	Common Administration	System Functionality	General	59789	1.1.1	The system shall display complex information (i.e. help information) in an easy to read format.
CAS7.3	Common Administration	System Functionality	General	59786	1.1.1	The system shall have the ability to display task and actions from multiple screens in a single page view.
CAS7.4	Common Administration	System Functionality	General	59792	1.1.1	The system shall be retrieved by anyone with security access regardless of location.
CAS7.5	Common Administration	System Functionality	General	59791	1.1.1	The system shall feature customized menu links based on the access rights for workers.
CAS7.6	Common Administration	System Functionality	General	59790	1.1.1	The system shall be designed with a user-friendly format to assist inexperienced users.
CAS8	Common Administration	System Functionality	Searches	59834	1.1.2	The system shall provide a proven Soundex-like capability for searching to find exact matches and close matches for name searches.
CAS9	Common Administration	System Functionality	Searches	59836	1.1.2	The system shall accommodate searches for hyphenated last names, including possibility of inverted hyphenated names.
CAS10	Common Administration	System Functionality	Searches	59832	1.1.2	The system shall provide the capability to search for a person by multiple parameters with a minimum of name or partial name, address, ID numbers, date of birth, social security number and sex.
CAS11	Common Administration	System Functionality	Searches	59849	1.1.2	The system shall provide the capability to search by address.

CAS12	Common Administration	System Functionality	Searches	59838	1.1.2	The system shall associate current applicants with applicant/recipients at residential address, if applicable.
CAS13	Common Administration	System Functionality	Searches	59844	1.1.2	The system shall provide for the capability to search for an Agency worker by multiple parameters.
CAS15	Common Administration	System Functionality	Searches	59842	1.1.2	The system shall provide for the capability to search for a collateral contact by multiple parameters.
CAS16	Common Administration	System Functionality	Searches	59845	1.1.2	The system shall provide for the capability to search for a household by multiple parameters.
CAS17	Common Administration	System Functionality	Searches	59848	1.1.2	The system shall include automatic search of alias names during a search.
CAS18	Common Administration	System Functionality	Searches	59839	1.1.2	The system shall display the results of person(s) that meet search criteria displaying exact matches first.
CAS19	Common Administration	System Functionality	Searches	59843	1.1.2	The system shall indicate when a search is returned based on an alias match rather than a name match.
CAS20	Common Administration	System Functionality	Searches	59837	1.1.2	The system shall allow the worker to view case information such as other case members when verifying a new person.
CAS21	Common Administration	System Functionality	Searches	59840	1.1.2	The system shall allow the worker to determine current and past applications from returned search results.
CAS22	Common Administration	System Functionality	Searches	59841	1.1.2	The system shall have the ability to stop the processing of a query search at any point in the process.
CAS23	Common Administration	System Functionality	Searches	59835	1.1.2	The system shall identify potential matches based on a search criteria and support drilling down to more detailed data.
CAS23.1	Common Administration	System Functionality	Searches	59833	1.1.2	The system shall automatically search archived records for initial applications based on modifiable criteria, i.e. date range, eligibility dates, etc.
CAS23.2	Common Administration	System Functionality	Searches	59847	1.1.2	The system shall allow the worker to optionally search archived records for all other inquiries.
CAS24	Common Administration	System Functionality	Duplicate Entry	59852	1.1.3	The system shall be designed to prevent the occurrence of duplicate entries of the same person based on Agency criteria.
CAS25	Common Administration	System Functionality	Duplicate Entry	59850	1.1.3	The system shall not require workers to enter redundant data within the system between modules and functions within the life of the case.
CAS26	Common Administration	System Functionality	Duplicate Entry	59851	1.1.3	The system shall store data attached to persons to prevent duplicate entry of information that belongs to an individual versus a case.
CAS27	Common Administration	System Functionality	Help	59854	1.1.4	The system shall have online user, operations and technical user help documentation.
CAS28	Common Administration	System Functionality	Help	59853	1.1.4	The system shall include online documentation such as online program specific policy and procedures manuals.
CAS29	Common Administration	System Functionality	Help	59856	1.1.4	The system shall provide online task-oriented user guides.
CAS29.1	Common Administration	System Functionality	Help	59855	1.1.4	The system help shall be capable of configuration by the user.
CAS30	Common Administration	System Functionality	Help	59857	1.1.4	The system shall provide context sensitive help.
CAS31	Common Administration	System Functionality	Checklist	59858	1.1.5	The system shall provide case-based activity checklists (to-do lists) to assist in prompting the workers in the completion of required case activities according to business rules.
CAS32	Common Administration	System Functionality	Checklist	59860	1.1.5	The system shall automatically update the checklist to reflect when the worker completes a task listed on the checklist.

CAS33	Common Administration	System Functionality	Checklist	59861	1.1.5	The system shall automate the checklist to display the date the worker completed the task listed.
CAS34	Common Administration	System Functionality	Checklist	59859	1.1.5	The system shall automate the checklist to document the worker name that completed the task listed.
CAS35	Common Administration	System Functionality	Checklist	59862	1.1.5	The system shall automate the checklist to dynamically fill tasks based on case type.
CAS36	Common Administration	System Functionality	Checklist Templates	59864	1.1.6	The system shall allow the worker to prefill, preview and print the checklist as needed.
CAS37	Common Administration	System Functionality	Checklist Templates	59863	1.1.6	The system shall allow the worker to print blank checklists or partially completed checklists.
CAS38	Common Administration	System Functionality	Approvals	59892	1.1.7	The system shall identify which work requires approval.
CAS39	Common Administration	System Functionality	Approvals	59888	1.1.7	The system shall identify and automate the workflow of all approvals required for each piece of work.
CAS40	Common Administration	System Functionality	Approvals	59878	1.1.7	The system shall automatically route any work that require approvals under Agency policies to the appropriate workers.
CAS41	Common Administration	System Functionality	Approvals	59875	1.1.7	The system shall support multiple approval levels for a piece of work depending on the type of work and data entered for the work.
CAS42	Common Administration	System Functionality	Approvals	59883	1.1.7	The system shall verify the position, classification or role that must approve each piece of work.
CAS43	Common Administration	System Functionality	Approvals	59884	1.1.7	The system shall identify the time limits required for each approval type based on Agency criteria.
CAS44	Common Administration	System Functionality	Approvals	59885	1.1.7	The system shall escalate system alerts to supervisors when approval on a piece of work is overdue.
CAS45	Common Administration	System Functionality	Approvals	59868	1.1.7	The system shall find and display the staff person with the authority to authorize each piece of work requiring approval based on assigned roles.
CAS46	Common Administration	System Functionality	Approvals	59877	1.1.7	The system shall display the role required for the approval with the work to be approved whenever approval is required.
CAS47	Common Administration	System Functionality	Approvals	59889	1.1.7	The system shall display the list of pending approvals for each person on their desktop.
CAS48	Common Administration	System Functionality	Approvals	59886	1.1.7	The system shall provide a system alert to staff to indicate their approval is due on a piece of work.
CAS50	Common Administration	System Functionality	Approvals	59881	1.1.7	The system shall support temporary delegation of approval authority from one authorized worker to another.
CAS51	Common Administration	System Functionality	Approvals	59870	1.1.7	The system shall treat the delegated staff person the same as the staff with original approval authority when approval has been delegated.
CAS52	Common Administration	System Functionality	Approvals	59871	1.1.7	The system shall send system alerts to the delegated staff and the staff with original approval authority during the period of delegation.
CAS53	Common Administration	System Functionality	Approvals	59876	1.1.7	The system shall display a history of approvals with the dates/times and name(s) of the worker or workers who approved the work.
CAS54	Common Administration	System Functionality	Approvals	59882	1.1.7	The system shall support supervisory and administrative staff overrides to allow exceptions to policy.
CAS55	Common Administration	System Functionality	Approvals	59867	1.1.7	The system shall allow the supervisor to enter comment notes on approvals but require comments to be entered by the supervisor for all disapprovals.
CAS56	Common Administration	System Functionality	Approvals	59880	1.1.7	The system shall allow a supervisor to enter in a due date when a piece of work is returned for corrections.

CAS57	Common Administration	System Functionality	Approvals	59890	1.1.7	The system shall display comment notes with all approval and disapproval records.
CAS58	Common Administration	System Functionality	Approvals	59879	1.1.7	The system shall send e-mails to the worker who created the work including approval status and comments for the work.
CAS59	Common Administration	System Functionality	Approvals	59874	1.1.7	The system shall allow authorized workers to reverse an approved piece of work within a configurable time frame to correct worker errors.
CAS60	Common Administration	System Functionality	Approvals	59869	1.1.7	The system shall provide a full audit trail for all changes to approval, including sources, dates and status changes based on Agency criteria.
CAS61	Common Administration	System Functionality	Approvals	59865	1.1.7	The system shall allow all approval requirements, their effective dates and routing for all works that require approval to be user-maintainable and configurable with tables or rules engine.
CAS62	Common Administration	System Functionality	Approvals	59872	1.1.7	The system shall record and display all dates and times that approvals were requested, sent back for corrections, reviewed, and completed.
CAS63	Common Administration	System Functionality	Approvals	59887	1.1.7	The system shall track the history of each action on an approval (e.g. each request, denial, etc).
CAS64	Common Administration	System Functionality	Approvals	59873	1.1.7	The system shall provide text for the requestor and approver to document descriptions and justifications on the approval action completed.
CAS65	Common Administration	System Functionality	Approvals	59891	1.1.7	The system shall report at the end of the month all required approvals that are due or past due.
CAS67	Common Administration	System Functionality	Archive	59894	1.1.8	The system shall support archiving, including at a minimum, records, cases, case files, and any supporting documentation contained in the ECR in accordance with Federal, State, and Agency approved retention schedule.
CAS68	Common Administration	System Functionality	Archive	59896	1.1.8	The system shall include a scheduled archiving process in which data that meets established Agency criteria will be selected for archiving.
CAS69	Common Administration	System Functionality	Archive	59898	1.1.8	The system shall provide modifiable archiving criteria options that can be easily changed by an authorized administrator.
CAS70	Common Administration	System Functionality	Archive	59897	1.1.8	The system shall allow an authorized administrator to restore archived records or partial records, individual documentation.
CAS71	Common Administration	System Functionality	Archive	59900	1.1.8	The system shall verify the integrity of the database before and after the archive.
CAS72	Common Administration	System Functionality	Archive	59901	1.1.8	The system shall provide the ability to list all records included in an archive.
CAS73	Common Administration	System Functionality	Archive	59893	1.1.8	The system shall retain, including at a minimum, records, cases, case files, and any supporting documentation contained in the ECR needed for auditing purposes in accordance with Federal and State approved retention schedule.
CAS74	Common Administration	System Functionality	Archive	59899	1.1.8	The system shall have the ability to associate archiving timeframes with imaged documents.
CAS75	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59910	1.1.9	The system shall provide system alerts based on Agency established policies and practices.
CAS76	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59907	1.1.9	The system shall generate system alerts for workers and supervisors when actions are upcoming, due, or overdue.

CAS77	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59906	1.1.9	The system alerts shall count down timeframes until the system alert is removed or the piece of work is complete.
CAS78	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59904	1.1.9	The system shall have the capability to escalate a system alert to a supervisor or monitor at a defined period of time.
CAS79	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59918	1.1.9	The system shall allow authorized staff to delete system alerts.
CAS80	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59916	1.1.9	The system shall remove system alerts when the piece of work is completed.
CAS81	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59903	1.1.9	The system shall navigate the worker directly from the system alert to the piece of work that is required to remove the system alert.
CAS82	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59902	1.1.9	The system shall have worker configurable worker alerts that do not require a developer to add the alert or inactivate an existing alert.
CAS83	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59919	1.1.9	The system shall have worker configurable worker alert messages.
CAS84	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59920	1.1.9	The system shall have configurable worker alerts timeframes.
CAS85	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59909	1.1.9	The system shall provide the worker the ability to sort all alerts by worker specifications.
CAS86	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59917	1.1.9	The system shall provide an option to minimize the display of all alerts.
CAS87	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59913	1.1.9	The system shall allow the worker to create and maintain personal worker alerts.
CAS88	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59911	1.1.9	The system shall create a history of all notices associated with the applicant/recipient.
CAS89	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59912	1.1.9	The system shall have the capability to maintain a history of the notice contents.
CAS90	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59914	1.1.9	The system shall have the capability to track the date the notice was sent.
CAS91	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59921	1.1.9	The system shall create a summary view of completed alerts.
CAS92	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59908	1.1.9	The system shall have the capability to generate email alerts and notifications based on Agency Policy.
CAS93	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59915	1.1.9	The system shall maintain a history of all email alerts and notifications.
CAS95	Common Administration	System Functionality	System Alerts, User Alerts and Notices	59922	1.1.9	The system shall allow the worker to print alerts.
CAS96	Common Administration	System Functionality	Corrections	59800	1.1.10	The system shall provide authorized workers the ability to make corrections when errors are made to completed work.
CAS96.1	Common Administration	System Functionality	Corrections	59796	1.1.10	The system shall allow authorized workers the ability to delete records entered in error within the Agency specified time frame.
CAS97	Common Administration	System Functionality	Corrections	59802	1.1.10	The system shall provide a complete audit trail of any changes made to correct errors on completed work.
CAS98	Common Administration	System Functionality	Corrections	59801	1.1.10	The system shall display an indication online that a change was made to correct an error on completed work.

CAS99	Common Administration	System Functionality	Corrections	59797	1.1.1 0	The system shall provide a narrative to explain why an authorized worker made the correction to a completed piece of work.
CAS10 0	Common Administration	System Functionality	Corrections	59798	1.1.1 0	The system shall allow the authorized worker to generate a report of all corrections made by worker by piece of work and timeframe.
CAS10 0.1	Common Administration	System Functionality	Corrections	59799	1.1.1 0	The system shall allow the authorized worker to generate a report of all deletions made by worker by piece of work and timeframe.
CAS10 1	Common Administration	System Functionality	Forms and Reports	59817	1.1.1 1	The system shall maintain a complete inventory of all Agency forms and store them centrally.
CAS10 2	Common Administration	System Functionality	Forms and Reports	59816	1.1.1 1	The system shall make Agency forms available within the system workflow as defined by Agency.
CAS10 3	Common Administration	System Functionality	Forms and Reports	59825	1.1.1 1	The system shall generate each form in formats and languages approved by Agency.
CAS10 4	Common Administration	System Functionality	Forms and Reports	59819	1.1.1 1	The system shall provide the capability for a primary language indicator for a beneficiary.
CAS10 5	Common Administration	System Functionality	Forms and Reports	59810	1.1.1 1	The system shall produce beneficiary correspondence, forms, and templates in the language identified by the primary language indicator.
CAS10 5.1	Common Administration	System Functionality	Forms and Reports	59823	1.1.1 1	The system shall allow authorized workers to create templates for system-wide use.
CAS10 5.2	Common Administration	System Functionality	Forms and Reports	59831	1.1.1 1	The system shall prefill available system data in created templates.
CAS10 6	Common Administration	System Functionality	Forms and Reports	59829	1.1.1 1	The system shall support workers ability to prefill data on templates.
CAS10 7	Common Administration	System Functionality	Forms and Reports	59828	1.1.1 1	The system shall maintain a history of all reports, forms and templates.
CAS10 8	Common Administration	System Functionality	Forms and Reports	59803	1.1.1 1	The system shall maintain and provide access to a history of all templates created and saved to allow workers to view the exact image of what was entered on these templates by applicant/recipient or case.
CAS10 9	Common Administration	System Functionality	Forms and Reports	59830	1.1.1 1	The system shall be able to display and print stored or imaged forms.
CAS11 0	Common Administration	System Functionality	Forms and Reports	59821	1.1.1 1	The system shall allow the worker to search for forms using Agency defined criteria.
CAS11 1	Common Administration	System Functionality	Forms and Reports	59818	1.1.1 1	The system shall generate all reports with consistent report header and footer formatting.
CAS11 2	Common Administration	System Functionality	Forms and Reports	59812	1.1.1 1	The system shall identify on all reports the date and time it was generated and allow the worker to save the report.
CAS11 3	Common Administration	System Functionality	Forms and Reports	59822	1.1.1 1	The system shall provide versioning and authorization management for standard forms.
CAS11 4	Common Administration	System Functionality	Forms and Reports	59826	1.1.1 1	The system shall produce reports with labels that uniquely identify each report.
CAS11 5	Common Administration	System Functionality	Forms and Reports	59809	1.1.1 1	The system shall provide the ability to print dynamic Agency letterhead, logo, and form name, and number when forms and reports are printed.
CAS11 6	Common Administration	System Functionality	Forms and Reports	59827	1.1.1 1	The system shall calculate and print sequential page numbers for all reports.
CAS11 8	Common Administration	System Functionality	Forms and Reports	59808	1.1.1 1	The system shall provide integrated support for online reports and forms generation which shall include local and central print capabilities.

CAS119	Common Administration	System Functionality	Forms and Reports	59807	1.1.11	The system shall include a forms tool that allows an authorized worker to define forms and link existing database fields to fields on the forms.
CAS120	Common Administration	System Functionality	Forms and Reports	59804	1.1.11	The system shall provide a comprehensive reporting solution that will generate standard operating, pre-defined or operational reports without an adverse effect on system performance or response time.
CAS121	Common Administration	System Functionality	Forms and Reports	59813	1.1.11	The system shall allow the capability to apply "DRAFT" or other water marks to printed forms, template and reports
CAS122	Common Administration	System Functionality	Forms and Reports	59805	1.1.11	The system shall be able to save reports or raw data in multiple outputs formats (e.g. CSV, tab delimited, text, HTML, XML) in conformance to security standards.
CAS123	Common Administration	System Functionality	Forms and Reports	59815	1.1.11	The system shall include drill down and sort capabilities to view components of online summary reports.
CAS124	Common Administration	System Functionality	Forms and Reports	59814	1.1.11	The system shall provide a mechanism to distribute selected reports electronically to appropriate workers.
CAS125	Common Administration	System Functionality	Forms and Reports	59820	1.1.11	The system shall provide a worker interface for configuring automatic report distribution.
CAS126	Common Administration	System Functionality	Forms and Reports	59806	1.1.11	The system shall ensure all documents and forms are generated within the system and pre-fill all documents and forms with case/person specific information.
CAS127	Common Administration	System Functionality	Forms and Reports	59811	1.1.11	The system shall provide the capability for a worker to make corrections in templates and have this information update the database.
CAS128	Common Administration	System Functionality	Forms and Reports	61197	1.1.11	The system shall include the ability to run Ad Hoc reports from all environments such as Production, Development, Model Office, Staging and Test environments.
CAO1	Common Administration	Organizational Management	Maintain Organization Structure	59928	1.2.1	The system shall identify the type of organizational structures and reporting relationships for each business unit that uses the Recipient Subsystem.
CAO2	Common Administration	Organizational Management	Maintain Organization Structure	59923	1.2.1	The system shall record data on organizational structures and reporting relationships in the Agency and other pertinent external organizations.
CAO3	Common Administration	Organizational Management	Maintain Organization Structure	59925	1.2.1	The system shall utilize organizational structure to automatically route work per Agency specifications.
CAO4	Common Administration	Organizational Management	Maintain Organization Structure	59931	1.2.1	The system shall allow assignment of organization roles to staff.
CAO5	Common Administration	Organizational Management	Maintain Organization Structure	59926	1.2.1	The system shall allow staff to be assigned one or more roles within an organizational unit.
CAO6	Common Administration	Organizational Management	Maintain Organization Structure	59929	1.2.1	The system shall have the capability to assign staff to backup roles.
CAO7	Common Administration	Organizational Management	Maintain Organization Structure	59924	1.2.1	The system shall support the organizational structure by allowing 'teams' or 'units' of workers to be created with a designated role.
CAO8	Common Administration	Organizational Management	Maintain Organization Structure	59927	1.2.1	The system shall support and maintain assignment of staff to an organizational unit.
CAO9	Common Administration	Organizational Management	Maintain Organization Structure	59930	1.2.1	The system shall maintain a full history of temporary delegations.
CAO10	Common Administration	Organizational Management	Staff Management	59945	1.2.2	The system shall maintain unique staff identifier.
CAO11	Common Administration	Organizational Management	Staff Management	59944	1.2.2	The system shall support the creation of a staff profile.

CAO12	Common Administration	Organizational Management	Staff Management	59943	1.2.2	The system shall track staff records for all Agency staff.
CAO13	Common Administration	Organizational Management	Staff Management	59934	1.2.2	The system shall include job related demographics in the staff profile (e.g. skills, job title, phone number, etc).
CAO14	Common Administration	Organizational Management	Staff Management	59941	1.2.2	The system shall include organization information in the staff profile.
CAO15	Common Administration	Organizational Management	Staff Management	59939	1.2.2	The system shall maintain a history of organization assignment and pertinent demographics.
CAO16	Common Administration	Organizational Management	Staff Management	59937	1.2.2	The system shall include education, training and skills possessed by the staff in their profile.
CAO17	Common Administration	Organizational Management	Staff Management	59940	1.2.2	The system shall provide the capability to update a staff profile in the system on-line.
CAO18	Common Administration	Organizational Management	Staff Management	59933	1.2.2	The system shall maintain a history of all future, current and past begin and end dates for staff (e.g. dates of employment, user roles, unit assignments, locations, address, etc.)
CAO19	Common Administration	Organizational Management	Staff Management	59938	1.2.2	The system shall allow assignment of staff to multiple units based on work to be performed.
CAO20	Common Administration	Organizational Management	Staff Management	59936	1.2.2	The system shall support all necessary levels and classes of security to protect staff information.
CAO21	Common Administration	Organizational Management	Staff Management	59932	1.2.2	The system shall allow supervisors, administrator and authorized workers to edit and update staff records for the staff within their reporting units.
CAO22	Common Administration	Organizational Management	Staff Management	59935	1.2.2	The system shall provide the capability to inactivate or temporarily suspend a staff person's status.
CAO23	Common Administration	Organizational Management	Staff Management	59942	1.2.2	The system shall record and display staff termination dates.
CAP1	Common Administration	Person Mgt-General	General	59951	1.3.1	The system shall assign a unique identifier for each person entered.
CAP1.1	Common Administration	Person Mgt-General	General	59948	1.3.1	The system shall always associate the same unique identifier with each person as they exit and enter the system.
CAP2	Common Administration	Person Mgt-General	General	59949	1.3.1	The system shall allow a person to be assigned one or more roles (e.g., sponsor, spouse, payee, etc).
CAP3	Common Administration	Person Mgt-General	General	59947	1.3.1	The system shall maintain data that is specific to an individual and shall not require re-entry when cases or roles change.
CAP4	Common Administration	Person Mgt-General	General	59950	1.3.1	The system shall support a person summary view that provides a thumbnail of critical person data.
CAP4.1	Common Administration	Person Mgt-General	General	59946	1.3.1	The system shall allow the worker to document discussions with an applicant to reflect any provision of Agency required information such as freedom of choice, etc. so they can be electronically retrieved for statistical and/or reporting purposes.
CAP5	Common Administration	Person Mgt-General	Person Demographics	59981	1.3.2	The system shall allow workers to record the name and aliases of any person entered into the system to include first, middle, last and maiden names.
CAP6	Common Administration	Person Mgt-General	Person Demographics	59985	1.3.2	The system shall allow the worker to enter in multiple races up to five (5) races per person.
CAP7	Common Administration	Person Mgt-General	Person Demographics	59987	1.3.2	The system shall allow the worker to select the ethnicity for each person.
CAP8	Common Administration	Person Mgt-General	Person Demographics	59982	1.3.2	The system shall allow the worker to select if the person's ethnicity is Hispanic/Latino using Yes and No identifiers.

CAP9	Common Administration	Person Mgt-General	Person Demographics	59986	1.3.2	The system shall record if the person is "Native American or Alaskan Native".
CAP10	Common Administration	Person Mgt-General	Person Demographics	59988	1.3.2	The system shall allow the worker to record the gender/sex of each person.
CAP11	Common Administration	Person Mgt-General	Person Demographics	59989	1.3.2	The system shall allow worker to record recipient's tribal designation.
CAP11.1	Common Administration	Person Mgt-General	Person Demographics	59983	1.3.2	The system shall allow the worker to document if an individual is a veteran or a dependent of a veteran.
CAP11.2	Common Administration	Person Mgt-General	Person Demographics	59984	1.3.2	The system shall track information related to the veteran if they are not a member of the household.
CAP12	Common Administration	Person Mgt-General	Birth Information	59991	1.3.3	The system shall track each person's birth information which includes country, state, county and city.
CAP13	Common Administration	Person Mgt-General	Birth Information	59993	1.3.3	The system shall allow the worker to record a person's date of birth.
CAP14	Common Administration	Person Mgt-General	Birth Information	59992	1.3.3	The system shall allow the worker to indicate if the person's date of birth is an estimate.
CAP15	Common Administration	Person Mgt-General	Birth Information	59994	1.3.3	The system shall calculate and display the person's age.
CAP16	Common Administration	Person Mgt-General	Birth Information	59990	1.3.3	The system shall allow the worker to record if the date of birth was verified and the source of verification.
CAP18	Common Administration	Person Mgt-General	SSN Information	59998	1.3.4	The system shall allow the worker to record the person's Social Security Number.
CAP19	Common Administration	Person Mgt-General	SSN Information	59999	1.3.4	The system shall indicate the source of the Social Security Number verification.
CAP20	Common Administration	Person Mgt-General	SSN Information	59997	1.3.4	The system shall record the date the Social Security Number verification was complete.
CAP21	Common Administration	Person Mgt-General	SSN Information	59996	1.3.4	The system shall record if an application for a Social Security Number was completed and the date it was completed.
CAP21.1	Common Administration	Person Mgt-General	SSN Information	59995	1.3.4	The system shall allow a pseudo number to be assigned to an individual who does not have an assigned social security number.
CAP21.2	Common Administration	Person Mgt-General	SSN Information	60001	1.3.4	The system shall not allow duplicate social security numbers.
CAP21.3	Common Administration	Person Mgt-General	SSN Information	60000	1.3.4	The system shall maintain a history of all social security numbers per individual.
CAP22	Common Administration	Person Mgt-General	Language	60003	1.3.5	The system shall allow a worker to record if the person requires an interpreter.
CAP23	Common Administration	Person Mgt-General	Language	60004	1.3.5	The system shall allow the worker to record the person's primary language.
CAP24	Common Administration	Person Mgt-General	Language	60002	1.3.5	The system shall allow worker to record a person's primary language for correspondence.
CAP25	Common Administration	Person Mgt-General	Address and Phone	60013	1.3.6	The system shall support United States addresses (domestic) as well as foreign addresses.
CAP27	Common Administration	Person Mgt-General	Address and Phone	60011	1.3.6	The system shall allow for only one primary address per person for benefit related correspondence.
CAP27.1	Common Administration	Person Mgt-General	Address and Phone	60014	1.3.6	The system shall allow for one privacy address per person according to HIPAA regulations.
CAP28	Common Administration	Person Mgt-General	Address and Phone	60005	1.3.6	The system shall allow for only one address per household for benefit related correspondence in the event there is more than one person in the household.
CAP30	Common Administration	Person Mgt-General	Address and Phone	60015	1.3.6	The system shall allow the worker to enter in effective dates for each address entered.

CAP30.1	Common Administration	Person Mgt-General	Address and Phone	60007	1.3.6	The system shall be able to calculate and display the length of time an individual has resided in Alabama from the effective dates entered.
CAP31	Common Administration	Person Mgt-General	Address and Phone	60010	1.3.6	The system shall allow the worker to enter in email addresses for any person entered into the system.
CAP32	Common Administration	Person Mgt-General	Address and Phone	60016	1.3.6	The system shall allow the worker to enter in fax numbers for any persons.
CAP33	Common Administration	Person Mgt-General	Address and Phone	60009	1.3.6	The system shall provide the worker a mechanism to enter in one address for a family and associate it to each person.
CAP34	Common Administration	Person Mgt-General	Address and Phone	60006	1.3.6	The system shall provide the capability to verify beneficiary addresses with the US Postal Service (USPS) and National change of Address (NCOA) file.
CAP35.1	Common Administration	Person Mgt-General	Address and Phone	60012	1.3.6	The system shall accept updates from the USPS and NCOA interface and update records accordingly.
CAP36	Common Administration	Person Mgt-General	Address and Phone	60017	1.3.6	The system shall allow override of postal verification in cases where actual address does not agree with postal verification or cannot be readily verified (i.e. prior to latest update of postal software, for homeless shelters, for migrant camps, etc.)
CAP36.1	Common Administration	Person Mgt-General	Address and Phone	61265	1.3.6	The system shall apply USPS bar coding to forms, notices and envelopes where an address is also required.
CAP38	Common Administration	Person Mgt-General	Citizenship	60022	1.3.7	The system shall record a person's citizenship data.
CAP39	Common Administration	Person Mgt-General	Citizenship	60020	1.3.7	The system shall record if the person's citizenship data has been verified.
CAP40	Common Administration	Person Mgt-General	Citizenship	60018	1.3.7	The system shall track the citizenships source of verification and date of verification.
CAP40.1	Common Administration	Person Mgt-General	Citizenship	60023	1.3.7	The system shall record a person's alien status.
CAP40.2	Common Administration	Person Mgt-General	Citizenship	60021	1.3.7	The system shall record if the person's alien status has been verified.
CAP40.3	Common Administration	Person Mgt-General	Citizenship	60019	1.3.7	They system shall record the alien verification source and date of verification.
CAP41	Common Administration	Person Mgt-General	Migrant-Refugee	60027	1.3.8	The system shall allow recording a person's refugee status.
CAP42	Common Administration	Person Mgt-General	Migrant-Refugee	60026	1.3.8	The system shall allow recording of the person's Country of Origin.
CAP43	Common Administration	Person Mgt-General	Migrant-Refugee	60025	1.3.8	The system shall allow recording of the Date the person entered the USA.
CAP45	Common Administration	Person Mgt-General	Migrant-Refugee	60029	1.3.8	The system shall provide the reason for coming to USA.
CAP46	Common Administration	Person Mgt-General	Migrant-Refugee	60028	1.3.8	The system shall allow recording a person's migrant status.
CAP46.1	Common Administration	Person Mgt-General	Migrant-Refugee	60024	1.3.8	The system shall capture the dates of an individual's refugee assistance.
CAP47	Common Administration	Person Mgt-General	Relationships	60031	1.3.9	The system shall allow worker to set, maintain and associate relationships to each person which allows for tracking across multiple generations and multiple cases.
CAP48	Common Administration	Person Mgt-General	Relationships	60032	1.3.9	The system shall automatically determine reciprocal relationships (e.g. father - son identified by worker, son - father determined by system).
CAP49	Common Administration	Person Mgt-General	Relationships	60037	1.3.9	The system shall allow family relationship values to be configurable.

CAP50	Common Administration	Person Mgt-General	Relationships	60030	1.3.9	The system shall allow the worker to record the relationship between the child and the caretaker (e.g. parent, relative, guardian, potential adoptive parent, aunt, uncle, grandmother, etc).
CAP51	Common Administration	Person Mgt-General	Relationships	60036	1.3.9	The system shall maintain and display a history of all relationships entered.
CAP53	Common Administration	Person Mgt-General	Relationships	60034	1.3.9	The system shall allow the worker to capture the current marital status of each person.
CAP54	Common Administration	Person Mgt-General	Relationships	60035	1.3.9	The system shall allow workers to record the collateral relationship to the person.
CAP54.1	Common Administration	Person Mgt-General	Relationships	60033	1.3.9	The system shall allow the workers to record information related to a sponsor, spouse and authorized representative of an applicant.
CAP55	Common Administration	Person Mgt-General	Person Merge/Unmerge	59953	1.3.10	The system shall allow merging of a duplicate person's data into a single active person's data.
CAP56	Common Administration	Person Mgt-General	Person Merge/Unmerge	59954	1.3.10	The system shall allow the worker to select which data should be retained on the active person.
CAP57	Common Administration	Person Mgt-General	Person Merge/Unmerge	59956	1.3.10	The system shall allow reversing the merged person's record.
CAP58	Common Administration	Person Mgt-General	Person Merge/Unmerge	59955	1.3.10	The system shall be able to split a person's record to create two active persons.
CAP59	Common Administration	Person Mgt-General	Person Merge/Unmerge	59952	1.3.10	The system shall allow the worker to select which data to associate to the two active persons when performing a person split.
CAP60	Common Administration	Person Mgt-General	Household Merge/Unmerge	59958	1.3.11	The system shall allow merging of a duplicate household's data into a single active household's data.
CAP61	Common Administration	Person Mgt-General	Household Merge/Unmerge	59959	1.3.11	The system shall allow the worker to select which data should be retained on the active household.
CAP62	Common Administration	Person Mgt-General	Household Merge/Unmerge	59961	1.3.11	The system shall allow reversing the merged household's record.
CAP63	Common Administration	Person Mgt-General	Household Merge/Unmerge	59960	1.3.11	The system shall be able to split a household record to create two active households.
CAP64	Common Administration	Person Mgt-General	Household Merge/Unmerge	59957	1.3.11	The system shall allow the worker to select which data to associate to the two active households when performing a household split.
CAP65	Common Administration	Person Mgt-General	Health Insurance Information	59963	1.3.12	The system shall provide a mechanism to track all health insurance information including but not limited to both primary and secondary coverage.
CAP66	Common Administration	Person Mgt-General	Health Insurance Information	59967	1.3.12	The system shall require the worker to document whether or not the applicant discloses health insurance.
CAP67	Common Administration	Person Mgt-General	Health Insurance Information	59964	1.3.12	The system shall require the worker to document whether or not the person is covered by health insurance by someone else.
CAP68	Common Administration	Person Mgt-General	Health Insurance Information	59966	1.3.12	The system shall require the worker to document whether or not health insurance is available but not being used.
CAP69	Common Administration	Person Mgt-General	Health Insurance Information	59968	1.3.12	The system shall require the worker to document the reasons why insurance is not being used.

CAP70	Common Administration	Person Mgt-General	Health Insurance Information	59962	1.3.1 2	The system shall send a system alert to the authorized worker when insurance is available but not purchased or is dropped for purposes of HIPP or premium payment.
CAP71	Common Administration	Person Mgt-General	Health Insurance Information	59976	1.3.1 2	The system shall allow a worker to record the primary holder's name.
CAP72	Common Administration	Person Mgt-General	Health Insurance Information	59965	1.3.1 2	The system shall allow a worker to record the employers name, phone, and address who is providing health coverage.
CAP73	Common Administration	Person Mgt-General	Health Insurance Information	59969	1.3.1 2	The system shall allow a worker to record the insurance company's name, address, and phone.
CAP74	Common Administration	Person Mgt-General	Health Insurance Information	59977	1.3.1 2	The system shall allow a worker to record the group/policy number.
CAP75	Common Administration	Person Mgt-General	Health Insurance Information	59972	1.3.1 2	The system shall allow a worker to record the coverage code and contract number
CAP76	Common Administration	Person Mgt-General	Health Insurance Information	59973	1.3.1 2	The system shall allow a worker to enter the reason for any change in coverage.
CAP77	Common Administration	Person Mgt-General	Health Insurance Information	59978	1.3.1 2	The system shall record start and end dates for insurance coverage.
CAP79	Common Administration	Person Mgt-General	Health Insurance Information	59971	1.3.1 2	The system shall be able to translate codes for insurance providers into "plain English"
CAP80	Common Administration	Person Mgt-General	Health Insurance Information	59979	1.3.1 2	The system shall track any and all Medicaid and Medicare numbers.
CAP81	Common Administration	Person Mgt-General	Health Insurance Information	59970	1.3.1 2	The system shall track begin and end dates associated with Medicaid and Medicare numbers.
CAP81.1	Common Administration	Person Mgt-General	Health Insurance Information	59975	1.3.1 2	The system shall record the frequency of the health insurance payment.
CAP81.2	Common Administration	Person Mgt-General	Health Insurance Information	59980	1.3.1 2	The system shall record multiple health insurance policies.
CAP81.3	Common Administration	Person Mgt-General	Health Insurance Information	59974	1.3.1 2	The system shall record type of insurance policy (burial, dental, life, etc.)
ISC1	Intake	Screening	Potential Eligibility	60039	2.1.1	The system shall provide the capability to electronically identify the Medicaid programs that an individual or family may qualify for based on a limited set of key identifying questions
ISC2	Intake	Screening	Potential Eligibility	60045	2.1.1	The system shall provide the capability to link with screening systems that may be under development for other programs such as the Temporary Assistance for Needy Families (TANF) program, Child Health Insurance Program (CHIP), Supplemental Nutritional Assistance Program (SNAP), and child care to help applicant/eligibles identify the full scope of services for which they are eligible
ISC3	Intake	Screening	Potential Eligibility	60040	2.1.1	The system shall provide the web based functionality to allow applicants/eligibles to complete screening for potential Medicaid and CHIP eligibility.
IOL2	Intake	Screening	Potential Eligibility	60063	2.1.1	The online application shall include an interactive preliminary assessment screening tool to reduce the number of nonqualified applicants that submit unnecessarily.

ISC3.1	Intake	Screening	Potential Eligibility	60038	2.1.1	The Medicaid pre-assessment screening tool shall allow an individual to answer an initial basic set of questions to quickly and anonymously identify potential eligibility based on the user's responses.
ISC5	Intake	Screening	Potential Eligibility	60041	2.1.1	The system screening tool shall have required edit fields to be completed before providing potential eligibility results.
ISC6	Intake	Screening	Potential Eligibility	60042	2.1.1	The system screening tool shall allow the applicant/eligible to print the screening results from their location.
ISC10	Intake	Screening	Potential Eligibility	60044	2.1.1	The system shall monitor the number of screenings completed.
ISC10.1	Intake	Screening	Potential Eligibility	60043	2.1.1	The pre-assessment screening tool shall be available in English and Spanish at a fourth grade reading level.
ISC11	Intake	Screening	Outreach	60047	2.1.2	The system shall provide, through the screening tool, information to individuals about local offices or other organizations offering assistance and/or services (e.g. CHIP, Child Support, TANF, Child Care, employment services, etc.).
ISC12	Intake	Screening	Outreach	60046	2.1.2	The system shall provide, through the on line application, information to individuals about local offices or other organizations offering assistance and/or services (e.g. CHIP, Child Support, TANF, Child Care, employment services, etc.).
ISC13	Intake	Screening	Outreach	60048	2.1.2	The system shall provide the capability to create and maintain waiting lists for individuals who request program benefits, but the program is currently closed to new applications.
ISC14	Intake	Screening	Referral	60049	2.1.3	The system shall support automatic referrals to, such as HIPP, LTC, Program Integrity, NET, Home and Community Based Waivers, TPL, CHIP, DHR, WIC, DMV, etc. based on an applicant's responses submitted via the online application.
ISC15	Intake	Screening	Referral	60050	2.1.3	The system shall support referrals to, such as, HIPP, LTC, Program Integrity, NET, Home and Community Based Waivers, TPL, CHIP, etc. upon action initiated by the worker.
IOL1	Intake	On-line Application	General	60055	2.2.1	The online application shall be featured as a part of a self-service portal that allows customers to screen and apply for benefits, report changes and renew benefits.
IOL4	Intake	On-line Application	General	60088	2.2.1	The system shall provide a web based functionality to allow applicants/eligibles to make an application online.
IOL23	Intake	On-line Application	General	60051	2.2.1	The web portal shall provide access to allow the applicant/recipient to add or delete a member, view their eligibility status, eligibility benefits, report changes, change primary health provider, lookup Q&As, request replacement Medicaid card, to apply and complete a renewal, and complete requisite forms, and NET request.
IOL26	Intake	On-line Application	General	60076	2.2.1	The online application shall allow applicants to fill out the form for electronic submission and/or print a copy for their records.
IOL28	Intake	On-line Application	General	60087	2.2.1	The system shall provide web based functionality to allow the applicant/recipient to renew eligibility online.
IOL30	Intake	On-line Application	General	60092	2.2.1	The system shall allow the worker to upload source documents to support eligibility determination.

IOL6	Intake	On-line Application	General	60054	2.2.1	The online application shall allow the applicant/recipient, authorized representative and an application assister to electronically sign and submit their application or renewal or changes in real-time.
IOL6.1	Intake	On-line Application	General	60059	2.2.1	The online application shall require that an authorized representative or application assister to identify their relationship as a condition for acceptance of the electronic signature.
IOL9	Intake	On-line Application	General	60093	2.2.1	The online application shall be available in English and Spanish at a fourth grade reading level.
IOL36	Intake	On-line Application	General	60091	2.2.1	The online application shall allow for postal address verification that conforms to the USPS standards.
IOL10	Intake	On-line Application	General	60095	2.2.1	The online application shall be located with ease through various online search engines.
IOL7	Intake	On-line Application	Security	60072	2.2.2	The online application shall feature a user identification/password to ensure confidentiality once the application or renewal is received.
IOL8	Intake	On-line Application	Security	60073	2.2.2	The online application shall use advanced encryption technology to protect person information and comply with HIPAA privacy requirements.
IOL19	Intake	On-line Application	Security	60061	2.2.2	The online application shall allow the applicant/recipient to use their unique user identification/password to check on the status of the application after it is submitted.
IOL24	Intake	On-line Application	Security	60094	2.2.2	The system shall display confidentiality statements and privacy protections wherever appropriate.
IOL27	Intake	On-line Application	Security	60097	2.2.2	The system shall assign a unique identifier to each electronic application received.
IOL20	Intake	On-line Application	Security	60071	2.2.2	The system shall allow an applicant to review the current application after formal submission with the appropriate safeguards and security measures.
IOL21	Intake	On-line Application	Security	60062	2.2.2	The system shall allow an applicant to update certain information from the most recent application at reapplication with the appropriate safeguards and security measures.
IOL11	Intake	On-line Application	User Interface	60067	2.2.3	The online application shall include "skip patterns" designed to only elicit information needed to evaluate the applicant/recipients' individual circumstances.
IOL13	Intake	On-line Application	User Interface	60057	2.2.3	The online application shall be designed to only ask those questions required for which they are applying based on broad program groups (e.g. Family Certification, Elderly & Disabled, etc)
IOL13.1	Intake	On-line Application	User Interface	60098	2.2.3	The system shall allow personalized questions by applicant name.
IOL13.2	Intake	On-line Application	User Interface	60078	2.2.3	The system shall display reminders about required fields such as signature and wages or resources even if the answer is zero or none.
IOL16.2	Intake	On-line Application	User Interface	60083	2.2.3	The online application shall point out missing data, errors and inconsistencies as they progress through the application.
IOL41	Intake	On-line Application	User Interface	60096	2.2.3	The online application shall prompt the applicant/recipient to enter an email address.
IOL42	Intake	On-line Application	User Interface	60090	2.2.3	The online application shall ask the applicant/recipient if they would like to receive information via email.

IOL14	Intake	On-line Application	User Interface	60075	2.2.3	The online application shall have functionality that allows multiple records (i.e. family members) to be quickly added on the same page.
IOL16	Intake	On-line Application	User Interface	60065	2.2.3	The online application shall notify the applicant/recipient which additional source documents will be necessary to complete the eligibility determination process.
IOL31	Intake	On-line Application	User Interface	60084	2.2.3	The online application shall feature a progress bar and left navigation menu to highlight applicant/recipient's progress.
IOL31.1	Intake	On-line Application	User Interface	60077	2.2.3	The online application shall allow the applicant/recipient to jump back to sections previously completed without losing data entered.
IOL32	Intake	On-line Application	User Interface	60069	2.2.3	The online application shall feature calculation tools to display computations of wages and income, resources, deductions and family size for each program.
IOL16.1	Intake	On-line Application	Submission of Application	60066	2.2.4	The online application shall prevent the applicant/recipient from submitting an online application with missing data, errors and inconsistencies in information.
IOL17	Intake	On-line Application	Submission of Application	60058	2.2.4	The system shall allow a prospective applicant/recipient to suspend the application process and return to it at a later time with appropriate security to access the suspended application.
IOL18	Intake	On-line Application	Submission of Application	60068	2.2.4	The online application shall allow the applicant/recipient to suspend the application or renewal for up to 30 days in order to gather additional information.
IOL18.1	Intake	On-line Application	Submission of Application	60086	2.2.4	The system shall purge information that is contained in an online application if it is not submitted after 30 days.
IOL18.3	Intake	On-line Application	Submission of Application	60079	2.2.4	The system shall not allow an applicant/recipient to submit more than one application within 30 days from the initial submission.
IOL22	Intake	On-line Application	Submission of Application	60070	2.2.4	The system shall maintain a history of all changes and updates to applications that is easily viewable by the Agency worker in the electronic case file.
IOL22.1	Intake	On-line Application	Submission of Application	60060	2.2.4	The system shall display to the worker upon receipt of the online application discrepant information between the new application and information stored in the existing case.
IOL2.1	Intake	On-line Application	Submission of Application	60074	2.2.4	The online application shall allow the applicant/recipient to report changes and offer eligibility information retrieval functionality.
IOL3	Intake	On-line Application	Submission of Application	60053	2.2.4	The online application shall have the ability to pre-populate recertification application with applicant/recipient information that is currently on file and allow the applicant/recipient to change or add information.
IOL33	Intake	On-line Application	Submission of Application	60052	2.2.4	The data entered into the online application shall be submitted via secure transmission protocol and automatically populated in the system's data fields and appropriate interfaces to eliminate need for worker to manually key data.
IOL34	Intake	On-line Application	Submission of Application	60056	2.2.4	The online application shall provide customized local Agency information (i.e. physical office location of nearest District Office and name, email and phone) about how to get in touch with a worker.

IOL35	Intake	On-line Application	Submission of Application	60081	2.2.4	The online application shall produce customized listing of source documentation required to complete eligibility determination.
IOL37	Intake	On-line Application	Submission of Application	60080	2.2.4	The online application shall present the applicant/recipient with a summary view of the information entered prior to submission.
IOL38	Intake	On-line Application	Submission of Application	60064	2.2.4	The online application shall require the applicant/recipient to agree to all required affirmations and agreements as a condition for acceptance of the application.
IOL39	Intake	On-line Application	Submission of Application	60085	2.2.4	The online application shall provide the ability to change the primary applicant on the application prior to submission.
IOL40	Intake	On-line Application	Submission of Application	60089	2.2.4	The online application shall provide a preliminary eligibility determination upon submission of an application.
IRI1	Intake	Record Intake	General Workflow	60107	2.3.1	The system shall provide an automated or guided application process to enable the worker to easily enter required information.
IRI2	Intake	Record Intake	General Workflow	60113	2.3.1	The system shall allow the worker to exit the workflow when desired in order to move directly to a screen of choice.
IRI3	Intake	Record Intake	General Workflow	60115	2.3.1	The system shall allow the worker to record all applicant/recipient inquiries/complaints in an automated fashion.
IRI4	Intake	Record Intake	General Workflow	60102	2.3.1	The system shall provide system-generated date and time stamp for receipt of applications to be used in monitoring standards of promptness by program.
IRI5	Intake	Record Intake	General Workflow	60123	2.3.1	The system shall assign an internal unique identifier to each intake.
IRI6	Intake	Record Intake	General Workflow	60100	2.3.1	The system shall have the capability to allow the worker to record multiple types of intakes (e.g. complaints, information only, messages, referrals, requests for transportation services, etc).
IRI7	Intake	Record Intake	General Workflow	60099	2.3.1	The system shall support modifying and reclassifying an incorrectly identified intake (e.g. request for transportation, informational only) without creating a new internal unique identifier or re-entering data into the system.
IRI8	Intake	Record Intake	General Workflow	60112	2.3.1	The system shall allow the worker to record at any time during the intake process, the type of intake being received.
IRI9	Intake	Record Intake	General Workflow	60118	2.3.1	The system shall track the method the intake information was received (e.g. call, mail, etc).
IRI10	Intake	Record Intake	General Workflow	60117	2.3.1	The system shall display the dates and times when intake information is entered into the system.
IRI11	Intake	Record Intake	General Workflow	60124	2.3.1	The system shall support capturing a narrative of the intake.
IRI12	Intake	Record Intake	General Workflow	60103	2.3.1	The system shall provide a means for the worker to record a message to another individual, link the message to the case and send it to the worker.
IRI13	Intake	Record Intake	General Workflow	60109	2.3.1	The system shall provide edit checks that are limited to essential information and do not impede collection of the intake.

IRI14	Intake	Record Intake	General Workflow	60101	2.3.1	The system shall allow the authorized worker to override the system-generated date with a date in the past if date of application is different from current date (no future dated applications)
IRI15	Intake	Record Intake	General Workflow	60114	2.3.1	The system shall automatically identify those applicants that are potentially eligible for express lane eligibility.
IRI16	Intake	Record Intake	General Workflow	60119	2.3.1	The system shall only collect applicant/case information once and share across all programs.
IRI17	Intake	Record Intake	General Workflow	60104	2.3.1	The system shall provide interactive questions that can lead to appropriate next questions based on responses and other existing data.
IRI18	Intake	Record Intake	General Workflow	60111	2.3.1	The system shall be flexible to allow the worker to conduct interactive interview with the applicant/recipient present.
IRI20	Intake	Record Intake	General Workflow	60121	2.3.1	The system shall require the worker to document the case action type. M-1 TYPES
IRI21	Intake	Record Intake	General Workflow	60116	2.3.1	The system shall prompt questions for the worker to answer based on the type of action documented.
IRI22	Intake	Record Intake	General Workflow	60108	2.3.1	The system shall prompt questions for the worker to answer based on the type of program for which the individual is eligible.
IRI23	Intake	Record Intake	General Workflow	60110	2.3.1	The system shall require the worker to identify whether or not the applicant is applying for self or as a representative.
IRI25	Intake	Record Intake	General Workflow	60120	2.3.1	The system shall allow and record an applicant's request to withdraw an application.
IRI31	Intake	Record Intake	General Workflow	60105	2.3.1	The system shall provide a set of questions that shall guide the applicant/eligible or worker through the NET payment request process.
IRI31.1	Intake	Record Intake	General Workflow	60122	2.3.1	The system shall track the processing of the release of HIPAA information.
IRI32	Intake	Record Intake	Person Management	60246	2.3.2	The system shall allow the worker to record applicant/eligible's address.
IRI33	Intake	Record Intake	Person Management	60244	2.3.2	The system shall allow the worker to record applicant/eligible's address type.
IRI34	Intake	Record Intake	Person Management	60238	2.3.2	The system shall allow the worker to record and associate applicant/eligible's code assignment(s) (e.g. county, district and region, other).
IRI35	Intake	Record Intake	Person Management	60242	2.3.2	The system shall allow the worker to record the living arrangement of the applicant/eligible.
IRI36	Intake	Record Intake	Person Management	60237	2.3.2	The system shall allow the worker to collect information on individuals associated with the applicant/eligible's guardian, custodian, sponsor, spouse and/or the representative payee such as name, address and address type.
IRI37	Intake	Record Intake	Person Management	60247	2.3.2	The system shall collect information related to homelessness.
IRI39	Intake	Record Intake	Person Management	60248	2.3.2	The system shall allow a verified death to be recorded.
IRI41	Intake	Record Intake	Person Management	60240	2.3.2	The system shall allow the worker to record the verification source of the death.
IRI42	Intake	Record Intake	Person Management	60243	2.3.2	The system shall allow worker to record applicant/eligible's emancipated youth indicator.
IRI43	Intake	Record Intake	Person Management	60245	2.3.2	The system shall allow worker to record applicant/eligible's custody status.

IRI44	Intake	Record Intake	Person Management	60239	2.3.2	The system shall allow worker to record other applicant/eligible demographic information as designated by the State.
IRI45	Intake	Record Intake	Medical Information	60249	2.3.3	The system shall allow the worker to collect medical criteria (i.e. substance abuse, mental health, etc) for applicant/recipients as needed for eligibility determination.
IRI46	Intake	Record Intake	Pregnancy Information	60255	2.3.4	The system shall allow worker to collect information about pregnancy.
IRI47	Intake	Record Intake	Pregnancy Information	60253	2.3.4	The system shall allow worker to record applicant/eligible's pregnancy date of delivery.
IRI48	Intake	Record Intake	Pregnancy Information	60252	2.3.4	The system shall allow the worker to record the applicant/eligible's date of confinement.
IRI49	Intake	Record Intake	Pregnancy Information	60250	2.3.4	The system shall alert the worker when no birth has been recorded and it is two months past the date of confinement.
IRI49.1	Intake	Record Intake	Pregnancy Information	60251	2.3.4	The system shall allow the worker to record the number of unborn children for the current pregnancy.
IRI49.2	Intake	Record Intake	Pregnancy Information	60254	2.3.4	The system shall allow the worker to assign a name for each unborn child.
IRI49.3	Intake	Record Intake	Pregnancy Information	60256	2.3.4	The system shall assign a unique identifier for each unborn child.
IRI49.4	Intake	Record Intake	Pregnancy Information	60257	2.3.4	The system shall assign a Medicaid number for each unborn child.
IRI50	Intake	Record Intake	Mental Health Information	60258	2.3.5	The system shall allow the worker to record screening types associated with Substance or Alcohol Abuse.
IRI53	Intake	Record Intake	Education Information	60259	2.3.6	The system shall provide the capability to track school attendance of any individual that is of school age per Agency policy.
IRI56	Intake	Record Intake	Special Needs of Individual	60260	2.3.7	The system shall allow the worker to document whether the applicant needs assistance due to special needs (i.e. blind)
IRI57	Intake	Record Intake	Special Needs of Individual	60261	2.3.7	The system shall have the capability to track applicant/recipients who are affected by a natural disaster.
IWF1	Intake	Intake Workflow	Nonfinancial Data Management	60262	2.4.1	The system shall not require the worker to collect person level nonfinancial eligibility data that is not required, if determination of eligibility can be made from supporting data based on Agency requirements.
IWF2	Intake	Intake Workflow	Nonfinancial Data Management	60263	2.4.1	The system shall establish applicant/recipient grouping automatically (e.g. families, households, income groupings, etc.) based on program requirements.
IWF3.1	Intake	Intake Workflow	Nonfinancial Data Management	61324	2.4.1	The system shall cross reference cases based on applicant/beneficiary groupings.
IWF3	Intake	Intake Workflow	Nonfinancial Data Management	60277	2.4.1	The system shall capture all nonfinancial data required by Agency policy.
IWF4	Intake	Intake Workflow	Nonfinancial Data Management	60275	2.4.1	The system shall verify if the applicant meets relationship eligibility factors.
IWF5	Intake	Intake Workflow	Nonfinancial Data Management	60279	2.4.1	The system shall track cooperation/non-cooperation for medical support.
IWF6	Intake	Intake Workflow	Nonfinancial Data Management	60271	2.4.1	The system shall allow the worker to document good cause for non-cooperation with medical support.

IWF7	Intake	Intake Workflow	Nonfinancial Data Management	60272	2.4.1	The system shall verify if the applicant meets cooperation factors for medical support.
IWF9	Intake	Intake Workflow	Nonfinancial Data Management	60280	2.4.1	The system shall verify if the applicant meets residency requirements.
IWF10	Intake	Intake Workflow	Nonfinancial Data Management	60273	2.4.1	The system shall verify if the applicant meets citizenship or immigrant requirements.
IWF10.1	Intake	Intake Workflow	Nonfinancial Data Management	60264	2.4.1	The system shall capture the alien's country of origin, alien registration number, date of entry, and all other information regarding aliens.
IWF10.3	Intake	Intake Workflow	Nonfinancial Data Management	60266	2.4.1	The system shall determine the five year ban period for aliens or eligibility periods for certain aliens depending on their eligibility type
IWF10.2	Intake	Intake Workflow	Nonfinancial Data Management	60276	2.4.1	The system shall capture citizenship and identification verification codes
IWF11	Intake	Intake Workflow	Nonfinancial Data Management	60265	2.4.1	The system shall verify if medical insurance (such as cancer policies and/or indemnity) or medical support benefits has been signed over to the State.
IWF12	Intake	Intake Workflow	Nonfinancial Data Management	60281	2.4.1	The system shall determine if the applicant meets age requirements.
IWF13	Intake	Intake Workflow	Nonfinancial Data Management	60268	2.4.1	The system shall verify if the applicant meets medical requirements for the health care coverage program being considered.
IWF14	Intake	Intake Workflow	Nonfinancial Data Management	60267	2.4.1	The system shall verify if the applicant meets living arrangements required for the health care coverage program being considered.
IWF15	Intake	Intake Workflow	Nonfinancial Data Management	60274	2.4.1	The system shall verify if the applicant has health care coverage from other sources.
IWF16	Intake	Intake Workflow	Nonfinancial Data Management	60269	2.4.1	The system shall capture and track sanctions, disqualifications and suspensions (e.g. transfer of assets, fraud, etc)
IWF16.1	Intake	Intake Workflow	Nonfinancial Data Management	60282	2.4.1	The system shall capture and track eligibility for partial services
IWF16.2	Intake	Intake Workflow	Nonfinancial Data Management	60278	2.4.1	The system shall capture information regarding a disaster circumstances
IWF16.3	Intake	Intake Workflow	Nonfinancial Data Management	60270	2.4.1	The system shall capture medical information including but not limited to sterilization, pregnancy, disability, etc.
IWF17	Intake	Intake Workflow	Financial Data Management	60293	2.4.2	The system shall provide the capability to collect earned income at the person level.
IWF18	Intake	Intake Workflow	Financial Data Management	60286	2.4.2	The system shall allow the worker to collect and record employment types such as gross wages, tips, rental income, and room and board.
IWF19	Intake	Intake Workflow	Financial Data Management	60292	2.4.2	The system shall provide the capability to collect unearned income at the person level.
IWF20	Intake	Intake Workflow	Financial Data Management	60283	2.4.2	The system shall allow the worker to collect unearned income types such as lump sum settlements, child support, Social Security, social security disability, SSI, trust funds, educational stipends, Black Lung benefits, annuities, and retirement funds.
IWF20.1	Intake	Intake Workflow	Financial Data Management	60291	2.4.2	The system shall capture the amount of income going into a qualified income trust (QIT).

IWF 20.2	Intake	Intake Workflow	Financial Data Management	60290	2.4.2	The system shall capture subtypes of types of income (e.g. VA aide and attendance, CME and UME)
IWF21	Intake	Intake Workflow	Financial Data Management	60287	2.4.2	The system shall allow the association of multiple income types (earned and unearned) to an individual.
IWF22	Intake	Intake Workflow	Financial Data Management	60296	2.4.2	The system shall allow the start and end dates of all income types.
IWF23	Intake	Intake Workflow	Financial Data Management	60295	2.4.2	The system shall capture whether or not the individual is self-employed.
IWF24	Intake	Intake Workflow	Financial Data Management	60285	2.4.2	The system shall allow the worker to collect financial data using effective dates for application by the eligibility rules to the correct budget months.
IWF25	Intake	Intake Workflow	Financial Data Management	60284	2.4.2	The system shall calculate applicant/recipient's total income according to individual program rules and apply income eligibility according to program rules.
IWF26	Intake	Intake Workflow	Financial Data Management	60289	2.4.2	The system shall maintain table driven income and asset minimum and maximum levels by family size.
IWF27	Intake	Intake Workflow	Financial Data Management	60294	2.4.2	The system shall have the functionality to convert income amounts to monthly amounts.
IWF27.1	Intake	Intake Workflow	Financial Data Management	60288	2.4.2	The system shall capture and calculate disregards, deductions and exclusions based on the program.
IWF28	Intake	Intake Workflow	Expense Data Management	60297	2.4.3	The system shall collect expenditure amounts and types such as educational expenses, medical, Medicare premiums, health insurance premiums, child care, child support, alimony, etc.
IWF29	Intake	Intake Workflow	Expense Data Management	60301	2.4.3	The system shall collect for whom these expenses are paid.
IWF30	Intake	Intake Workflow	Expense Data Management	60302	2.4.3	The system shall collect non-covered medical expenditures.
IWF31	Intake	Intake Workflow	Expense Data Management	60299	2.4.3	The system shall associate dates regarding when expenses were incurred.
IWF32	Intake	Intake Workflow	Expense Data Management	60300	2.4.3	The system shall calculate deductibles according to program rules.
IWF32.1	Intake	Intake Workflow	Expense Data Management	60298	2.4.3	The system shall capture and calculate copayment information regarding prescription plans other than PartD.
IWF33	Intake	Intake Workflow	Resource Data Management	60312	2.4.4	The system shall allow the worker to collect resource values on the applicant's savings, assets, and property.
IWF34	Intake	Intake Workflow	Resource Data Management	60305	2.4.4	The system shall allow the worker to collect resource data using effective dates for application by the eligibility rules to the correct budget months.
IWF35	Intake	Intake Workflow	Resource Data Management	60307	2.4.4	The system shall calculate total resources according to individual program rules and apply asset eligibility according to program rules.
IWF36	Intake	Intake Workflow	Resource Data Management	60304	2.4.4	The system shall categorize financial resources details by types such as bank accounts, credit union accounts, retirement accounts, CDs, 401(K) and any other savings.
IWF36.1	Intake	Intake Workflow	Resource Data Management	60309	2.4.4	The system shall capture data on financial institutions including but not limited to name, address, ticker symbol, etc.
IWF37	Intake	Intake Workflow	Resource Data Management	60319	2.4.4	The system shall allow the worker to capture account details regarding resources.
IWF38	Intake	Intake Workflow	Resource Data Management	60308	2.4.4	The system shall categorize assets details by types such as houses, cars, trucks, motorcycles, motor homes, boats, mobile home etc.

IWF38.1	Intake	Intake Workflow	Resource Data Management	60311	2.4.4	The system shall capture situations where a mobile home in which they reside is owned but do not own the property.
IWF39	Intake	Intake Workflow	Resource Data Management	60317	2.4.4	The system shall allow the worker to capture whether or not the resource is "co-owned".
IWF40	Intake	Intake Workflow	Resource Data Management	60313	2.4.4	The system shall allow the worker to capture the details associated with the "co-owner" of any resource.
IWF41	Intake	Intake Workflow	Resource Data Management	60303	2.4.4	The system shall capture property/real estate with land or housing that has value or that is leased, rented or borrowed out or life estate or ownership interest on property.
IWF41.1	Intake	Intake Workflow	Resource Data Management	60318	2.4.4	The system shall capture the number of heirs and calculate the ownership interest.
IWF42	Intake	Intake Workflow	Resource Data Management	60306	2.4.4	The system shall capture if anyone has, within the last 60 months, sold, transferred, given away, traded a resource/asset or has closed an account.
IWF43	Intake	Intake Workflow	Resource Data Management	60315	2.4.4	The system shall capture the cash surrender and face value of any life/burial insurance policies.
IWF43.1	Intake	Intake Workflow	Resource Data Management	60320	2.4.4	The system shall capture information on a pre-paid burial.
IWF44	Intake	Intake Workflow	Resource Data Management	60310	2.4.4	The system shall capture individual values of resources/assets and total them for eligibility determination purposes.
IWF44.1	Intake	Intake Workflow	Resource Data Management	60316	2.4.4	The system shall capture whether or not the resource was excluded and the reason for exclusion.
IWF44.2	Intake	Intake Workflow	Resource Data Management	60314	2.4.4	The system shall capture if a nursing home resident is still paying a mortgage and track foreclosures.
IWF44.3	Intake	Intake Workflow	Resource Data Management	60321	2.4.4	The system shall capture information on liens.
IWF45	Intake	Intake Workflow	Validation and Verification of Eligibility	60322	2.4.5	The system shall provide the capability for documents to be managed electronically during the intake process as defined in the requirements for document management.
IWF46	Intake	Intake Workflow	Validation and Verification of Eligibility	60323	2.4.5	The system shall provide the capability to track and control information and identify source documents that are missing at the time of initial data entry
IWF46.1	Intake	Intake Workflow	Validation and Verification of Eligibility	60325	2.4.5	The system shall track the dates missing information is received.
IWF46.2	Intake	Intake Workflow	Validation and Verification of Eligibility	60324	2.4.5	The system shall allow the documents to be scanned into the electronic case file and the ability to organize records and documents.
IWF47	Intake	Intake Workflow	Validation and Verification of Eligibility	60326	2.4.5	The system shall allow for the worker to be able to access data validation and verification information from any point during intake.
IWF48	Intake	Intake Workflow	Validation and Verification of Eligibility	60327	2.4.5	The system shall provide an electronic capability to share with other internal and external data sources, including other State agency sources, Federal sources, and available commercial information sources, to obtain information that is needed to verify and validate eligibility information that has been received from the applicant/eligible, or to search for additional information that is needed.
IWF49	Intake	Intake Workflow	Validation and Verification of Eligibility	60328	2.4.5	The system shall support the worker in making direct inquiries into other sources of data during intake as defined by interface requirements.
IWF50	Intake	Intake Workflow	Confidential Cases	61003	2.4.6	The system shall provide the ability to designate any intake and any case as confidential at any time.

IWF51	Intake	Intake Workflow	Confidential Cases	61004	2.4.6	The system shall allow only authorized workers to view and update the information in cases deemed to be confidential.
IWF52	Intake	Intake Workflow	Confidential Cases	61005	2.4.6	The system shall display to unauthorized workers a visual indicator that the case is deemed to be confidential and access is unauthorized.
IWF53	Intake	Intake Workflow	Confidential Cases	61006	2.4.6	The system shall automatically designate the case of a staff member as confidential.
IWF54	Intake	Intake Workflow	Confidential Cases	61007	2.4.6	The system shall require an explanation when a case or intake is made confidential and when it is changed by an authorized worker.
IEC001	Intake	Electronic Case File		60136	2.5	The system shall provide for the establishment of an electronic case file using minimum data.
IEC002	Intake	Electronic Case File		60134	2.5	The system shall provide for pre-population of an electronic case file to the extent possible with information submitted electronically by an application assister or applicant, or from previously submitted information or public information, as well as from batch program actions.
IEC003	Intake	Electronic Case File		60135	2.5	The system shall provide the capability to print all or part of the electronic case file information.
IEC004	Intake	Electronic Case File		60131	2.5	The system shall provide the capability to create and maintain an electronic case file of all applicants/eligibles and families requesting services from the time that they first make an entry to the system.
IEC005	Intake	Electronic Case File		60127	2.5	The system shall provide the capability to set up an electronic case file that is organized to record the application and documentation information including multi-media and speech submitted by an individual or family.
IEC006	Intake	Electronic Case File		60130	2.5	The system shall provide the capability to generate an electronic case file that records all application questions and responses, and organizes all supporting documentation and images in an easy-to-use format.
IEC007	Intake	Electronic Case File		60132	2.5	The system shall provide the capability to organize information in case files in multiple formats, including in accordance with key eligibility requirements and MEQC and PERM audit protocols in order to help direct worker attention to the key requirements to consider for quality control purposes, and to facilitate and expedite MEQC and PERM review efforts.
IEC009	Intake	Electronic Case File		60126	2.5	The system shall have the capability to provide a worker with a profile of information obtained, validated, and verified, and the sources for information, at the time an application is forwarded to a worker for follow up case handling.
IEC011	Intake	Electronic Case File		60128	2.5	The electronic case file subsystem shall provide date translation from other date formats and have capability that includes but is not limited to, century recognition, calculation, and logic that accommodate same-century and multi-century formulas and date values, and date interface values that reflect the century.
IEC012	Intake	Electronic Case File		60129	2.5	The electronic case file subsystem shall have scripting capability that will allow for evaluation of the contents of two or more fields on the same record, as well as of fields in objects linked to that record.

EDT1	Eligibility	Eligibility - General		59536	3.1	The system shall be able to handle multiple and different types of case actions including receipt of new applications, pending applications, awards, denials, a change in circumstances that may or may not impact eligibility, suspensions, reapplications, redeterminations, case closures/terminations, and exparte.
EDT2	Eligibility	Eligibility - General		59537	3.1	The system shall provide the capability to automate eligibility actions such as awards, denials, pending, suspensions, terminations, renewals, alerts, notifications, expartes, and interim actions.
EDT3	Eligibility	Eligibility - General		59539	3.1	The system shall be able to pend an application and applicants until eligibility has been determined.
EDT4	Eligibility	Eligibility - General		59540	3.1	The system shall provide the capability to pend and award one program while the determination of benefits for a separate program remains pending or active.
EDT5	Eligibility	Eligibility - General		59541	3.1	The system shall provide the capability for the worker or applicant to save applications (for both initial applications and updates to the application) in progress and access them at a later point with all of the information still populated.
EDT6	Eligibility	Eligibility - General		59542	3.1	The system shall carry forward all updated information in the eligibility process and not require the worker to re-enter any previously acquired information.
EDT7	Eligibility	Eligibility - General		59543	3.1	The system shall allow the worker to import all or part(s) of an applicant's completed web application or use an application that already exists in the system to determine eligibility.
EDT8	Eligibility	Eligibility - General		59544	3.1	The system shall track and record changes made to the application or eligibility determination up until the point the eligibility decision is finalized.
EDT10	Eligibility	Eligibility - General		59545	3.1	The system shall apply program rules to ensure data is only required to be captured for applicants and those individuals whose circumstances are included in determining eligibility (data on other individuals may be allowed but not required).
EDT9	Eligibility	Eligibility - General		59546	3.1	The system shall perform edits that ensure that all required and appropriate data is documented to complete the application process.
EDT11	Eligibility	Eligibility - General		59547	3.1	The system shall provide the capability to identify and track recipients that may qualify for multiple programs.
EDT12	Eligibility	Eligibility - General		59549	3.1	The system shall provide an automated hierarchical or cascading eligibility determination approach that is table-driven, for Medicaid categories, to deliver the optimum benefits to each household member based on individual and household circumstances.
EDT13	Eligibility	Eligibility - General		59550	3.1	The system shall establish household groupings, and will allow worker override according to Agency policy, automatically.
EDT14	Eligibility	Eligibility - General		59552	3.1	The system shall determine the household/family size and identify the members of the case whose income, assets, expenses, and circumstances must be considered in the determination of eligibility in accordance with program rules, and will allow worker override.

EDT15	Eligibility	Eligibility - General		59553	3.1	The system shall, when the household fails financial tests, run a cascading test for a smaller family unit to establish eligibility for as many household members as possible.
EDT16	Eligibility	Eligibility - General		59554	3.1	The system shall provide the capability for individuals in a household to receive different benefits, though a household may represent a single case.
EDT17	Eligibility	Eligibility - General		59556	3.1	The system shall assign and display an eligibility status and program for each household member, including those who are in a case but have no eligibility (e.g., pending, approved, denied, etc.).
EDT18	Eligibility	Eligibility - General		59557	3.1	The system shall determine eligibility for multiple programs and multiple Medicaid categories as defined by Agency policy.
EDT19	Eligibility	Eligibility - General		59558	3.1	The system shall automatically allow for open, pend, update, exparte, suspend, create or terminate a closed period of eligibility of individuals found eligible by another agency administering the eligibility process.
EDT20	Eligibility	Eligibility - General		59561	3.1	The system shall provide the capability to automatically open, pend, update, exparte, suspend, create or terminate a closed period of eligibility for Medicaid for SSI-eligible individuals directly from the SDX interface as well as allowing these cases to be created manually by authorized staff.
EDT21	Eligibility	Eligibility - General		59562	3.1	The system shall provide the capability to automatically award retroactive Medicaid for SSI-eligible individuals based on data on the SDX.
EDT21.1	Eligibility	Eligibility - General		59563	3.1	The system shall provide the capability to award retroactive Medicaid for SSI-eligible individuals prior to the SSI-eligible date based on data on the SDX and Agency specifications.
EDT21.2	Eligibility	Eligibility - General		59564	3.1	The system shall provide the capability to award retroactive eligibility for the 3 months prior to Medicaid application.
EDT21.3	Eligibility	Eligibility - General		59565	3.1	The system shall provide the capability to capture and display any retroactive eligibility dates prior to the current month and the issuance date for the purpose of claims processing.
EDT22	Eligibility	Eligibility - General		59566	3.1	The system shall provide the capability to automatically exparte post-partum women to the Family Planning Waiver Program (Plan First) under established criteria.
EDT22.1	Eligibility	Eligibility - General		59568	3.1	The system shall provide the capability to perform an exparte for an eligible individual if they have been terminated from another program.

EDT23	Eligibility	Eligibility - General		59569	3.1	The system shall be capable of determining eligibility for the following Alabama Medicaid programs/categories (including but not limited to): Adopted Children, state and federal adoption subsidy groups, Aged Groups, Blind Groups, Breast and Cervical Cancer Program, Child of an SSI mother, Children under the age of 19, Children under 21 years of age in a psychiatric facility, Continuous Medicaid (Pickle Program), Department of Youth Services Children, Disabled Groups, Disabled Adult Child (DAC) Program, Disabled Widow/Widower Program, Disaster Survivors/Evacuees, Early Widow/Widower and Deemed Widow/Widower Program, Elderly and Disabled Waiver, Emergency Services for Aliens, Foster Children, Grandfathered Children, Grandfathered Nursing Home Medicaid, HIV/AIDS Waiver, Hospital Medicaid, Living at Home Waiver, Medicaid in an ICF-MR Facility, Nursing Home Medicaid, Payee Only, Plan First Family Planning Waiver, Post Extended Hospital Care (PRC), Pregnant Women, Psychiatric/Geriatric Medicaid, Qualified Disabled Working Individuals, Qualified Medicare Beneficiaries (QMB), Qualifying Individual-1 (QI-1), Refugee Medical Assistance, Retroactive Medicaid, Retroactive SSI Medicaid, Specified Low Income Medicare Beneficiary (SLMB), State Of Alabama Independent Living Waiver (SAIL), Technology Assisted Waiver for Adults, Transitional Medicaid for Adults and Children.
EDT25	Eligibility	Eligibility - General		59570	3.1	The system shall allow the workflow to be configurable so that it follows the application process.
EDT26	Eligibility	Eligibility - General		59571	3.1	The system shall provide an automated eligibility determination via a rules-based engine that is easily configurable to allow for new programs to be added and changes to existing programs.
EDT27	Eligibility	Eligibility - General		59572	3.1	The system shall provide the capability to easily update rule tables when policy changes occur, based on Role permissions.
EDT28	Eligibility	Eligibility - General		59573	3.1	The system shall allow rules to be date driven with begin and end dates.
EDT29	Eligibility	Eligibility - General		59576	3.1	The system shall contain a rules trace and highlight all factors where the applicant failed eligibility.
EDT30	Eligibility	Eligibility - General		59578	3.1	The system shall, in the case of exceptional circumstances, provide the capability for an individual to be eligible for multiple programs in the same month (e.g., QMB/SLMB recipient who becomes eligible for full coverage).
EDT30.1	Eligibility	Eligibility - General		59579	3.1	The system shall maintain an audit trail of eligibility actions in the applicant/eligible's electronic case file.
EDT31	Eligibility	Eligibility - General		59580	3.1	The system shall provide a summary view in the electronic case file of applicant/eligible eligibility history.
EDT32	Eligibility	Eligibility - General		59582	3.1	The system shall display eligibility history by eligibility segments that are date driven (with begin and end dates) and provide accurate eligibility program and other information at any point in history.
EDT33	Eligibility	Eligibility - General		59583	3.1	The system shall be capable of suspending an individual for a configurable period of time based on circumstances including but not limited to returned mail or incarceration.
EDT34	Eligibility	Eligibility - General		59584	3.1	The system shall provide the capability to reinstate eligibility without requiring an application process, when applicable (e.g. program closed in error, result of hearing decision, etc.).

EDT35	Eligibility	Eligibility - General		59586	3.1	The system shall provide the capability for managers/QC to electronically review applications against a hierarchy of program eligibility requirements to determine program or programs for which an individual or family may qualify.
EDT36	Eligibility	Eligibility - General		59587	3.1	The system shall track the number of slots available for applicable programs such as waiver programs or QI1 and maintain a waiting list or a recipient count for State or Federal allotment purposes.
EDT37	Eligibility	Determine Nonfinancial Eligibility		59647	3.2	The system, through the use of a rules engine, shall evaluate the nonfinancial eligibility of an applicant and make a determination whether or not the applicant passes or fails nonfinancial eligibility based on Agency policy.
EDT38	Eligibility	Determine Nonfinancial Eligibility		59650	3.2	The system shall make a determination of each nonfinancial eligibility criteria and display results of each criteria.
EDT39	Eligibility	Determine Nonfinancial Eligibility		59651	3.2	The system shall display the reason(s) for failure for each nonfinancial eligibility criteria.
EDT40	Eligibility	Determine Nonfinancial Eligibility		59648	3.2	The system shall capture data on medical, disability and blindness and require this data to be present for those programs for which medical, disability or blindness are an eligibility factor.
EDT41	Eligibility	Determine Nonfinancial Eligibility		59649	3.2	The system shall provide the capability for the Medical Review Team to approve or deny medical disability requests that impact eligibility.
EDT42	Eligibility	Determine Income Eligibility		59652	3.3	The system, through the use of a rules engine, shall evaluate the income eligibility of an applicant/recipient and make a determination whether or not the applicant passes or fails financial eligibility based on Agency policy.
EDT43	Eligibility	Determine Income Eligibility		59657	3.3	The system, in determining income eligibility, shall apply Agency methodology, rules and policy for what income is counted.
EDT44	Eligibility	Determine Income Eligibility		59660	3.3	The system, in determining income eligibility, shall apply the appropriate income standard (dollar amount).
EDT45	Eligibility	Determine Income Eligibility		59659	3.3	The system shall calculate the spousal and family income allocation for institutionalized individuals, as needed.
EDT45.1	Eligibility	Determine Income Eligibility		59665	3.3	The system shall calculate budgets for deemed income.
EDT46	Eligibility	Determine Income Eligibility		59654	3.3	The system shall provide the capability to add the applicant/recipient's earned income and unearned income together to arrive at total countable income.
EDT46.1	Eligibility	Determine Income Eligibility		59663	3.3	The system shall be able to apply disregards and deductions in the budgeting process.
EDT46.2	Eligibility	Determine Income Eligibility		59656	3.3	The system shall be capable of populating the income allocation into the family member's budget and determining eligibility.
EDT47	Eligibility	Determine Income Eligibility		59658	3.3	The system shall perform the calculations to arrive at an applicant's total income according to individual program rules.
EDT48	Eligibility	Determine Income Eligibility		59655	3.3	The system shall make a determination of whether each applicant/recipient meets the income eligibility criteria and display results of each criteria.

EDT49	Eligibility	Determine Income Eligibility		59653	3.3	The system shall display an income calculation for each budget month to show whose and which income was included, how much was counted and the total amount of income.
EDT50	Eligibility	Determine Income Eligibility		59662	3.3	The system shall display the reason(s) for failure for each income eligibility criteria.
EDT51	Eligibility	Determine Income Eligibility		59661	3.3	The system shall highlight in the rules trace where the applicant failed income eligibility.
EDT51.1	Eligibility	Determine Income Eligibility		59664	3.3	The system shall capture whether an income amount is part of a QIT.
EDT52	Eligibility	Determine Deductions/Disregards		59666	3.4	The system, through the use of a rules engine, shall make a determination whether or not an amount shall be deducted in determination of eligibility based on Agency policy.
EDT52.1	Eligibility	Determine Deductions/Disregards		59667	3.4	The system shall be able to calculate patient liability taking into account disregards such as VA pension disregards, non-covered medical, allocation, etc.
EDT53	Eligibility	Determine Deductions/Disregards		59671	3.4	The system shall total the allowable deductions/disregards for each applicant.
EDT54	Eligibility	Determine Deductions/Disregards		59670	3.4	The system shall make a determination and display all deductions and disregards used in the income calculation.
EDT55	Eligibility	Determine Deductions/Disregards		59669	3.4	The system shall highlight in the rules trace where and how the deduction/disregard was used in determining eligibility.
EDT55.1	Eligibility	Determine Deductions/Disregards		59668	3.4	The system shall be able to calculate and make necessary adjustments for non-covered medical expenses such as payments, co pays and premiums.
EDT56	Eligibility	Determine Resources/Assets		59674	3.5	The system, through the use of a rules engine, shall evaluate the resource/asset eligibility of an applicant when determining eligibility for those programs requiring a resource/asset test.
EDT57	Eligibility	Determine Resources/Assets		59676	3.5	The system shall, if applicable, make a determination whether or not the applicant passes or fails eligibility based on Agency policy in relation to resources/assets.
EDT58	Eligibility	Determine Resources/Assets		59681	3.5	The system shall make a determination of each resource/asset's impact on eligibility and display results of each determination.
EDT59	Eligibility	Determine Resources/Assets		59680	3.5	The system shall calculate the applicant/recipient's and the household's total resources/assets according to individual program rules.
EDT60	Eligibility	Determine Resources/Assets		59684	3.5	The system, in determining resource eligibility, shall apply the appropriate resource limit according to policy.
EDT61	Eligibility	Determine Resources/Assets		59675	3.5	The system shall display a resource calculation for each budget month to show whose and which resources were included, how much was counted and the total amount of countable resources.
EDT61.1	Eligibility	Determine Resources/Assets		59678	3.5	The system shall provide the capability to determine if spousal impoverishment rules apply and if applicable, allow a spousal resource assessment to be completed.

EDT62	Eligibility	Determine Resources/As sets		59673	3.5	The system shall be capable of calculating a spousal resource assessment based on resources owned at the time of institutionalization and use the calculated amount in the eligibility determination.
EDT63	Eligibility	Determine Resources/As sets		59685	3.5	The system shall automate a spend-down of resources calculation for an institutionalized individual.
EDT64	Eligibility	Determine Resources/As sets		59682	3.5	The system shall provide the capability to look at prior assets for a configurable look-back period in determining eligibility.
EDT65	Eligibility	Determine Resources/As sets		59679	3.5	The system shall display banking information such as bank balances that may alert the worker to explore whether a possible transfer of resources has occurred
EDT66	Eligibility	Determine Resources/As sets		59672	3.5	The system shall calculate the period of ineligibility due to a transfer of resources based on the amounts, dates, value of the transferred resources and other predefined criteria after the worker has verified the transfer.
EDT67	Eligibility	Determine Resources/As sets		59677	3.5	The system shall establish and display the date the transfer period of ineligibility begins and ends and the date Medicaid benefits can begin based on Agency policy.
EDT68	Eligibility	Determine Resources/As sets		59686	3.5	The system shall display the reason(s) for failure for each resource determination.
EDT69	Eligibility	Determine Resources/As sets		59683	3.5	The system shall highlight in the rules trace where and how the resource/asset test was applied in determining eligibility.
EDT70	Eligibility	Redetermination/Review of Eligibility		59696	3.6	The system shall track when a redetermination is due, and provide a system alert to the eligibility worker based on program and policy.
EDT71	Eligibility	Redetermination/Review of Eligibility		59703	3.6	The system shall allow authorized workers to manually change redetermination dates as needed.
EDT72	Eligibility	Redetermination/Review of Eligibility		59690	3.6	The system shall provide the capability to perform automated renewals or passive renewals using case file information and verification data without sending a form to the applicant/recipient.
EDT73	Eligibility	Redetermination/Review of Eligibility		59688	3.6	The system shall provide the capability to determine if additional information is needed at the time of renewal based on quality and completeness of information received from family or individual.
EDT74	Eligibility	Redetermination/Review of Eligibility		59701	3.6	The system shall, at the time of renewal, only require updates to information needed to complete the renewal.
EDT75	Eligibility	Redetermination/Review of Eligibility		59692	3.6	The system shall generate a pre-populated replacement renewal notice and forms needed based on worker request, for mailing/emailing or other notifications to recipients at address on file.
EDT76	Eligibility	Redetermination/Review of Eligibility		59702	3.6	The system shall provide the capability to log receipt of returned completed redetermination applications.
EDT77	Eligibility	Redetermination/Review of Eligibility		59698	3.6	The system shall automatically close cases for which redetermination forms have not been returned, or review has not been completed.
EDT78	Eligibility	Redetermination/Review of Eligibility		59697	3.6	The system shall provide the ability for the Medicaid Agency, to re-determine eligibility for Medicaid recipients at least annually.

EDT79	Eligibility	Redetermination/Review of Eligibility		59691	3.6	The system shall allow for redeterminations more frequently than annually for recipients whose circumstances are likely to change or for whom information indicates conditions have changed.
EDT80	Eligibility	Redetermination/Review of Eligibility		59694	3.6	The system shall have the ability to automatically schedule redetermination notices to generate for sending to applicant/recipients for redetermination purposes.
EDT81	Eligibility	Redetermination/Review of Eligibility		59704	3.6	The system shall have the capability to generate requests to schedule all renewal applications and interviews.
EDT82.1	Eligibility	Redetermination/Review of Eligibility		59700	3.6	The system shall be capable of generating and processing all redetermination/review forms electronically through the web portal.
EDT83	Eligibility	Redetermination/Review of Eligibility		59695	3.6	The system shall automate and populate, in either English or Spanish, forms necessary to support the eligibility redetermination process.
EDT85	Eligibility	Redetermination/Review of Eligibility		59705	3.6	The system shall allow the worker to be able to review and process a redetermination.
EDT87	Eligibility	Redetermination/Review of Eligibility		59689	3.6	The system, through the automated use of a rules engine, shall evaluate all appropriate eligibility factors including medical, nonfinancial, resource/assets and financial of an applicant and make a redetermination of eligibility as to whether the applicant passes or fails eligibility based on Agency policy.
EDT87.1	Eligibility	Redetermination/Review of Eligibility		59693	3.6	The system shall, at the time of redetermination, automatically interface with SNAP and TANF for processing of express lane eligibility according to Agency specifications.
EDT87.2	Eligibility	Redetermination/Review of Eligibility		59687	3.6	The system shall provide a rules engine with the capability to support an integrated eligibility redetermination/ review process across multiple programs allowing for different rules depending on the program.
ENR1	Eligibility	Eligibility Determination and Enrollment		59712	3.7	The system shall perform an eligibility determination only when all required data is entered and verify according to business rules.
ENR2	Eligibility	Eligibility Determination and Enrollment		59717	3.7	The system shall track associated standard of promptness time frames for disposition due dates.
ENR3	Eligibility	Eligibility Determination and Enrollment		59720	3.7	The system shall capture reasons for any untimely dispositions.
ENR4	Eligibility	Eligibility Determination and Enrollment		59708	3.7	The system shall provide the capability to create and record the type of eligibility (e.g., full eligibility, Medicare savings program, or Family Planning only) for individuals.
ENR6	Eligibility	Eligibility Determination and Enrollment		59710	3.7	The system shall automatically calculate budgets for each program as applicable, and each applicable month as part of the eligibility determination process.
ENR7	Eligibility	Eligibility Determination and Enrollment		59716	3.7	The system shall display budgets for each program determination (as applicable) in the individual's electronic case file.

ENR8	Eligibility	Eligibility Determination and Enrollment		59709	3.7	The system shall maintain the individual budgets for historical purposes, whether eligible or ineligible, to facilitate recalculation of past months' eligibility and benefits.
ENR9	Eligibility	Eligibility Determination and Enrollment		59713	3.7	The system shall display the eligibility results in a manner that is comprehensive and easy to understand (e.g., layman's terms).
ENR10	Eligibility	Eligibility Determination and Enrollment		59707	3.7	The system shall provide the capability to display the household financial data used to determine eligibility on an individual level and programs that individual is eligible for.
ENR11	Eligibility	Eligibility Determination and Enrollment		59714	3.7	The system shall allow worker or supervisory override of the eligibility determination and require case notes for these actions.
ENR12	Eligibility	Eligibility Determination and Enrollment		59719	3.7	The system shall provide a trial eligibility function ("what if") using business rules to calculate eligibility in a test mode and save the test results without overriding the original data (e.g., changing income, resources or family size).
ENR13	Eligibility	Eligibility Determination and Enrollment		59706	3.7	The system shall allow authorized workers such as MEQC, policy staff, etc., to modify rules in a test mode to determine impact on groups of applicants/beneficiaries and save the test results without overriding the original data.
ENR14.1	Eligibility	Eligibility Determination and Enrollment		59711	3.7	The system shall provide the capability to allow enrollment exemptions for transmission to interChange, such as foster care for Patient First.
ENR15	Eligibility	Eligibility Determination and Enrollment		59718	3.7	The system shall provide the capability to receive enrollment data from the interChange.
ENR17	Eligibility	Eligibility Determination and Enrollment		59715	3.7	The system shall display the plan name, physician name, address and phone number and effective date in the electronic case file.
ENR18	Eligibility	Awards and Denials		59729	3.8	The system shall set eligibility start dates per program and/or Medicaid category according to program rules.
ENR19	Eligibility	Awards and Denials		59726	3.8	The system shall calculate the eligibility period and redetermination date according to program rules and household circumstances.
ENR20	Eligibility	Awards and Denials		59723	3.8	The system shall be capable of establishing eligibility for the appropriate months including allowing breaks in eligibility as required by policy (for example, applicant is eligible for retro Medicaid coverage for June, ineligible for July and August with ongoing eligibility approved effective September).
ENR21	Eligibility	Awards and Denials		59725	3.8	The system shall record and display the program(s) providing optimal benefits for which the applicant/recipient was determined to be eligible.
ENR21.1	Eligibility	Awards and Denials		59730	3.8	The system shall record and display the program(s) providing optimal benefits and if there is more than one program for which the applicant/recipient is eligible, the worker can select the appropriate program based on the case or input from the applicant/recipient where applicable.

ENR22	Eligibility	Awards and Denials		59724	3.8	The system shall record and display the final disposition of an application on the individual level and the household level, where applicable,
ENR22.1	Eligibility	Awards and Denials		59721	3.8	The system shall record and display the final disposition of an application including whether the individual/application was approved or denied, the approval program and effective date, or the denial reason and denial date.
ENR23	Eligibility	Awards and Denials		59722	3.8	The system shall provide the capability for applications to be denied automatically without worker intervention, as allowed by policy (e.g., exceeds the Medicaid income limit).
ENR24	Eligibility	Awards and Denials		59727	3.8	The system shall provide the capability for applications to be denied automatically but prompt the worker for further review.
ENR24.1	Eligibility	Awards and Denials		59731	3.8	The system shall be capable of tracking all awards and denials.
ENR25	Eligibility	Awards and Denials		59728	3.8	The system shall provide a mechanism to elevate final eligibility determinations for supervisory approval as needed.
ENR26	Eligibility	Interim Actions/Maintenance		59732	3.9	The system shall allow applicant/recipients to self-report changes in their personal information online and notify the appropriate case worker when changes are made.
ENR27	Eligibility	Interim Actions/Maintenance		59733	3.9	The system shall provide the capability to periodically confirm the ongoing eligibility status of cases between eligibility determination periods by searching available verification and validation sources including address, income, employment, and other sources of information
ENR28	Eligibility	Interim Actions/Maintenance		59736	3.9	The system shall be able to pend new applicants being added to an existing case.
ENR29	Eligibility	Interim Actions/Maintenance		59734	3.9	The system shall, when certain data such as income is updated due to a change in circumstances, recalculate eligibility and notify the worker.
ENR30	Eligibility	Interim Actions/Maintenance		59735	3.9	The system shall allow acceptance of electronic change form information from MEQC and others.
ENR31	Eligibility	Eligibility Terminations and Disenrollment		59581	3.10	The system shall provide the capability to process eligibility terminations, both automatically and manually.
ENR32	Eligibility	Eligibility Terminations and Disenrollment		59574	3.10	The system shall provide the capability to process requests for terminations to transmit to interChange for disenrollment.
ENR33	Eligibility	Eligibility Terminations and Disenrollment		59555	3.10	The system shall provide the capability to automatically terminate eligibility for Medicaid when information is received that indicates a case is no longer eligible for benefits.
ENR34	Eligibility	Eligibility Terminations and Disenrollment		59560	3.10	The system shall, upon and individual's ineligibility for specified programs, complete the exparte process.
	Eligibility	Eligibility Terminations and Disenrollment		61325	3.10	The system shall automatically terminate a case, if the exparte process determines an individual ineligible.

ENR34.1	Eligibility	Eligibility Terminations and Disenrollment		59551	3.10	The system shall, after doing an exparte, if all information is not available to award another program, send a request for information or application for another program where applicable.
ENR35	Eligibility	Eligibility Terminations and Disenrollment		59559	3.10	The system shall, when completing the exparte process, terminate one program and open another, if appropriate, without worker intervention but with worker notification.
ENR36	Eligibility	Eligibility Terminations and Disenrollment		59548	3.10	The system shall provide the capability to automatically terminate one program and open another when information is received that a recipient qualifies for another program that provides for a higher level of coverage depending on Agency policy.
ENR39	Eligibility	Eligibility Terminations and Disenrollment		59585	3.10	The system shall record the reason code for program/case closure/termination.
ENR40	Eligibility	Eligibility Terminations and Disenrollment		59567	3.10	The system shall, prior to denying or terminating a case for failure to provide information, trigger a match against other verification sources (for example, SDX, TANF, Food Stamps (SNAP), New Hire and TALX files) and utilize in the eligibility determination, information received.
ENR40.1	Eligibility	Eligibility Terminations and Disenrollment		59575	3.10	The system shall be capable of processing requests for termination, including those that are received through the website.
ENR41	Eligibility	Eligibility Terminations and Disenrollment		59538	3.10	The system shall set the appropriate eligibility periods and ensure beneficiaries with accrual rights or guaranteed periods of eligibility are not terminated except as allowed by policy (e.g., 12 month continuous eligibility for children).
ENR42	Eligibility	Document/Notices related to Eligibility		59588	3.11	The system shall provide the capability to electronically generate, print, and transmit all required eligibility forms as shown in the procurement library (i.e., current forms), and those developed during the business process reengineering.
ENR56	Eligibility	Document/Notices related to Eligibility		59591	3.11	The system shall generate automated and timely notices for all actions defined by the State including case approvals, births, denials, closures, redetermination, suspensions or changes which impact benefits, or expartes, etc..
ENR57	Eligibility	Document/Notices related to Eligibility		59598	3.11	The system shall have the capability to consolidate notices at the household level prior to sending based on business rules.
ENR57.1	Eligibility	Document/Notices related to Eligibility		59597	3.11	The system shall be capable of including multiple case actions such as award, denial and termination on a single notice based on business rules.
ENR56.1	Eligibility	Document/Notices related to Eligibility		59590	3.11	The system shall provide the capability to electronically generate, print and transmit all required notices as shown in the procurement library (i.e., current notices) and those developed during the business process reengineering.
ENR58	Eligibility	Document/Notices related to Eligibility		59601	3.11	The system shall allow notices to be printed at the central, district, or local offices based on business rules.
ENR58.1	Eligibility	Document/Notices related to Eligibility		59600	3.11	The system shall have the ability to redirect print to another printer destination based on Agency policy as needed.

ENR59	Eligibility	Document/Notices related to Eligibility		59589	3.11	The system shall be capable of generating a notice (checklist) which includes a list of needed actions by the applicant/recipient by a standard due date (with a worker override), depending on the status or type of program applied for.
ENR59.1	Eligibility	Document/Notices related to Eligibility		59594	3.11	The system shall be capable of generating a follow up alert for the worker to request a second notice (checklist) to be sent if all information is not received by the due date.
ENR63	Eligibility	Document/Notices related to Eligibility		59592	3.11	The system shall provide notices (e.g., award, denial, termination and liability change) to the applicant/eligible which include the applicable policy/statutory/administrative code citations and notice of appeal rights.
ENR64	Eligibility	Document/Notices related to Eligibility		59602	3.11	The system shall be capable of generating notices of the patient liability segments for nursing home residents.
ENR65	Eligibility	Document/Notices related to Eligibility		59595	3.11	The system shall generate an award notice to beneficiaries such as MLIF, Transitional Medicaid, Plan First, etc. of reporting requirements such as 10 day reporting, medical support, etc.
ENR65.1	Eligibility	Document/Notices related to Eligibility		59605	3.11	The system shall have the capability for the worker to include additional remarks to a standard notice.
ENR66	Eligibility	Document/Notices related to Eligibility		59603	3.11	The system shall provide the capability to automatically trigger a notice at the time new information is received (e.g., PARIS match, vital stats match) that may change the eligibility status of a case, or which questions the accuracy of information received from the individual or family.
ENR68	Eligibility	Document/Notices related to Eligibility		59604	3.11	The system shall be capable of generating a Request for Information form (e.g., to employers, banks, etc.).
ENR69	Eligibility	Document/Notices related to Eligibility		59606	3.11	The system shall generate award and denial/termination notices to the applicant/eligible that include multiple failure reasons related to income, resources, non-financial and verification/validation and any other appropriate failure reasons .
ENR70	Eligibility	Document/Notices related to Eligibility		59593	3.11	The system shall have the flexibility to generate customized notices for non-standardized individual or group situations/programs such as benefits provided for victims of hurricanes and disasters.
ENR71	Eligibility	Document/Notices related to Eligibility		59599	3.11	The system shall be capable of allowing the generation of letters, literature or fact sheets (i.e., handouts) as needed.
ENR74	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60335	3.12.1	The system shall provide a reminder/ follow-up to the worker when the disposition due date is near and list all information still missing.
ENR75	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60340	3.12.1	The system shall alert the worker when a LTC segment closes and include the reason code for the closure.
ENR76	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60338	3.12.1	The system shall alert the worker when a disability determination decision has been entered on a pending case.
ENR77	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60339	3.12.1	The system shall alert the worker when an interpretation request decision has been entered on a pending case.

ENR77.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60330	3.12.1	The system shall store the disability determination decision (from LTC, prior authorization or Medicaid physician), emergency services decision, the interpretation request decision (from either policy unit or legal unit), the fair hearing request decision, medical offset decisions and undue hardship decisions.
ENR77.2	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60329	3.12.1	The system shall send an alert to the appropriate agency staff regarding taking action on an interpretation request, fair hearing, emergency service, disability determination request, medical offset request or undue hardship request.
ENR79	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60343	3.12.1	The system shall generate an alert to the worker when a medical offset decision has been entered.
ENR79.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60341	3.12.1	The system shall generate an alert to the worker when an emergency services decision has been entered.
ENR80	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60331	3.12.1	The system shall produce an alert to the worker that a beneficiary's income has decreased and needs to be evaluated for eligibility under a program with greater benefits (e.g., MLIF, from SLMB to QMB).
ENR81	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60336	3.12.1	The system shall alert the worker of any change made to data as a result of an interface or data match according to Agency rules.
ENR82	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60332	3.12.1	The system shall send an alert to the TPL unit when health insurance information is added, updated or deleted by the worker, when Good Cause is requested or LTC policy information is entered.
ENR83	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60337	3.12.1	The system shall, upon termination of a case with an existing lien or trust, generate an alert to the appropriate TPL worker.
ENR83.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60344	3.12.1	The system shall alert the worker when an undue hardship decision has been entered on a case.
ENR10 3.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Alerts	60334	3.12.1	The system shall alert the district office that the institutional level of care has been met and the slot is available for waiver services.
ENR85.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60351	3.12.2	The system shall have the capability to track the number of days a case has been pending and report the pending timeframes by worker, office, district, county, zone/region, State, etc.
ENR87	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60347	3.12.2	The system shall generate a productivity report for the worker, at predetermined timeframes, that provides a summary of actions taken such as all cases worked on, interim actions taken, alerts generated, notices/forms mailed and other predefined information.
ENR90	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60346	3.12.2	The system shall produce a managerial report which includes worker caseload statistics including information on cases which are pending, interim actions taken/needed and other information predefined by managers.
ENR91	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60350	3.12.2	The system shall produce an alert to the manager of aberrant transaction usage (an alert which identifies non-typical usage such as a worker browsing records unrelated to assigned caseload).

ENR88	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60353	3.12.2	The system shall display on the dashboard actions the system generated without worker intervention including awards, denials, terminations or other actions.
ENR92	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60349	3.12.2	The system shall display on the worker's dashboard the items requiring immediate action such as new applications/ redeterminations received or additional documentation entered on a case.
ENR94	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60345	3.12.2	The system shall produce a daily activity report for each worker that includes a summary of actions taken, time expended on the computer by application or transaction (e.g., notices/forms generated on the worker's caseload, interim actions, approvals, termination notices sent, etc.).
ENR95	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60355	3.12.2	The system shall generate a managerial report tracking and detailing worker activities with layout and required data to be defined by the State.
ENR95.1	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	60356	3.12.2	The system shall facilitate the hospital and/or interChange claims reporting of newborns by automatically adding/updating the newborn or alerting the worker to add the newborn to the mother's case record and generate a report of the actions taken.
	Eligibility	Eligibility & Enrollment Alerts and Reports	Reports	61406	3.12.2	The system shall allow the worker to print dashboard views in a standard report format.
ENR96	Eligibility	Validation/Verification of Eligibility Data		59621	3.13	The rules engine shall apply all business rules regarding need for validation and verification of data.
ENR97	Eligibility	Validation/Verification of Eligibility Data		59617	3.13	The system shall identify, produce a list, display and record verifications required for the applicant/eligible based on Agency rules.
ENR98	Eligibility	Validation/Verification of Eligibility Data		59622	3.13	The system shall establish due dates for verification and permit override of the due dates.
ENR99	Eligibility	Validation/Verification of Eligibility Data		59618	3.13	The system shall provide the capability to record the verification of applicant/eligible information according to business rules.
ENR100	Eligibility	Validation/Verification of Eligibility Data		59623	3.13	The system shall identify when information requires verification based on program rules.
ENR101	Eligibility	Validation/Verification of Eligibility Data		59607	3.13	The system shall perform an electronic search of available external information sources at the time an application is entered in the system to help validate and verify information provided and search for missing information or data.
ENR102	Eligibility	Validation/Verification of Eligibility Data		59619	3.13	The system shall identify missing information in an application before the application is forwarded to a worker for review.
ENR103	Eligibility	Validation/Verification of Eligibility Data		59620	3.13	The system shall verify applicant name, date of birth, gender, Social Security Number, Medicare claim number and other required demographic elements by matching against available State, Federal, and commercial sources as part of the eligibility determination process.
ENR103.2	Eligibility	Validation/Verification of Eligibility Data		59611	3.13	The system shall provide the capability to transition back and forth from waiver/community and institutional settings displaying the correct program identifier and begin/end dates of each program.

ENR105	Eligibility	Validation/Verification of Eligibility Data		59615	3.13	The system shall validate and verify the self-reported social security numbers (SSNs) with the SSNs on SSA's Numident and alert the worker to discrepancies.
ENR106	Eligibility	Validation/Verification of Eligibility Data		59608	3.13	The system shall automatically update dates of death and birth or alert worker to discrepant birth/death dates based on data received from the DPH Vital Statistics and the Electronic Verification of Vital Events based on Agency rules.
ENR107	Eligibility	Validation/Verification of Eligibility Data		59616	3.13	The system shall provide a cascading approach to auto populate the birth and death dates into the electronic case file if there is no discrepant data.
ENR107.1	Eligibility	Validation/Verification of Eligibility Data		59614	3.13	The system shall provide the capability to easily configure the action to take on information received from interfaces/data matches using a cascading approach (e.g., update a field and run an eligibility determination, compare for discrepant data, alert worker, etc).
ENR108	Eligibility	Validation/Verification of Eligibility Data		59613	3.13	The system shall create an alert to the worker and maintain a viewable copy of any information that is validated or verified from interfaces/data matches according to Agency rules.
ENR109	Eligibility	Validation/Verification of Eligibility Data		59610	3.13	The system shall provide the capability to electronically search a scanned document to determine if it meets the criteria for a valid application (e.g., unsigned application, missing name or address).
ENR110	Eligibility	Validation/Verification of Eligibility Data		59612	3.13	The system shall allow the worker to be able to access data validation and verification information from any point in the eligibility determination process (pre-interview, post-interview, etc.)
ENR112	Eligibility	Validation/Verification of Eligibility Data		59609	3.13	The system shall automate the COLA process by populating appropriate financial fields with new amounts, performing a determination of eligibility and generating notices for all populations, as received from the basic rate increase (BRI) BENDEX annual file and Black Lung (i.e., BENDEX COLA, Black Lung, SSA COLA).
ENR113	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59630	3.14	The system shall allow a request for SVES information to be triggered.
ENR114	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59628	3.14	The system shall automatically run a determination of eligibility and take appropriate action for MSP (QMB, SLMB, Q1 and QDWI) and notify the worker according to Agency specifications when information is received from a valid source (e.g., interChange, SVES, BENDEX, EDB) that the beneficiary either begins or stops receiving Medicare.
ENR115	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59625	3.14	The system shall set begin and end dates for Medicare Savings Programs (MSP) based on the Medicare entitlement dates and Agency policy.
ENR116	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59627	3.14	The system shall trigger Medicare buy-in to begin or end based on information received on the EDB and/or SVES files.
ENR117	Eligibility	Medicare Related		59629	3.14	The system shall award and terminate Medicare buy-in based on the Medicare entitlement dates.

		(MSP, DUAL Eligible, Buy-In)				
ENR118	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59626	3.14	The system shall, for purposes of reporting months of dual eligibility for MMA to CMS, use the Medicare entitlement dates.
ENR119	Eligibility	Medicare Related (MSP, DUAL Eligible, Buy-In)		59624	3.14	The system shall send an indicator of institutional status and will include HCBS waiver for dual eligibles based on appropriate criteria to and from CMS.
IAP4	Eligibility	Hearings/Grievance and Appeals		59634	3.15	The system shall provide the capability to indicate if the beneficiary has requested continued coverage and whether benefits have been continued.
IAP4.1	Eligibility	Hearings/Grievance and Appeals		59637	3.15	The system shall send an alert to URC, PI, Legal and CROCS teams as appropriate, when the hearing is requested or scheduled.
IAP5	Eligibility	Hearings/Grievance and Appeals		59638	3.15	The system shall allow eligibility to be continued or reinstated as a result of a timely hearing request.
IAP6	Eligibility	Hearings/Grievance and Appeals		59646	3.15	The system shall capture the details surrounding the scheduling of a hearing (i.e. date, time, location).
IAP7	Eligibility	Hearings/Grievance and Appeals		59636	3.15	The system shall automate and provide the capability to electronically generate, print, and transmit the Hearing Summary Form.
IAP8	Eligibility	Hearings/Grievance and Appeals		59633	3.15	The system shall flag an applicant/eligible's file when a grievance or appeal is filed indicating there is a request for a hearing.
IAP10	Eligibility	Hearings/Grievance and Appeals		59631	3.15	The system shall have the capability to hold the redetermination of eligibility based on the changes made to the beneficiary's record if a grievance or appeal is in process and the benefits have been continued.
IAP11	Eligibility	Hearings/Grievance and Appeals		59643	3.15	The system shall allow an override of "holds" to redetermination by the authorized worker.
IAP1.1	Eligibility	Hearings/Grievance and Appeals	Complaints/Grievances	61266	3.15.1	The system shall provide support for the applicant/eligible complaint and grievance business process.
IAP9	Eligibility	Hearings/Grievance and Appeals	Complaints/Grievances	59639	3.15.1	The system shall track whether or not an informal conference or case review is requested and the outcome.
IAP14	Eligibility	Hearings/Grievance and Appeals	Complaints/Grievances	59641	3.15.1	The system shall provide a comment field for free format documentation for grievances.
IAP1	Eligibility	Hearings/Grievance and Appeals	Hearings	59640	3.15.2	The system shall provide support for the applicant/eligible hearing and appeal business process.
IAP2	Eligibility	Hearings/Grievance and Appeals	Hearings	59632	3.15.2	The system shall provide the capability to provide an indicator when a hearing is requested and associate it with the electronic case file.
IAP3	Eligibility	Hearings/Grievance and Appeals	Hearings	59635	3.15.2	The system shall check for duplicate hearing requests.
IAP2.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61267	3.15.2	The system shall require the worker to document the date a hearing request is received
IAP2.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61268	3.15.2	The system shall determine automatically the worker who received the hearing request

IAP2.3	Eligibility	Hearings/Grievance and Appeals	Hearings	61269	3.15.2	The system shall require the worker to document for whom the hearing is being requested.
IAP2.4	Eligibility	Hearings/Grievance and Appeals	Hearings	61270	3.15.2	The system shall require the worker to document who is requesting the hearing.
IAP2.5	Eligibility	Hearings/Grievance and Appeals	Hearings	61271	3.15.2	The system shall allow the worker to document a request for an appointment of representative.
IAP2.6	Eligibility	Hearings/Grievance and Appeals	Hearings	61272	3.15.2	The system shall require the worker to document the name of the person for whom an appointment of representative is being requested.
IAP2.7	Eligibility	Hearings/Grievance and Appeals	Hearings	61273	3.15.2	The system shall produce an Appointment of Representative upon demand by the Office of General Counsel.
IAP2.8	Eligibility	Hearings/Grievance and Appeals	Hearings	61274	3.15.2	The system shall require the worker to scan the written request for hearing and associate it with the electronic case record.
IAP2.9	Eligibility	Hearings/Grievance and Appeals	Hearings	61275	3.15.2	The system shall require the worker to document the relationship of the person requesting the hearing to the applicant/eligible
IAP2.10	Eligibility	Hearings/Grievance and Appeals	Hearings	61276	3.15.2	The system shall require the worker to document why the hearing is being requested.
IAP2.11	Eligibility	Hearings/Grievance and Appeals	Hearings	61277	3.15.2	The system shall require the worker to document the period of eligibility for which the hearing is being requested.
IAP2.12	Eligibility	Hearings/Grievance and Appeals	Hearings	61278	3.15.2	The system shall automatically display, if applicable, the denial reason(s) (i.e. excess resources, excess income, failure to provide information, etc.).
IAP2.13	Eligibility	Hearings/Grievance and Appeals	Hearings	61279	3.15.2	The system shall automatically determine when a request for a hearing does not fall within the 60 day time limitation for acceptance of appeals.
IAP2.14	Eligibility	Hearings/Grievance and Appeals	Hearings	61280	3.15.2	The system shall require the worker to document the issue(s) for which a hearing is being requested.
IAP8.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61281	3.15.2	The system shall automatically route the hearing request to the Office of General Counsel.
IAP8.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61282	3.15.2	The system shall allow the Office of General Counsel access to the electronic case record.
IAP7.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61283	3.15.2	The system shall require the Office of General Counsel to document whether or not adverse action is due for hearing requests.
IAP7.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61284	3.15.2	The system shall require the Office of General Counsel to document the reason why adverse action is not due for hearing requests, except those reasons automatically determined by the system (i.e. beyond 60 day time frame).
IAP7.3	Eligibility	Hearings/Grievance and Appeals	Hearings	61285	3.15.2	The system shall require the supervising authority in the Office of General Counsel to approve hearing requests.
IAP7.4	Eligibility	Hearings/Grievance and Appeals	Hearings	61286	3.15.2	The system shall support the routing of hearings among the Office of General Counsel staff.
IAP7.5	Eligibility	Hearings/Grievance and Appeals	Hearings	61287	3.15.2	The system shall have the capacity to print all required notices and documents when the case is handled by a contract ALJ.

IAP7.6	Eligibility	Hearings/Grievance and Appeals	Hearings	61288	3.15.2	The system shall document the Administrative Law Judge upon the case being transferred as a secondary worker to the case.
IAP7.7	Eligibility	Hearings/Grievance and Appeals	Hearings	61289	3.15.2	The system shall allow the Office of General Counsel to document attorneys associated with the hearing request.
IAP7.8	Eligibility	Hearings/Grievance and Appeals	Hearings	61290	3.15.2	The system shall allow the user to document all contact information provided by attorneys associated with the case.
IAP7.9	Eligibility	Hearings/Grievance and Appeals	Hearings	61291	3.15.2	The system shall automatically produce a Hearing File Review that summarizes information about the hearing, details surrounding the hearing schedule, issue and rule numbers, etc.
IAP6.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61292	3.15.2	The system shall display and produce a listing of all scheduled hearing dates within a date range specified by the user.
IAP6.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61293	3.15.2	The system shall require a hearing to be schedule 15 days in advance of the actual hearing date.
IAP6.3	Eligibility	Hearings/Grievance and Appeals	Hearings	61294	3.15.2	The system shall assign a status to the request for a hearing (i.e. requested, received, pending hearing date, hearing scheduled, etc.).
IAP14	Eligibility	Hearings/Grievance and Appeals	Hearings	61295	3.15.2	The system shall provide a comment field for free format documentation for appeals.
IAP13	Eligibility	Hearings/Grievance and Appeals	Hearings	59645	3.15.2	The system shall capture the date a request to withdraw a hearing is received.
IAP13.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61296	3.15.2	The system shall track multiple instances of continuances.
IAP13.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61297	3.15.2	The system shall track the reason for continuances.
IAP13.3	Eligibility	Hearings/Grievance and Appeals	Hearings	61298	3.15.2	The system shall track multiple hearing dates.
IAP13.4	Eligibility	Hearings/Grievance and Appeals	Hearings	61299	3.15.2	The system shall display the history of schedule hearing dates, continuances, reschedule dates, etc.
IAP12	Eligibility	Hearings/Grievance and Appeals	Hearings	59644	3.15.2	The system shall capture the date the hearing was held.
IAP12.1	Eligibility	Hearings/Grievance and Appeals	Hearings	61300	3.15.2	The system shall capture the outcome/decision.
IAP12.2	Eligibility	Hearings/Grievance and Appeals	Hearings	61301	3.15.2	The system shall require the user to document who attended the hearing.
IAP12.3	Eligibility	Hearings/Grievance and Appeals	Hearings	61302	3.15.2	The system shall track and display the number of days between the date a hearing request is received and the date of resolution.
IAP12.4	Eligibility	Hearings/Grievance and Appeals	Hearings	61303	3.15.2	The system shall automatically produce a summary record of the hearing decision for the Agency Commissioner's signature.
IAP12.5	Eligibility	Hearings/Grievance and Appeals	Hearings	61304	3.15.2	The system shall track the date the hearing decision was sent to the Agency Commissioner.
IAP12.6	Eligibility	Hearings/Grievance and Appeals	Hearings	61305	3.15.2	The system shall track the date the hearing decision was received back from the Agency Commissioner.

IAP12.7	Eligibility	Hearings/Grievance and Appeals	Hearings	61306	3.15.2	The system shall track the decision of the Agency Commissioner.
IAP16	Eligibility	Hearings/Grievance and Appeals	Appeals	61307	3.15.3	The system shall track requests for rehearings.
IAP17	Eligibility	Hearings/Grievance and Appeals	Appeals	61308	3.15.3	The system shall track the dates of appeals.
IAP18	Eligibility	Hearings/Grievance and Appeals	Appeals	61309	3.15.3	The system shall support the tracking of appeals in the same manner as hearing requests.
IAP19	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	61310	3.15.4	The system shall automatically alert the Office of General Counsel upon the worker documenting a hearing request.
IAP20	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	61311	3.15.4	The system shall alert the Office of General Counsel if the hearing request does not fall within the 60 day time limitation for acceptance of appeals.
IAP21	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	61312	3.15.4	The system shall alert the Office of General Counsel if an appointment of representative is requested.
IAP22	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	61313	3.15.4	The system shall alert staff of the Office of General Counsel when a case is routed to them.
ENR78	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	60333	3.15.4	The system shall alert the worker when a fair hearing has been scheduled, when the hearing has been rescheduled, and when a decision has been made.
IAP23	Eligibility	Hearings/Grievance and Appeals	Alerts for Hearings and Appeals	61314	3.15.4	The system shall alert the Office of General Counsel when a hearing request has been pending 30, 60, 45 and 90 days.
IAP24	Eligibility	Hearings/Grievance and Appeals	Notices for Hearings and Appeals	61315	3.15.5	The system shall automatically generate notices of the hearing to parties associated with hearings or rehearings.
IAP25	Eligibility	Hearings/Grievance and Appeals	Notices for Hearings and Appeals	61316	3.15.5	The system shall automatically generate a notice from the Office of General Counsel denying the request for a hearing when the hearing request does not fall within the 60 day time limitation.
IAP26	Eligibility	Hearings/Grievance and Appeals	Notices for Hearings and Appeals	61317	3.15.5	The system shall automatically generate a notice from the Office of General Counsel denying the request for a hearing when a determination is made by the Office of General Counsel that adverse action is not due.
IAP15	Eligibility	Hearings/Grievance and Appeals	Notices for Hearings and Appeals	59642	3.15.5	The system shall provide the capability to automatically generate notices to the applicant/eligible of the appeal outcome.
IAP16	Eligibility	Hearings/Grievance and Appeals	Notices for Hearings and Appeals	61318	3.15.5	The system shall allow for free form text in notices generated in relation to hearings.
IAP27	Eligibility	Hearings/Grievance and Appeals	Reports for Hearings and Appeals	61319	3.15.6	The system shall allow the user to print a log of hearing requests that provides information currently maintained by the Office of General Counsel on an ad hoc basis.
IAP28	Eligibility	Hearings/Grievance and Appeals	Reports for Hearings and Appeals	61320	3.15.6	The system shall provide a monthly report of hearing requests statistics to monitor the number of hearings requested, number held, denied, withdrawn, no shows, continued, timeliness, outcomes, caseloads, number, etc. for management purposes.
CRM1	Case Management	Case Record Management	General	60369	4.1.1	The system shall support all updates real time to applicant/eligible data required to maintain current status.

CRM2	Case Management	Case Record Management	General	60380	4.1.1	The system shall support and maintain applicant/eligible demographic data such as multiple addresses, region code assignment(s), e.g. county or other, guardian, sponsor, custodian, representative payee name and address, zip plus 4 on all addresses, date of birth, date of death, pregnancy date of delivery, race(s), sex, marital status, ethnicity or tribal designation, emancipated youth indicator, eligibility reason indicator, eligibility program code, primary language spoken, primary language for correspondence, benefit address, custody status, telephone numbers-i.e. home, cell, work, guardian and individual ownership of phone, fax number, email address, text number or pager number, head or applicant/eligible of household, foster care indicator, foster care for EPSDT mailing indicator.
CRM3	Case Management	Case Record Management	General	60384	4.1.1	The system shall support any updates to applicant/eligible identification data, including, but not limited to applicant/eligible ID number, universal identifier-the interChange number to which all other identities shall be linked, name, SSN, case identification number, aliases, ID type, name source, HIC number, Medicare, buy-in.
CRM4	Case Management	Case Record Management	General	60379	4.1.1	The system shall provide the capability to maintain insurance coverage data, including, but not limited to: a. Carrier b. Policy number c. Group number d. Pharmacy Benefit Manager (PBM) ID and member identification number e. Sponsor, subscriber, or policy holder name/identification number(s) f. Type(s) of coverage g. Dates of coverage h. Date the coverage was added to the database i. Date the coverage was updated j. Court order, including date ranges and responsible payer k. Part D Enrollment Indicator; The record should indicate the member is enrolled in Medicare Part D and identify the plan the member is enrolled in l. Allow for multiple insurance policies
CRM5	Case Management	Case Record Management	General	60382	4.1.1	The system shall maintain a history of transportation dates.
CRM9	Case Management	Case Record Management	General	60360	4.1.1	The system shall handle multiple types of case actions by the system worker including case changes/updates that may or may not change eligibility for the purpose of maintaining the electronic case file.
CRM10	Case Management	Case Record Management	General	60359	4.1.1	The system shall provide the ability to automatically record actions performed systematically e.g. form/letter generation as a result of a response on the application or related to a renewal or change in the system.
CRM11	Case Management	Case Record Management	General	60372	4.1.1	The system shall allow the worker to access any automatic generated notices for viewing and regeneration.
CRM12	Case Management	Case Record Management	General	60363	4.1.1	The system shall provide the capability to indicate persons authorized to discuss case information on behalf of an applicant/eligible per Agency procedures.

CRM13	Case Management	Case Record Management	General	60370	4.1.1	The system shall display all participants and their case status in a case summary (Active/Inactive/Deceased).
CRM14	Case Management	Case Record Management	General	60371	4.1.1	The system shall allow workers to update, modify and add persons, roles and relationships to an active case.
CRM15	Case Management	Case Record Management	General	60373	4.1.1	The system shall allow case participants roles and relationships to be modified when a case is re-opened.
CRM17	Case Management	Case Record Management	General	60374	4.1.1	The system shall provide a history of modifications to case participants, roles, and relationships.
CRM18	Case Management	Case Record Management	General	60381	4.1.1	The system shall allow multiple concurrent case types within one case.
CRM19	Case Management	Case Record Management	General	60383	4.1.1	The system shall allow automation of the case initiation.
CRM20	Case Management	Case Record Management	General	60365	4.1.1	The system shall allow a worker with the proper authority to remove a case member without losing case related information attached to other case member.
CRM21	Case Management	Case Record Management	General	60364	4.1.1	The system shall provide the capability to maintain an audit trail to document date, time, and authorized worker who updated the applicant/eligible record.
CRM22	Case Management	Case Record Management	General	60377	4.1.1	The system shall allow for authorized workers to update applicant/eligible records online.
CRM23	Case Management	Case Record Management	General	60376	4.1.1	The system shall provide the capability to flag beneficiaries that have been placed in lock-in.
CRM24	Case Management	Case Record Management	General	60368	4.1.1	The system shall provide the capability to identify the name(s) of the provider(s) to which the beneficiary is locked-in.
CRM25	Case Management	Case Record Management	General	60361	4.1.1	The system shall provide the capability to flag beneficiaries for lock-in segments, organ transplant stays, care management program, and other special programs or conditions.
CRM26	Case Management	Case Record Management	General	60366	4.1.1	The system shall maintain record/audit trail of any notice sent to beneficiaries (including time/date, user/source, and reason for notice).
CRM27	Case Management	Case Record Management	General	60385	4.1.1	The system shall provide audit trails, to allow information on all applicant/eligible update source transactions, to be traced through the processing stages to the point where the information is finally recorded, regardless of the method used to update. The ability to trace data from the final place of recording back to its source must also be provided.
CRM28	Case Management	Case Record Management	General	60362	4.1.1	The system shall provide the capability to maintain current and historical information on Medicare Part A, B, C, and D, such as effective dates, termination dates, Medicare identification number, Medicare advantage plan information, Part D PBM information, Medicare Buy-In information Part D subsidy information, Part C information, Medicare premium amounts, with inquiry and update capability, for authorized Agency workers.
CRM29	Case Management	Case Record Management	Scheduling an Appointment	60392	4.1.2	The system shall provide the capability to electronically link the scheduling of cases with the worker calendar function
CRM30	Case Management	Case Record Management	Scheduling an Appointment	60386	4.1.2	The system shall provide the functionality for workers to enter applicant/recipient application requirements and schedule the next available worker based on availability, workload and program function requirement

CRM31	Case Management	Case Record Management	Scheduling an Appointment	60388	4.1.2	The system shall provide capability to maintain an electronic calendar and schedule for all workers, and to update this calendar and schedule as applications are received
CRM32	Case Management	Case Record Management	Scheduling an Appointment	60387	4.1.2	The system shall provide capability to electronically generate scheduling, call and appointment notices to families and individuals (such as email, web portal communications, and system-generated letters).
CRM33	Case Management	Case Record Management	Scheduling an Appointment	60389	4.1.2	The system shall provide capability for workers to block times for interviews, redeterminations, annual leave, protected time, etc.
CRM34	Case Management	Case Record Management	Scheduling an Appointment	60390	4.1.2	Provide capability to block times for entire office, or section, or unit, or workers for staff meetings, etc. in a single action.
CRM35	Case Management	Case Record Management	Scheduling an Appointment	60391	4.1.2	The system shall provide the capability to record date and type of interview (e.g. initial application, redetermination).
CRM36	Case Management	Case Record Management	Scheduling an Appointment	60394	4.1.2	The system shall provide capability to record worker appointments in an automated fashion or manually.
CRM37	Case Management	Case Record Management	Scheduling an Appointment	60395	4.1.2	The system shall provide capability to override system-assigned appointments.
CRM38	Case Management	Case Record Management	Scheduling an Appointment	60393	4.1.2	The system shall provide capability to schedule group interviews (i.e. several households at same time).
CRM39	Case Management	Case Record Management	Scheduling an Appointment	60396	4.1.2	The system shall provide for rescheduling of missed appointments.
CRM40	Case Management	Case Record Management	Case Notes/Narratives	60404	4.1.3	The system shall use case management system to enter case notes for each applicant/eligible.
CRM41	Case Management	Case Record Management	Case Notes/Narratives	60400	4.1.3	The system shall provide searchable notes capability for entering free-form applicant/eligible data in the recipient subsystem.
CRM42	Case Management	Case Record Management	Case Notes/Narratives	60402	4.1.3	The system shall provide capability to query case notes by program area, date, date range, and staff person involved.
CRM43	Case Management	Case Record Management	Case Notes/Narratives	60398	4.1.3	The system shall provide the ability for worker to add case notes to an applicant/recipient's record regardless of the status of the case.
CRM44	Case Management	Case Record Management	Case Notes/Narratives	60401	4.1.3	The system shall enable the worker to keep a narrative and chronological listing of notes on each case or application reviewed.
CRM45	Case Management	Case Record Management	Case Notes/Narratives	60397	4.1.3	The system shall provide capability for system to track changes made to an applicant/recipient electronic record and forms so that the electronic records are auditable by the State and Federal reviewers.
CRM46	Case Management	Case Record Management	Case Notes/Narratives	60405	4.1.3	The system shall support all case type and programs needs and values for case notes.
CRM47	Case Management	Case Record Management	Case Notes/Narratives	60399	4.1.3	The system shall provide a method for workers to easily enter lengthy narrative text at various points through the life of a case.
CRM48	Case Management	Case Record Management	Case Notes/Narratives	60412	4.1.3	The system shall allow for voice recognition for recording notes.
CRM49	Case Management	Case Record Management	Case Notes/Narratives	60409	4.1.3	The system shall support multiple and configurable case notes types.

CRM50	Case Management	Case Record Management	Case Notes/Narratives	60406	4.1.3	The system shall allow the worker to print a selected note or series of case notes.
CRM51	Case Management	Case Record Management	Case Notes/Narratives	60411	4.1.3	The system shall support the ability to attach files to case notes.
CRM52	Case Management	Case Record Management	Case Notes/Narratives	60410	4.1.3	The system shall have the ability to link a case note on a referral.
CRM53	Case Management	Case Record Management	Case Notes/Narratives	60408	4.1.3	The system shall allow the worker to attach selected notes to an email.
CRM54	Case Management	Case Record Management	Case Notes/Narratives	60403	4.1.3	The system shall freeze (notes cannot be modified) case notes after specified period of time.
CRM55	Case Management	Case Record Management	Case Notes/Narratives	60413	4.1.3	The system shall support addendums to frozen case notes.
CRM56	Case Management	Case Record Management	Case Notes/Narratives	60407	4.1.3	The system shall provide capability to identify the worker entering the note.
CRM57	Case Management	Case Record Management	Case Closure	60416	4.1.4	The system shall automatically close applicant/eligibles/cases/programs based on applicable eligibility rules resulting from changes in circumstances.
CRM58	Case Management	Case Record Management	Case Closure	60426	4.1.4	The system shall track closure reasons.
CRM59	Case Management	Case Record Management	Case Closure	60421	4.1.4	The system shall automatically generate any required notices including referrals to other entities from case closures.
CRM60	Case Management	Case Record Management	Case Closure	60422	4.1.4	The system shall provide a system alert to the worker when a case needs closure that is not closed automatically.
CRM61	Case Management	Case Record Management	Case Closure	60420	4.1.4	The system shall provide the capability to suspend certain benefits for temporary ineligibility, without closing the case.
CRM62	Case Management	Case Record Management	Case Closure	60423	4.1.4	The system shall have real time edits when a worker attempts to close the case.
CRM63	Case Management	Case Record Management	Case Closure	60418	4.1.4	The system shall require a closing reason in order to close a case which will automatically be included in the case narrative.
CRM64	Case Management	Case Record Management	Case Closure	60424	4.1.4	The system shall allow the worker to enter in a narrative when closing a case.
CRM65	Case Management	Case Record Management	Case Closure	60417	4.1.4	The system shall allow an authorized worker to override system edits that prevent a case from being closed with narrative explanation.
CRM66	Case Management	Case Record Management	Case Closure	60415	4.1.4	The system shall display the worker that closed the case or indicate if the closure was system generated (and which system process closed it including a description in plain English).
CRM67	Case Management	Case Record Management	Case Closure	60425	4.1.4	The system shall allow online awards/changes/ closures by authorized staff.
CRM72	Case Management	Case Record Management	Reports/Documents related to Case Record Management	60429	4.1.5	The system shall provide reports for each program in/under Beneficiary Services that can be run for a particular program or across programs.
CRM73	Case Management	Case Record Management	Reports/Documents related to Case Record Management	60430	4.1.5	The system shall provide the capability to generate a report if a duplicate ID number(s) has been assigned to an applicant/eligible.

CRM74	Case Management	Case Record Management	Reports/Docum ents related to Case Record Management	60432	4.1.5	The system shall provide the capability to produce reporting on potential duplicate records and merge statistics.
CRM75	Case Management	Case Record Management	Reports/Docum ents related to Case Record Management	60428	4.1.5	The system shall provide the capability to generate all required Agency and Federal reports, in the format, media and schedule specified by the Agency.
CRM77	Case Management	Case Record Management	Reports/Docum ents related to Case Record Management	60431	4.1.5	The system shall automatically send outreach and education materials to recipients who have been terminated for benefits.
CRM80	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60436	4.1.6	The system shall provide the capability to electronically generate notices for missing information or additional required information with the specific information and text that is required
CRM81	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60442	4.1.6	The system shall provide the functionality to automatically generate notices by mail, email, phone or fax.
CRM82	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60440	4.1.6	The system shall generate notices automatically based on processing, such as termination, denials, awards, etc.
CRM82 .1	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60444	4.1.6	The system shall transmit awards and terminations to interChange for enrollment and disenrollment.
CRM83	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60448	4.1.6	The system shall provide the capability for mass notification including adhoc.
CRM84	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60441	4.1.6	The system shall allow workers to insert unlimited free form text in notices where allowed by Agency policy.
CRM85	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60447	4.1.6	The system shall allow workers to generate or regenerate a notice/form upon demand.
CRM86	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60446	4.1.6	The system shall allow worker to override the primary address designated for mailings.
CRM87	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60449	4.1.6	The system shall generate various letters as further defined by the Agency.
CRM88	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60438	4.1.6	The system shall provide an indicator to suppress generation of documents containing applicant/eligible identification for confidential services or other reasons.
CRM89	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60445	4.1.6	The system shall generate appointment notices for all renewal applications and interviews

CRM90	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60437	4.1.6	The system shall provide a system alert to workers associated with a case when information is noted that may affect the eligibility status of a case (such as a change in address or income).
CRM91	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60443	4.1.6	The system shall provide a system alert to identify that a lien, mortgage or a trust exists on a case.
CRM92	Case Management	Case Record Management	System Alerts, Worker Alerts and Notices for Case Record Management	60439	4.1.6	The system shall provide capability to generate a system alert to workers of all cases requiring a follow up case action based on audit results and findings.
CLM1	Case Management	Caseload Administration	Caseloads	60451	4.2.1	The system shall provide for a caseload tracking system to assist management in analyzing worker activities to establish priorities, trends and distribution of caseloads.
CLM2	Case Management	Caseload Administration	Caseloads	60452	4.2.1	The system shall allow recording and tracking of applicant/eligible and case information such that each applicant/eligible and household is able to receive benefits from multiple programs, with accurate applicant/eligible and caseload counts.
CLM3	Case Management	Caseload Administration	Caseloads	60453	4.2.1	The system shall use applicant/eligible, case and caseload counts in producing statistics for management to use in reviewing worker caseloads.
CLM4	Case Management	Caseload Administration	Caseloads	60455	4.2.1	The system shall provide management reports that both cumulate (i.e. roll-up) and individualize data from individual workers.
CLM5	Case Management	Caseload Administration	Caseloads	60454	4.2.1	The system shall provide a configurable work distribution process that can be managed by the Agency workers with the appropriate security.
CLM6	Case Management	Caseload Administration	Caseloads	60456	4.2.1	The system shall identify to managers those workers that are over Agency caseload standards.
CLM7	Case Management	Caseload Administration	Caseloads	60457	4.2.1	The system shall allow supervisors to view worker's tasks/alerts.
CLM8	Case Management	Caseload Administration	Caseloads	60458	4.2.1	The system shall maintain a history of case/caseload assignments.
CLM9	Case Management	Caseload Administration	Caseloads	60450	4.2.1	The system shall provide a "what if" functionality to assist the Agency in applying potential policy changes under consideration to all or part of a caseload for any or all programs to analyze impact.
CLM10	Case Management	Caseload Administration	Case/Caseload Assignment	60460	4.2.2	The system shall include the capability to electronically assign applicants with a worker, based on a table driven set of assignment/scheduling parameters, for example first letter of last name, availability of workers, city, zip codes, counties, facility and other considerations
CLM11	Case Management	Caseload Administration	Case/Caseload Assignment	60459	4.2.2	The system shall provide the ability for authorized workers to override the automatic distribution of cases and distribute them manually.
CLM12	Case Management	Caseload Administration	Case/Caseload Assignment	60463	4.2.2	The system shall provide the ability to assign multiple workers to one case.
CLM13	Case Management	Caseload Administration	Case/Caseload Assignment	60461	4.2.2	The system shall provide the ability to determine worker availability or unavailability in making case assignments.
CLM14	Case Management	Caseload Administration	Case/Caseload Assignment	60462	4.2.2	The system shall display listings of available staff for case assignments based on caseload counts.

CLM15	Case Management	Caseload Administration	Case/Caseload Assignment	60464	4.2.2	The system shall identify all workers responsible for the case.
CLM16	Case Management	Caseload Administration	Case Transfer	60472	4.2.3	The system shall provide the capability to electronically reassign cases among workers.
CLM17	Case Management	Caseload Administration	Case Transfer	60469	4.2.3	The system shall allow the authorized worker to override the electronic reassignment of cases to workers.
CLM18	Case Management	Caseload Administration	Case Transfer	60466	4.2.3	The system shall provide the ability for authorized workers to transfer individual cases or entire caseloads from one worker to another.
CLM19	Case Management	Caseload Administration	Case Transfer	60473	4.2.3	The system shall provide the capability to transfer a case to another office or unit.
CLM20	Case Management	Caseload Administration	Case Transfer	60467	4.2.3	The system shall provide the capability to create and assign a temporary "generic" worker for the transfer of cases to another office.
CLM21	Case Management	Caseload Administration	Case Transfer	60470	4.2.3	The system shall have the ability to reassign entire caseloads to support equitable redistribution.
CLM22	Case Management	Caseload Administration	Case Transfer	60474	4.2.3	The system shall ensure all alerts remain with cases when re-assigned.
CLM23	Case Management	Caseload Administration	Case Transfer	60468	4.2.3	The system shall automatically provide required notifications to all parties impacted by the reassignment of cases.
CLM24	Case Management	Caseload Administration	Case Transfer	60465	4.2.3	The system shall provide the capability for authorized worker to cover a case or an entire caseload for temporary periods of time without requiring reassignment.
CLM25	Case Management	Caseload Administration	Case Transfer	60471	4.2.3	The system shall produce a system alert to the receiving office or worker of case transfer.
CLM26	Case Management	Caseload Administration	Caseload Workflow	60476	4.2.4	The system shall support workflow as defined by the Agency for all aspects of Recipient Subsystem business processes, including for example intake, referral, eligibility verification, eligibility determination, etc.
CLM27	Case Management	Caseload Administration	Caseload Workflow	60481	4.2.4	The system shall support interactions between workers and a workflow engine to manage the work required to execute the business needs of the Agency.
CLM28	Case Management	Caseload Administration	Caseload Workflow	60488	4.2.4	The system workflow rules engine shall be rules-based and easily modifiable by authorized workers.
CLM29	Case Management	Caseload Administration	Caseload Workflow	60482	4.2.4	The system shall provide the capability within the workflow engine to enable the majority of processing to be automated and forwarded to designated work queues, and processed according to specified business rules, rather than manual navigation by workers.
CLM30	Case Management	Caseload Administration	Caseload Workflow	60477	4.2.4	The system's workflow engine shall take automatic actions as defined by the Agency, without worker intervention, for example child aging out of current Medicaid category, suspensions based on returned mail, etc.
CLM31	Case Management	Caseload Administration	Caseload Workflow	60483	4.2.4	The system shall provide a system alert to the worker when automatic actions are taken by the workflow engine.
CLM32	Case Management	Caseload Administration	Caseload Workflow	60486	4.2.4	The system shall consistently apply workflow rules to workers as defined by role, task or case type(s).
CLM33	Case Management	Caseload Administration	Caseload Workflow	60491	4.2.4	The system shall allow specific work configurations by program area.
CLM34	Case Management	Caseload Administration	Caseload Workflow	60479	4.2.4	The system workflow rules engine shall support priorities, security alerts, and multi-routing of tasks including escalation to multiple layers of management.

CLM35	Case Management	Caseload Administration	Caseload Workflow	60475	4.2.4	The system shall provide the ability to update and access status of a process within a workflow (e.g., started, completed, at step 3, waiting for approval) from application to the point the eligibility decision is finalized.
CLM36	Case Management	Caseload Administration	Caseload Workflow	60487	4.2.4	The system shall provide the capability to save work in progress, exit the workflow, access work at a later point with all of the information still populated from the previous worker's actions so as previous work will not need to be repeated and the worker can enter the workflow where they left off.
CLM37	Case Management	Caseload Administration	Caseload Workflow	60478	4.2.4	The system shall provide the capability to automatically forward completed tasks to the next responsible party or parties, when multiple levels of effort are required for resolution.
CLM38	Case Management	Caseload Administration	Caseload Workflow	60484	4.2.4	The system shall create work items in workflow as a result of alerts from the web portal when changes occur.
CLM39	Case Management	Caseload Administration	Caseload Workflow	60485	4.2.4	The system shall provide capability to easily add customized emergency groups as required by Agency need.
CLM40	Case Management	Caseload Administration	Caseload Workflow	60490	4.2.4	The system shall support the tracking needs surrounding emergency groups and services.
CLM41	Case Management	Caseload Administration	Caseload Workflow	60480	4.2.4	The system shall produce on a daily basis documentation to provide statistical performance information on a worker's activities in relation to the workflow.
CLM 41.1	Case Management	Caseload Administration	Caseload Workflow	60489	4.2.4	The system shall produce a cumulative report with very specific worker activities in the form of a statistical performance report based on time parameters sorted by worker/supervisor/cachement area on a weekly, monthly, quarterly, yearly (fiscal and/or calendar) basis and on request.
CLM42	Case Management	Caseload Administration	Merge or Split Case	60494	4.2.5	The system shall provide the ability to link together separate records based upon internal IDs, SSN, pseudo SSN, case number, and other criteria specified by the Agency
CLM43	Case Management	Caseload Administration	Merge or Split Case	60498	4.2.5	The system shall allow for a case merge.
CLM44	Case Management	Caseload Administration	Merge or Split Case	60492	4.2.5	The system shall ensure that when a merge is initiated the authorized worker shall have the ability to select which information shall be merged and used in the merged case eliminating the need for data entry.
CLM45	Case Management	Caseload Administration	Merge or Split Case	60496	4.2.5	The system shall allow an authorized worker to reverse the case level data that has been merged.
CLM46	Case Management	Caseload Administration	Merge or Split Case	60495	4.2.5	The system shall allow the authorized worker to create a new case for some case participants in an existing case (split case).
CLM47	Case Management	Caseload Administration	Merge or Split Case	60493	4.2.5	The system shall ensure that when a split is initiated the authorized worker shall have the ability to select which information shall be copied into the new case eliminating the need for data entry.
CLM48	Case Management	Caseload Administration	Merge or Split Case	60497	4.2.5	The system shall allow an authorized worker to reverse a split performed on a case.
CLM49	Case Management	Caseload Administration	Mass Changes	60499	4.2.6	The system shall provide a mass change capability to affect all or part of State caseload for any or all programs to accommodate such changes as annual SSA COLA changes, spousal allocations.

CLM50	Case Management	Caseload Administration	Mass Changes	60501	4.2.6	The system shall provide the capability to perform mass re-assignment of cases on a scheduled or as needed basis.
CLM51	Case Management	Caseload Administration	Mass Changes	60500	4.2.6	The system shall provide capability to perform mass disenrollment terminations due to changes in status to transmit to interChange for disenrollment.
CLM52	Case Management	Caseload Administration	Changes to Closed Cases	60503	4.2.7	The system shall allow the authorized worker to update a closed case for administrative purposes (e.g. update location of paper file, correct information; add missing information, hearing information, etc.).
CLM53	Case Management	Caseload Administration	Changes to Closed Cases	60505	4.2.7	The system shall retain the original closure date if the closed case is updated for administrative purposes.
CLM54	Case Management	Caseload Administration	Changes to Closed Cases	60507	4.2.7	The system shall provide an audit trail of all changes made to a closed case.
CLM55	Case Management	Caseload Administration	Changes to Closed Cases	60504	4.2.7	The system shall require a narrative to be completed to explain why an authorized worker or automated system action made a correction to a completed piece of work.
CLM56	Case Management	Caseload Administration	Changes to Closed Cases	60502	4.2.7	The system shall display an indication online that a change was made to correct an error on completed work for example to correct the date of death, SSI closed periods, or to reopen a closed (denied/terminated) case.
CLM57	Case Management	Caseload Administration	Changes to Closed Cases	60506	4.2.7	The system shall display upon request a list of the closed cases for example archived cases.
REP01	Reports	General		59749	5.1	The system shall track all data needed to generate all required reports.
REP05	Reports	General		59739	5.1	The system shall generate standardized eligibility reports such as applications processed, denied, approved; benefits; characteristics of applicants; canned reports.
REP06	Reports	General		59743	5.1	The system shall allow authorized workers to view individual worker's dashboards.
REP08	Reports	General		59741	5.1	The system shall provide the ability for reports to be exported to an electronic files, PDF, Excel, Text, or Word format depending on the type of report
REP08.1	Reports	General		59744	5.1	The system shall provide the ability for reports to be transmitted to the State's mainframe in an electronic format.
REP09	Reports	General		59746	5.1	The system shall ensure that the reports are print-ready and visually acceptable to the worker.
REP10	Reports	General		59738	5.1	The system shall, at a minimum, make available any report on paper, COLD, CD-ROM/DVD, online, electronic file and other PC-compatible media, as requested by the Agency.
REP11	Reports	General		59737	5.1	The system shall store all reports in such a manner as to allow online access to and retrieval of report information via a user-friendly parameter and/or menu-driven access to reports.
REP13	Reports	General		59747	5.1	The system shall generate standardized reports without affecting worker response times.
ENR93	Reports	Worker		59753	5.2	The system shall provide the capability for workers to indicate that tasks requiring action have been completed.
	Reports	Worker		61407	5.2	The system shall continue to display incomplete tasks on the dashboard until the worker completes the task.
REP14	Reports	Worker		59751	5.2	The system shall provide a dashboard summary view of the status of cases in need of worker action as for example, active, critical, error, quality assurance corrective action, QC reviews, second and third level reviews, pending, etc.

REP16	Reports	Worker		59755	5.2	The system shall organize the Inbox/Dashboard to enhance workflow by organizing similar tasks together as defined by the Agency.
REP17	Reports	Worker		59756	5.2	The system shall provide a link on the dashboard to the task needing attention.
REP18	Reports	Supervisor		59757	5.3	The system shall provide a dashboard summary view of the status of cases of workers assigned to a supervisor as for example, active, critical, error, quality assurance corrective action, QC reviews, pending, etc.
REP19	Reports	Supervisor		59758	5.3	The system shall provide a dashboard summary view of the status of cases across units for managers as for example, active, critical, error, quality assurance corrective action, QC reviews, pending reviews, etc.
REP20	Reports	Supervisor		59759	5.3	The system shall provide an electronic dashboard report to track results of QC reviews by supervisors and other second and third level reviewers.
REP21	Reports	Supervisor		59760	5.3	The system shall restrict access to viewing performance measures to authorized workers.
	Reports	Supervisor		61408	5.3	The system shall provide the functionality for supervisors and managers to change the dashboard displays into charts, graphs, etc. views with formatting options, to include color choice.
ING1.2	Interfaces	General		59775	6.1	The system shall utilize MITA interface standards and data content specifications incorporating full HIPAA data schemas and functionality across multiple programs such as EFT interfaces and interchanges between agencies and other entities that are sources of TPL information.
ING1.1	Interfaces	General		59770	6.1	The system shall generate a system alert to all appropriate workers when confirmation is received there is no match from an interface.
ING2	Interfaces	General		59776	6.1	The system shall support a means to identify full and partial matches based on Agency defined criteria
ING4	Interfaces	General		59769	6.1	The system shall allow the worker to select the correct information from the display of discrepant information before updating the system.
ING5	Interfaces	General		59761	6.1	The system shall automatically update, without further worker intervention, an individual's electronic case file when correct information is selected by the worker from the discrepant information existing from an interface.
ING5.1	Interfaces	General		59772	6.1	The system shall not update the ECR with discrepant information that is not valid but will store for historical purposes.
ING5.2	Interfaces	General		59781	6.1	The system shall note whether or not the information was used.
ING6	Interfaces	General		59778	6.1	The system shall close the system alert regarding interfaces when the worker takes action.
ING7	Interfaces	General		59766	6.1	The system shall provide a system alert to the worker when eligibility information has been updated that does not require worker intervention based on Agency rules.
ING8	Interfaces	General		59767	6.1	The system shall have the capacity to automatically update an individual's electronic case file with information received from an interface based on system rules.
ING9	Interfaces	General		59779	6.1	The system shall automatically terminate the system alert after a defined period of time.

ING10	Interfaces	General		59774	6.1	The system shall maintain a history of the interface source from which data was received, date and/or verified.
ING11	Interfaces	General		59780	6.1	The system shall provide the capability for all interfaces to be real-time.
ING12	Interfaces	General		59763	6.1	The system shall provide the capability for worker accessible hyper-links throughout the eligibility process for access to on-line inquiries such as Kelley Blue Book, Savings Bound calculator, court records, etc.
ING13	Interfaces	General		59773	6.1	The system shall interface with a United States Postal Service (USPS) certified vendor for address matching software.
ING14	Interfaces	General		59764	6.1	The system shall electronically transmit updates for Medicaid eligibility to and from the TANF system from the various Department of Human Resources (TANF, SNAP, and Child Care) to initiate the eligibility process for applicants under the Express Lane Eligibility process provisions of the recent Child Health Insurance Program Reauthorization Act (CHIPRA).
ING15	Interfaces	General		59762	6.1	The system shall automatically generate requests for information based on information entered by the worker or received from an interface such as letters to employers regarding verification of employment, wages, etc.
ING15.1	Interfaces	General		59768	6.1	The system shall support the pass-through of inquires and transactions from external systems to the interChange system and other federated systems.
ING16	Interfaces	General		59765	6.1	The system shall verify income sources from external interfaces such as SDX, TANF, Food Stamps, New Hire, DIR, and TALX prior to a Medicaid termination or review when income is not documented.
ING17	Interfaces	General		59777	6.1	The system shall support seamless interface among different internal and external programs.
ING18	Interfaces	General		59771	6.1	The system shall provide an expanded set of data matches to help identify possible sources of TPL for cost avoidance purposes, including matches such as: (a) ALLKids match to obtain duplicate coverage (b) BENDEX, SVES, and SDX matches to obtain available employer and insurance information (c) Retirement Databases matches to obtain additional insurance (d) SAVE match to conduct alien verification (e) IEVS, EVVS and vital statistics matches (f) Match with major insurance carriers serving State to identify possible third party coverage (g) Match with employment databases containing employer provided information to identify possible insurance coverage through employer (h) Match with credit bureau sources to identify possible employer information (i) Match with New Hire Data file to identify possible employer information (j) Match with DIR, Unemployment, Public Safety, State revenue and tax data to identify possible employer information

INS1	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60511	6.2.1	The system shall automatically initiate an interface to the Title IV-A TANF system according to Agency business needs.
INS2	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60508	6.2.1	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the Title IV-A TANF system.
INS3	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60509	6.2.1	The system shall provide for the exchange of information or changes to information to and from the TANF system for on-going determination for Title XIX (Medicaid) eligibility.
INS5	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60512	6.2.1	The system shall receive termination information from the TANF system on individuals who were Title XIX eligible.
INS6	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60510	6.2.1	The system shall automatically trigger the eligibility process upon notification of information that impacts eligibility.
INS7	Interfaces	State Agency Interfaces	Department of Human Resources (DHR) - Title IV-A (TANF)	60513	6.2.1	The system shall allow authorized workers to view cases and case members on the TANF system.
INS20	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60531	6.2.2	The system shall automatically initiate an interface to the Food Stamps SNAP system upon receipt of an application.
INS21	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60528	6.2.2	The system shall electronically receive eligibility data such as personal and household demographics, status of application, income, assets, resources and health insurance from the Food Stamps SNAP system.
INS22	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60527	6.2.2	The system shall provide for the exchange of information or changes to information to and from the Food Stamps SNAP system for on-going determination and prior to renewals for Title XIX (Medicaid) eligibility.
INS23	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60530	6.2.2	The system shall receive notice of termination from the Food Stamps SNAP system on individuals who were Title XIX eligible.
INS24	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60529	6.2.2	The system shall automatically trigger an eligibility action, notice or alert upon notification of information that impacts eligibility.
INS25	Interfaces	State Agency Interfaces	DHR - Food Stamps (SNAP)	60532	6.2.2	The system shall allow authorized workers to view cases and case members on the Food Stamps SNAP system.
INS25.1	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60536	6.2.3	The system shall automatically initiate an interface to the FACETS TANF system according to Agency business needs.
INS25.2	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60534	6.2.3	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the FACETS system.
INS25.3	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60533	6.2.3	The system shall provide for the exchange of information or changes to information to and from the FACETS system for on-going determination for Title XIX (Medicaid) eligibility.

INS25.4	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60537	6.2.3	The system shall receive termination information from the FACETS system on individuals who were Title XIX eligible.
INS25.5	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60535	6.2.3	The system shall automatically trigger an eligibility action, notice or alert upon notification of information that impacts eligibility.
INS25.6	Interfaces	State Agency Interfaces	DHR - Welfare Reform (FACETS)	60538	6.2.3	The system shall allow authorized workers to view cases and case members on the FACETS system.
INS8	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60514	6.2.4	The system shall automatically initiate an interface on designated appropriate cases to the Title IV-D Child Support system upon receipt of an application on all household members and absent parent associated with the household.
INS9	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60517	6.2.4	The system shall request eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the Title IV-D Child Support system (ALECS).
INS10	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60515	6.2.4	The system shall electronically receive eligibility data such as personal and household demographics, income, assets, resources and health insurance from the Title IV-D Child Support system (ALECS).
INS11	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60518	6.2.4	The system shall provide for the exchange of information or changes to information to and from the child support system for on-going determination for Title XIX (Medicaid) eligibility.
INS12	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60525	6.2.4	The system shall automatically update recipient child support cooperation or non-cooperation.
INS13	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60521	6.2.4	The system shall automatically create a unique ID number for absent parents received and associate that ID with the unique DHR AP ID.
INS14	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60523	6.2.4	The system shall allow the creating of multiple associations of absent parents with their child or children.
INS16	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60516	6.2.4	The system shall electronically receive absent parent data such as information on employment, health insurance, demographics, and medical support orders from the Title IV-D Child Support System.
INS17	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60522	6.2.4	The system shall provide a system alert to the authorized worker when new or updated insurance (TPL) information is received.
INS18	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60520	6.2.4	The system shall automatically trigger an eligibility action, notice or alert upon notification of information that impacts eligibility.
INS19	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60519	6.2.4	The system shall receive and process periodic updates such as address changes, child support income, insurance information, cooperation, etc. from the ALECS child support system.
INS19.1	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60524	6.2.4	The system shall process medical support referrals to DHR and accept notifications of receipt of referral.
INS19.2	Interfaces	State Agency Interfaces	DHR -Title IV-D Child Support (ALECS)	60526	6.2.4	The system shall notify the IV-D Child Support System of terminations for related cases.
INS25.7	Interfaces	State Agency Interfaces	DHR - PARS (Public Assistance)	60541	6.2.5	The system shall automatically initiate an interface to the PARS system according to Agency business needs.

			Recipient Subsystem)			
INS25.8	Interfaces	State Agency Interfaces	DHR - PARS (Public Assistance Recipient Subsystem)	60539	6.2.5	The system shall electronically receive State supplication eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the PARS system.
INS25.9	Interfaces	State Agency Interfaces	DHR - PARS (Public Assistance Recipient Subsystem)	60540	6.2.5	The system shall provide for the exchange of information or changes to information to and from the PARS system for on-going determinations and renewals.
INS25.10	Interfaces	State Agency Interfaces	DHR - Child Welfare SACWIS (FACES)	60542	6.2.6	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the SACWIS system to process Medicaid eligibility for children in foster care.
INS25.11	Interfaces	State Agency Interfaces	DHR - Child Welfare SACWIS (FACES)	60544	6.2.6	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the SACWIS system to process Medicaid eligibility for children receiving adoption assistance.
INS25.12	Interfaces	State Agency Interfaces	DHR - Child Welfare SACWIS (FACES)	60543	6.2.6	The system shall provide for the exchange of information or changes to information to and from the SACWIS system for on-going determination and prior to renewals for Title XIX (Medicaid) eligibility.
INS25.13	Interfaces	State Agency Interfaces	DHR - Child Welfare SACWIS (FACES)	60546	6.2.6	The system shall receive termination information from the SACWIS system on individuals who were Title XIX eligible.
INS25.14	Interfaces	State Agency Interfaces	DHR - Child Welfare SACWIS (FACES)	60545	6.2.6	The system shall automatically trigger an eligibility action, notice, or alert upon notification of information that impacts eligibility.
INS25.15	Interfaces	State Agency Interfaces	DHR - EV13	60547	6.2.7	The system shall provide access to the EV13 database for on-going determination for Title XIX (Medicaid) eligibility.
INS37	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60553	6.2.8	The system shall automatically send and receive terminations, denials, and renewals to and from the Alabama Department of Public Health (ADPH) ALLKids information systems.
INS38	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60556	6.2.8	The system shall provide a nightly transmission of individuals who are denied or terminated that exceed the Medicaid income limit to the ADPH ALLKids.
INS38.1	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60554	6.2.8	The system shall provide ability to transmit on-line/real-time individuals who are denied or terminated that exceed the Medicaid income limit to the ADPH ALLKids.

INS39.1	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60555	6.2.8	The system shall allow the attachments and the electronic copy of the application from ADPH ALLKids to the application and placed in the individual's record.
INS39.2	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60558	6.2.8	The system shall provide the ability to send and receive web application data real-time to and from ADPH ALLKids.
INS39.3	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	60557	6.2.8	The system shall provide the ability to send real-time or batch all necessary data for eligibility to ADPH ALLKids for the web application.
	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	61242	6.2.8	The system shall initiate waiver files to the Department of Public Health (DPH) of those individuals eligible for waiver services from DPH.
	Interfaces	State Agency Interfaces	Department of Public Health (DPH) - Children's Health Insurance Program (CHIP and All Kids)	61246	6.2.8	The system shall receive and update newborn information received from the Department of Public Health.
INS40.1	Interfaces	State Agency Interfaces	DPH - Vital Events	60562	6.2.9	The system shall automatically initiate an interface to the Public Health systems upon receipt of an application.
INS40.2	Interfaces	State Agency Interfaces	DPH - Vital Events	60560	6.2.9	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the Public Health systems.
INS40.3	Interfaces	State Agency Interfaces	DPH - Vital Events	60559	6.2.9	The system shall provide for the exchange of information or changes to information to and from the Public Health systems for on-going determination for Title XIX (Medicaid) eligibility.
INS40.4	Interfaces	State Agency Interfaces	DPH - Vital Events	60561	6.2.9	The system shall automatically trigger an eligibility action, notice, or alert upon notification of information that impacts eligibility.
INS40.5	Interfaces	State Agency Interfaces	DPH - Vital Events	60563	6.2.9	The system shall allow authorized workers to view cases and case members on the Public Health system.
INS74	Interfaces	State Agency Interfaces	DPH- Breast and Cervical Cancer Program (BCC)	61255	6.2.10	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the Department of Public Health system to process Medicaid eligibility for the Breast and Cervical Cancer Program.

INS76	Interfaces	State Agency Interfaces	DPH- Breast and Cervical Cancer Program (BCC)	61256	6.2.10	The system shall provide for the exchange of information or changes to information to and from the Department of Public Health system for on-going determination and prior to renewals for eligibility for the Breast and Cervical Cancer Program.
INS77	Interfaces	State Agency Interfaces	DPH- Breast and Cervical Cancer Program (BCC)	61257	6.2.10	The system shall receive termination information from the Department of Public Health system on individuals who were eligible for the Breast and Cervical Cancer Program.
INS78	Interfaces	State Agency Interfaces	DPH- Breast and Cervical Cancer Program (BCC)	61258	6.2.10	The system shall automatically trigger an eligibility action, notice, or alert upon notification of information that impacts eligibility for the Breast and Cervical Cancer Program.
INS41	Interfaces	State Agency Interfaces	Department of Mental Health/Mental Retardation (DMHMR)	60564	6.2.11	The system shall automatically initiate an interface to the Department of Mental Health for those individuals who apply for Behavioral Health services.
	Interfaces	State Agency Interfaces	Department of Mental Health/Mental Retardation (DMHMR)	61241	6.2.11	The system shall initiate a waiver file to the Department of Mental Health/Mental Retardation (DMHMR) of those individuals eligible for waiver services from DMHMR.
INS63.2	Interfaces	State Agency Interfaces	Department of Senior Services	60552	6.2.12	The system shall provide the ability to interface with the Department of Senior Services.
	Interfaces	State Agency Interfaces	Department of Senior Services	61240	6.2.12	The system shall initiate a waiver file to the Department of Social Services (DSS) of those individuals eligible for waiver services from DSS.
INS63.3	Interfaces	State Agency Interfaces	Department of Rehabilitative Services	60551	6.2.13	The system shall provide the ability to interface with the Department of Rehabilitation Services.
	Interfaces	State Agency Interfaces	Department of Rehabilitative Services	61243	6.2.13	The system shall initiate a waiver file to the Department of Rehabilitative Services (DRS) of those individuals eligible for waiver services from DRS.
INS42	Interfaces	State Agency Interfaces	Department of Industrial Relations (DIR) - New Hire Database	60566	6.2.14	The system shall automatically initiate an interface to the New Hire Database upon receipt of an application for household members over the age of 16 with a verified SSN.
INS43	Interfaces	State Agency Interfaces	Department of Industrial Relations (DIR) - New Hire Database	60567	6.2.14	The system shall electronically receive eligibility data, such as personal and household demographics, income, and employer information from the New Hire Database.
INS44	Interfaces	State Agency Interfaces	Department of Industrial Relations (DIR) - New Hire Database	60565	6.2.14	The system shall provide for the exchange of information or changes to information to and from the New Hire Database for on-going determination for Title XIX (Medicaid) eligibility.
INS45	Interfaces	State Agency Interfaces	Department of Industrial Relations (DIR) - New Hire Database	60568	6.2.14	The system shall auto generate a letter to employers identified for information related to availability insurance coverage.
INS46	Interfaces	State Agency Interfaces	DIR - Wages	60570	6.2.15	The system shall automatically initiate an interface to the DIR Wage data upon receipt of an application for household members over the age of 16 with a verified SSN.
INS47	Interfaces	State Agency Interfaces	DIR - Wages	60571	6.2.15	The system shall electronically receive eligibility data, such as personal and household demographics, income, and employer information from the DIR Wage database.

INS48	Interfaces	State Agency Interfaces	DIR - Wages	60569	6.2.1 5	The system shall provide for the exchange of information or changes to information to and from the DIR Wage Database for on-going determination for Title XIX (Medicaid) eligibility.
INS48.1	Interfaces	State Agency Interfaces	DIR - Wages	60572	6.2.1 5	The system shall provide the capability to receive dates associated with each income segment as identified by the interface with DIR.
INS48.2	Interfaces	State Agency Interfaces	DIR - Worker's Compensation	60574	6.2.1 6	The system shall automatically initiate an interface to the DIR Wage data upon receipt of an application for household members over the age of 16 with a verified SSN.
INS48.3	Interfaces	State Agency Interfaces	DIR - Worker's Compensation	60575	6.2.1 6	The system shall electronically receive eligibility data, such as personal and household demographics, income, and employer information from the DIR Wage database.
INS48.4	Interfaces	State Agency Interfaces	DIR - Worker's Compensation	60573	6.2.1 6	The system shall provide for the exchange of information or changes to information to and from the DIR Wage Database for on-going determination for Title XIX (Medicaid) eligibility.
INS48.5	Interfaces	State Agency Interfaces	DIR - Worker's Compensation	60577	6.2.1 6	The system shall provide the capability to receive dates associated with each income segment as identified by the interface with DIR.
INS48.6	Interfaces	State Agency Interfaces	DIR - Worker's Compensation	60576	6.2.1 6	The system shall provide the capability to receive dates associated with each benefit segment as identified by the interface with DIR.
INS48.7	Interfaces	State Agency Interfaces	DIR - Unemployment Compensation	60579	6.2.1 7	The system shall automatically initiate an interface to the DIR Wage data upon receipt of an application for household members over the age of 16 with a verified SSN.
INS48.8	Interfaces	State Agency Interfaces	DIR - Unemployment Compensation	60580	6.2.1 7	The system shall electronically receive eligibility data, such as personal and household demographics, income, and employer information from the DIR Wage database.
INS48.9	Interfaces	State Agency Interfaces	DIR - Unemployment Compensation	60578	6.2.1 7	The system shall provide for the exchange of information or changes to information to and from the DIR Wage Database for on-going determination for Title XIX (Medicaid) eligibility.
INS48.10	Interfaces	State Agency Interfaces	DIR - Unemployment Compensation	60581	6.2.1 7	The system shall provide the capability to receive dates associated with each benefit segment as identified by the interface with DIR.
INS49	Interfaces	State Agency Interfaces	Department of Revenue (DOR)	60583	6.2.1 8	The system shall automatically initiate an interface to the Revenue Department upon receipt of an application for household members over the age of 16 with a verified SSN.
INS50	Interfaces	State Agency Interfaces	Department of Revenue (DOR)	60584	6.2.1 8	The system shall electronically receive eligibility data, such as personal and household demographics, income, and employer information from the Revenue Department.
INS51	Interfaces	State Agency Interfaces	Department of Revenue (DOR)	60582	6.2.1 8	The system shall provide for the exchange of information or changes to information to and from the Revenue Department for on-going determination for Title XIX (Medicaid) eligibility.
INS82	Interfaces	State Agency Interfaces	Department of Revenue (DOR)	61259	6.2.1 8	The system shall provide the capability to receive dates associated with each year that income is reported through the interface with the Department of Revenue.
INS52	Interfaces	State Agency Interfaces	Administration of Courts (AOC)	60587	6.2.1 9	The system shall automatically initiate an interface to the Administrative Office of Courts upon receipt of an application.

INS53	Interfaces	State Agency Interfaces	Administration of Courts (AOC)	60585	6.2.19	The system shall electronically receive eligibility data, such as personal and household demographics, criminal history, child support history, and employer information from the Administrative Office of Courts.
INS53.1	Interfaces	State Agency Interfaces	Administration of Courts (AOC)	60586	6.2.19	The system shall provide for the exchange of information or changes to information to and from the AOC for on-going determination for Title XIX (Medicaid) eligibility.
INS54	Interfaces	State Agency Interfaces	Department of Corrections (DOC)	60590	6.2.20	The system shall automatically initiate an interface to the Department of Corrections upon receipt of an application.
INS55	Interfaces	State Agency Interfaces	Department of Corrections (DOC)	60588	6.2.20	The system shall electronically receive eligibility data, such as personal and household demographics, criminal history, and employer information from the Department of Corrections.
INS55.1	Interfaces	State Agency Interfaces	Department of Corrections (DOC)	60589	6.2.20	The system shall provide for the exchange of information or changes to information to and from the DOC for on-going determination for Title XIX (Medicaid) eligibility.
INS56	Interfaces	State Agency Interfaces	Retirement Systems of Alabama (RSA)	60592	6.2.21	The system shall automatically initiate an interface to the Retirement Systems of Alabama upon receipt of an application for household members over the age of 16 with a verified SSN.
INS57	Interfaces	State Agency Interfaces	Retirement Systems of Alabama (RSA)	60591	6.2.21	The system shall electronically receive eligibility data, such as personal and household demographics, retirement income, and health insurance information from the Retirement Systems of Alabama
INS57.1	Interfaces	State Agency Interfaces	Retirement Systems of Alabama (RSA)	60593	6.2.21	The system shall electronically send to RSA an annual file of individuals that receive Medicaid and are also receiving State retirement.
INS62	Interfaces	State Agency Interfaces	MyAlabama.gov	60550	6.2.23	The system shall automatically initiate an interface to Myalabama.gov upon receipt of an application.
INS63	Interfaces	State Agency Interfaces	MyAlabama.gov	60548	6.2.23	The system shall electronically receive eligibility data, such as personal and household demographics, criminal history, health insurance and employer information from the Myalabama.gov.
INS63.1	Interfaces	State Agency Interfaces	MyAlabama.gov	60549	6.2.23	The system shall provide for the exchange of information or changes to information to and from myalabama.gov for on-going determination for Title XIX (Medicaid) eligibility.
INS64	Interfaces	State Agency Interfaces	Department of Youth Services (DYS)	61247	6.2.24	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the DYS system to process Medicaid eligibility for children in the care and/or custody of DYS.
INS66	Interfaces	State Agency Interfaces	Department of Youth Services (DYS)	61248	6.2.24	The system shall provide for the exchange of information of changes to information to and from the DYS system for on-going determination and prior to renewals for Title XIX (Medicaid) eligibility.
INS67	Interfaces	State Agency Interfaces	Department of Youth Services (DYS)	61249	6.2.24	The system shall receive termination information from the DYS system on individuals who were Title XIX eligible.
INS68	Interfaces	State Agency Interfaces	Department of Youth Services (DYS)	61250	6.2.24	The system shall automatically trigger an eligibility action, notice or alert upon notification of information from DYS that impacts eligibility.
INF1.1	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - General	60596	6.3.1	The system shall be designed to support the SSA interface via real-time inquiries and batch access including but, not limited to, SOLQ, SVES, LIS, BENDEX, BEER, and SSA 8019.

INF1.2	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - General	60597	6.3.1	The system shall provide an electronic link with the SDX and SVES files to obtain data on SSDI (Social Security Death Index) eligible information that may not be available on the EDB file to identify persons who have SSDI eligibility and are eligible for Medicare Part A, including such information as the following: (a) Identification of persons who have an SSDI disability status (b) Match against SSDI work quarter data to make sure the SSDI recipient meet the SSDI work quarter requirements to claim for services under Medicare (c) Match against Medicaid files to make sure individual concerned has claimed Medicaid for required period before claiming can be initiated under Medicare
INF1.3	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - General	60598	6.3.1	The system shall send SDX, SVES & Bendex files to the TPL vendor.
INF1	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - State Online Query (SQLQ) and State Verification Exchange System (SVES)	60603	6.3.2	The system shall automatically initiate an interface to SSA upon receipt of an application.
INF2	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - State Online Query (SQLQ) and State Verification Exchange System (SVES)	60601	6.3.2	The system shall request eligibility data verification such as Medicare entitlement codes and dates for entitlement received from the SSA interface.
INF3	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - State Online Query (SQLQ) and State Verification Exchange System (SVES)	60602	6.3.2	The system shall request eligibility data such as citizenship and immigration status received from the SSA interface.
INF4	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - State Online Query (SQLQ) and State Verification Exchange System (SVES)	60600	6.3.2	The system shall request eligibility data such as SSDI work quarter data for those individuals with a SSDI disability as received from the SSA interface.
INF6	Interfaces	Federal Agency Interfaces	Social Security Administration (SSA) - State Online Query (SQLQ) and State Verification Exchange System (SVES)	60599	6.3.2	The system shall request eligibility data verification such as DOB, DOD, SSNs used, benefit type, benefits received, monthly payment, and buy-in information with information received from the SSA interface.

INF5	Interfaces	Federal Agency Interfaces	SSA 8019	60604	6.3.3	The system shall receive eligibility data such as available employer and insurance information received from the SSA 8019 interface.
INF5.1	Interfaces	Federal Agency Interfaces	SSA - Low Income Subsidy (LIS)	60605	6.3.4	The system shall identify those individuals who may be eligible for Medicaid services when notified by SSA via the LIS interface.
INF7	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60608	6.3.5	The system shall automatically initiate a real-time interface to SSA to retrieve BENDEX information upon receipt of an application.
INF8	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60606	6.3.5	The system shall identify from the BENDEX information retrieved via the SSA interface those individuals receiving social security benefits and the amount received.
INF9	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60607	6.3.5	The system shall identify from the BENDEX information retrieved via the SSA interface those individuals with Medicare Part A & B entitlement.
INF10	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60609	6.3.5	The system shall identify from the BENDEX information retrieved via the SSA interface if an individual is receiving Medicare.
INF11	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60610	6.3.5	The system shall provide for the exchange of BENDEX information or changes to BENDEX information to and from the SSA interface such as eligibility, benefit amount, payment status, dates for entitlement, SMI status code, HI status code, Medicare start/stop dates, onset of disability, date of death and Individual's claim/Medicare number for on-going determination for Title XIX (Medicaid) eligibility.
INF12	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60611	6.3.5	The system shall identify from the BENDEX interface those individuals with dual Medicaid and Medicare eligibility.
INF17	Interfaces	Federal Agency Interfaces	SSA - Beneficiary Earnings Data Exchange (BENDEX)	60612	6.3.5	The system shall provide the capability to process BENDEX COLA (BRI) files received from SSA.
INF17.1	Interfaces	Federal Agency Interfaces	Beneficiary Earnings Exchange Record System (BEERS)	61321	6.3.6	The system shall automatically initiate a real-time interface to SSA to retrieve BEERS information upon receipt of an application.
INF17.2	Interfaces	Federal Agency Interfaces	Beneficiary Earnings Exchange Record System (BEERS)	61322	6.3.6	The system shall identify from the BEERS information retrieved via the SSA interface those individuals receiving earnings and the amount received.
INF17.3	Interfaces	Federal Agency Interfaces	Beneficiary Earnings Exchange Record System (BEERS)	61323	6.3.6	The system shall provide for the exchange of BEERS information or changes to BEERS information to and from the SSA interface such as employer, employer address, amount of earnings, etc. for the on-going determination for Title XIX (Medicaid eligibility).
INF19	Interfaces	Federal Agency Interfaces	SSA - State Data Exchange (SDX)	60614	6.3.7	The system shall identify and provide available information from the SDX interface those individuals with a SSDI disability and SSI.

INF20	Interfaces	Federal Agency Interfaces	SSA - State Data Exchange (SDX)	60613	6.3.7	The system shall provide for the initial receipt and changes to information from SDX for on-going determination for Medicaid eligibility.
INF21	Interfaces	Federal Agency Interfaces	SSA - State Data Exchange (SDX)	60615	6.3.7	The system shall update SSI eligibility and payment data for an individual received from the SDX interface.
INF22	Interfaces	Federal Agency Interfaces	SSA - State Data Exchange (SDX)	60616	6.3.7	The system shall allow for on-line inquiry of SSI eligibility data for the authorized workers.
INF31	Interfaces	Federal Agency Interfaces	Pickle	60628	6.3.8	The system shall support the PICKLE interface which is received yearly from SSA.
INF32	Interfaces	Federal Agency Interfaces	503 Lead File	60629	6.3.9	The system shall support interfaces with the 503 LEAD File which is received yearly from SSA.
INF44	Interfaces	Federal Agency Interfaces	Centers for Medicare and Medicaid Services (CMS) - General	60630	6.3.10	The system shall provide information to the Centers of Medicare and Medicaid Services (CMS) such as MMA Part D (Medicare Modernization Act) claw back data, MSIS data, and fiscal data.
INF45	Interfaces	Federal Agency Interfaces	Centers for Medicare and Medicaid Services (CMS) - General	60631	6.3.10	The system shall electronically receive the MMA Part D data from CMS and display the data for informational purposes.
INF30.1	Interfaces	Federal Agency Interfaces	Centers for Medicare and Medicaid Services (CMS) - Payment Error Rate Measurement (PERM)	60632	6.3.11	The system shall automatically initiate an interface to PERM determined by CMS schedules in compliance with the Improper Payments Information Act of 2002 (IPIA; Public Law 107-300).
INF30.2	Interfaces	Federal Agency Interfaces	(CMS) - Medicaid Statistical Information System (MSIS)	60633	6.3.12	The system shall automatically initiate a quarterly MSIS interface to provide CMS with eligibility data.
INF23	Interfaces	Federal Agency Interfaces	(CMS) - Medicare Enrollment Database (EDB)	60623	6.3.13	The system shall initiate an EDB interface upon an application being received.
INF23.1	Interfaces	Federal Agency Interfaces	(CMS) - Medicare Enrollment Database (EDB)	60620	6.3.13	The system shall initiate an EDB interface bi-monthly for individuals that are active and over the age of 21 or lost eligibility within the current month.
INF23.2	Interfaces	Federal Agency Interfaces	(CMS) - Medicare Enrollment Database (EDB)	60622	6.3.13	The system shall allow for yearly updates with EDB for all Medicaid individuals who have been eligible within the last year.
INF24	Interfaces	Federal Agency Interfaces	(CMS) - Medicare Enrollment Database (EDB)	60619	6.3.13	The system shall update an individual's electronic case file with information about dual Medicaid and Medicare eligibility based on the information received from the EDB interface.
INF26	Interfaces	Federal Agency Interfaces	(CMS) - Medicare Enrollment Database (EDB)	60621	6.3.13	The system shall update an individual's electronic case file with Medicare entitlement codes and dates for entitlement received from the EDB interface.

INF31.1	Interfaces	Federal Agency Interfaces	Administration for Children and Families (ACF) - Public Assistance Reporting Information System (PARIS)	60637	6.3.1 4	The system shall automatically initiate an interface to PARIS determined by Federal schedules.
INF32	Interfaces	Federal Agency Interfaces	Administration for Children and Families (ACF) - Public Assistance Reporting Information System (PARIS)	60635	6.3.1 4	The system shall provide for the exchange of information or changes to information to and from PARIS for on-going determination for Title XIX (Medicaid) eligibility.
INF33	Interfaces	Federal Agency Interfaces	Administration for Children and Families (ACF) - Public Assistance Reporting Information System (PARIS)	60634	6.3.1 4	The system shall provide for the exchange of information or changes to information to and from PARIS for on-going determination of availability of other health coverage.
INF34	Interfaces	Federal Agency Interfaces	Administration for Children and Families (ACF) - Public Assistance Reporting Information System (PARIS)	60636	6.3.1 4	The system shall update an individual's electronic case file with the information received from PARIS interface.
INF35	Interfaces	Federal Agency Interfaces	Department of Homeland Security - Systematic Alien Verification for Entitlement (SAVE)	60641	6.3.1 5	The system shall automatically initiate an interface to SAVE Per specified guidelines.
INF36	Interfaces	Federal Agency Interfaces	Department of Homeland Security - Systematic Alien Verification for Entitlement (SAVE)	60639	6.3.1 5	The system shall provide for the exchange of information or changes to information to and from SAVE for on-going determination for Title XIX (Medicaid) eligibility.
INF37	Interfaces	Federal Agency Interfaces	Department of Homeland Security - Systematic Alien Verification for Entitlement (SAVE)	60638	6.3.1 5	The system shall update an individual's electronic case file with the information received from SAVE interface such as alien number, US entry data, immigrant status, classification, and documentation.
INF38	Interfaces	Federal Agency Interfaces	Department of Homeland Security - Systematic Alien Verification for Entitlement	60640	6.3.1 5	The system shall provide the capability for the worker to have on-line access and administrative rights to the SAVE website.

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INF39	Interfaces	Federal Agency Interfaces	Internal Revenue Service	60645	6.3.1 6	The system shall automatically initiate an interface to IRS upon receipt of an application and other specified schedules and Federal guidelines.
INF40	Interfaces	Federal Agency Interfaces	Internal Revenue Service	60643	6.3.1 6	The system shall provide for the exchange of information or changes to information to and from IRS for on-going determination for Title XIX (Medicaid) eligibility.
INF41	Interfaces	Federal Agency Interfaces	Internal Revenue Service	60644	6.3.1 6	The system shall update an individual's electronic case file with the information received from IRS interface such as income, employer and other asset information.
INF42	Interfaces	Federal Agency Interfaces	Internal Revenue Service	60642	6.3.1 6	The system shall provide the worker the ability to perform an online/real-time search on the IRS database for Federal Employee Identification Number (FEIN) if allowed by IRS.
INF43	Interfaces	Federal Agency Interfaces	Internal Revenue Service	60646	6.3.1 6	The system shall automate matches with the IRS Disclosure of Information to Federal, State, and Local Agencies (DIFSLA) such that appropriate worker is provided the information automatically without the use of printed paper per Agency specifications.
INF49	Interfaces	Federal Agency Interfaces	USDA	60617	6.3.1 7	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the National School Lunch Program (NSLP).
INS85	Interfaces	Federal Agency Interfaces	Department of Veterans Affairs (VA)	61262	6.3.1 8	The system shall automatically initiate an interface to the Department of Veterans Affairs for income data upon receipt of an application and authorization for household members over the age of 16 with a verified SSN.
INS86	Interfaces	Federal Agency Interfaces	Department of Veterans Affairs (VA)	61263	6.3.1 8	The system shall electronically receive eligibility data, such as type of VA benefits, the gross amount of the current benefit, the effective date of new law pension, the gross amount of Aid and Attendance (A&A) allowance, the gross amount of dependent increment, the gross amount of the veteran's Continuing Medical Expenses (CMS's) and the gross amount of the dependent's CMS's information from the Department of Veterans Affairs.
INS7	Interfaces	Federal Agency Interfaces	Department of Veterans Affairs (VA)	61264	6.3.1 8	The system shall provide for the exchange of information or changes to information to and from the Department of Veterans Affairs related to VA benefits for on-going determination for Title XIX (Medicaid) eligibility.
INP1	Interfaces	Private Entity Interfaces	EBT Vendor	60647	6.4.1	The system shall interface with contracted Electronic Benefits Transfer (EBT) contractor for transfer of payment funds to recipients (private vehicle travel) and transporters
INP4	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60650	6.4.2	The system shall electronically interface with available public and commercial sources of information including credit bureau information, available banking information, and external employment and income sources such as TALX, ADP and others in order to comply with Medicare Improvement Patient and Providers ACT (MIPPA)

INP4.1	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60656	6.4.2	The system shall electronically receive eligibility data, such as personal and household demographics, financial verification information, stock values, bond values, sources of income, sources of property, assets and employer information from financial institutions with whom interfaces have been established.
INP4.2	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60654	6.4.2	The system shall have the capability re information received from the financial institution interfaces account information to include balances for the past 60 months.
INP5	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60655	6.4.2	The system shall automatically initiate an interface to available public and commercial sources of financial information upon receipt of an application.
INP6	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60652	6.4.2	The system shall update an individual's electronic case file with financial information received from the public and commercial sources of financial information.
INP7	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60651	6.4.2	The system shall electronically interface with available public and commercial sources of information including credit bureau information, available banking information, and external employment and income sources such as TALX, ADP and others at the request of the worker or at regular intervals as defined by the Agency.
INP17	Interfaces	Private Entity Interfaces	Financial Institutions (Credit Unions and Banks)	60653	6.4.2	The system shall automatically generate notices to recipients as a result of receiving information regarding other sources of income and property information.
INP8	Interfaces	Private Entity Interfaces	TALX	60659	6.4.3	The system shall automatically interface with TALX for the purpose of verifying employment upon receipt of an application.
INP9	Interfaces	Private Entity Interfaces	TALX	60657	6.4.3	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from TALX.
INP9.1	Interfaces	Private Entity Interfaces	TALX	60658	6.4.3	The system shall provide the capability to receive dates associated with each employment segment as identified by the interface with TALX.
INP10	Interfaces	Private Entity Interfaces	ADP	60662	6.4.4	The system shall provide capability to electronically interface with ADP for the purpose of verifying employment.
INP11	Interfaces	Private Entity Interfaces	ADP	60660	6.4.4	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from ADP.
INP11.1	Interfaces	Private Entity Interfaces	ADP	60661	6.4.4	The system shall provide the capability to receive dates associated with each employment segment as identified by the interface with ADP.
INP12	Interfaces	Private Entity Interfaces	Insurance Companies	60666	6.4.5	The system shall provide capability to electronically interface with major insurance payers for the purpose of identifying individuals and families than may have other sources of insurance such as health, life, burial, long term care and accident.
INP12.1	Interfaces	Private Entity Interfaces	Insurance Companies	60665	6.4.5	The system shall have the capability to interface with the Health Insurance Exchange System to be developed for Health Care Reform.
INP13	Interfaces	Private Entity Interfaces	Insurance Companies	60663	6.4.5	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from interfaces with major health insurance payers.

INP14	Interfaces	Private Entity Interfaces	Insurance Companies	60664	6.4.5	The system shall automatically generate notices to recipients as a result of receiving information regarding other sources of health insurance.
INP18	Interfaces	Private Entity Interfaces	National Association for Public Health Statistics and Information Systems (NAPHSIS)- Electronic Verification of Vital Events (EVVE)	60669	6.4.6	The system shall provide capability to electronically interface with EVVE for the purpose of receiving electronic certification of birth.
INP19	Interfaces	Private Entity Interfaces	National Association for Public Health Statistics and Information Systems (NAPHSIS)- Electronic Verification of Vital Events (EVVE)	60668	6.4.6	The system shall provide capability to receive an electronic response from the EVVE that verifies or denies the birth match with official State or jurisdiction records
INP20	Interfaces	Private Entity Interfaces	National Association for Public Health Statistics and Information Systems (NAPHSIS)- Electronic Verification of Vital Events (EVVE)	60667	6.4.6	The system shall provide capability to receive an electronic response from the EVVE that provides a death of death that is verified with official State or jurisdiction records
INP21	Interfaces	Private Entity Interfaces	National Association for Public Health Statistics and Information Systems (NAPHSIS)- Electronic Verification of Vital Events (EVVE)	60670	6.4.6	The system shall track the number of transactions to EVVE for verification against future billing.
INP22	Interfaces	Private Entity Interfaces	Utility Organizations	60671	6.4.7	The system shall perform regular auto matches with organizations such as Lifeline Link-up, PSC and individual phone companies and other utilities.
INP23	Interfaces	Private Entity Interfaces	Medicaid Contractors	60672	6.4.8	The system shall utilize application software to extract and securely FTP or deliver the required data from the Recipient Subsystem to a specified Medicaid contractor in accordance with Medicaid specification in order for the Medicaid contractor to pre-populate electronically generated renewals and notices.
INS69	Interfaces	Private Entity Interfaces	Catholic Social Services	61251	6.4.9	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the Catholic Social Services system to process Medicaid eligibility for Refugee Assistance.

INS71	Interfaces	Private Entity Interfaces	Catholic Social Services	61252	6.4.9	The system shall provide for the exchange of information or changes to information to and from the Catholic Social Services system for on-going determination and prior to renewals for Refugee Assistance eligibility.
INS72	Interfaces	Private Entity Interfaces	Catholic Social Services	61253	6.4.9	The system shall receive termination information from the Catholic Social Services system on individuals who were eligible for Refugee Assistance.
INS73	Interfaces	Private Entity Interfaces	Catholic Social Services	61254	6.4.9	The system shall automatically trigger an eligibility action, notice, or alert upon notification of information that impacts eligibility.
INS83	Interfaces	Private Entity Interfaces	Hospitals	61260	6.4.10	The system shall receive and update newborn information through an interface from hospitals.
INS84	Interfaces	Private Entity Interfaces	Hospitals	61261	6.4.10	The system shall acknowledge receipt of newborn information to hospitals.
INM1	Interfaces	Intra-Agency Interfaces	Statewide Health Information Exchange (HIE)	60674	6.5.1	The system shall automatically initiate an interface to Statewide HIE upon receipt of an application for the purpose of obtaining medical determinations.
INM2	Interfaces	Intra-Agency Interfaces	Statewide Health Information Exchange (HIE)	60675	6.5.1	The system shall electronically receive medical determinations, such as pregnancy, disability, etc. from the Statewide HIE.
INM3	Interfaces	Intra-Agency Interfaces	Statewide Health Information Exchange (HIE)	60673	6.5.1	The system shall provide for the exchange of information or changes to information to and from Statewide HIE for on-going determination for Title XIX (Medicaid) eligibility.
INM4	Interfaces	Intra-Agency Interfaces	Statewide Health Information Exchange (HIE)	60676	6.5.1	The system shall automatically trigger the eligibility process upon notification of information that impacts eligibility.
INM5	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60681	6.5.2	The system shall provide an interface with interChange to receive Medicare Advantage Plan or other Managed Care Plans that a person has selected or been assigned to.
INM6	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60677	6.5.2	The system shall be designed with a Medicare entitlement screen and populated with Part A and B coverage dates received from the interChange via Fiscal Agent Medicare editing file which will be used as a one-stop source for Medicare eligibility dates for awarding and determining continued eligibility for the Medicare Savings Programs, Medicare Buy-In, and determining months of dual eligibility for Medicare Part D.
INM7	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60678	6.5.2	The system shall provide an interface with the interChange via online/real-time inquiry to access information from applications such as CROCS, TPL (Third Party Liability), Recipient data, PA (Prior Authorization), Managed Care LTC (Long-Term Care), and Lock-in without a separate log-in.
INM8	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60683	6.5.2	The system shall receive and display the Managed Care, Lock-in, LTC, EPSDT and TPL data from the interChange interface.
INM9	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60680	6.5.2	The system shall receive data needed from claims data on the interChange interface as currently being processed such as newborn birth information, sterilization, etc.

INM11	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60682	6.5.2	The system shall send to interChange data to support Medical Services such as Managed Care plans, PCCM exemptions, and Maternity Waiver exemptions.
INM 14	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60685	6.5.2	The system shall receive and process a monthly reconciliation file from interChange.
INM15	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60684	6.5.2	The system shall send data needed for the DSS to interChange per Agency defined schedule.
INM16	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60679	6.5.2	The system shall send all data such as demographical, eligibility, retroactive eligibility, patient liabilities, etc. needed to populate the interChange recipient subsystem per Agency defined schedule.
INM17	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60686	6.5.2	The system shall continue to send EDB file to interChange per Agency schedule.
INM19	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	60687	6.5.2	The system shall continue to send MMA Part D file to interChange.
	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	61244	6.5.2	The system shall receive and process requests for replacements of Medicaid cards received by the MMIS Recipient Web Portal, such as name, address, marital status, income, expenses, etc.
	Interfaces	Intra-Agency Interfaces	interChange (AKA MMIS)	61245	6.5.2	The system shall receive and process requests for replacements of Medicaid cards received by the MMIS Recipient Web Portal.
INL1	Interfaces	Local Public Entity Interfaces	Tax Assessor	60692	6.6.1	The system shall automatically initiate an interface to tax assessors systems upon receipt of an application.
INL2	Interfaces	Local Public Entity Interfaces	Tax Assessor	60690	6.6.1	The system shall electronically receive eligibility data, such as personal and household demographics, income, assets, resources and health insurance from the tax assessors systems.
INL3	Interfaces	Local Public Entity Interfaces	Tax Assessor	60689	6.6.1	The system shall provide for the exchange of information or changes to information to and from the tax assessors systems for on-going determination for Title XIX (Medicaid) eligibility.
INL4	Interfaces	Local Public Entity Interfaces	Tax Assessor	60691	6.6.1	The system shall automatically trigger the eligibility process upon notification of information that impacts eligibility.
INX1	Interfaces	Interstate Interfaces		59782	6.7	The system shall provide the ability to verify the applicant's eligibility status in other States' MMIS systems for on-going determination for Title XIX (Medicaid) eligibility.
TRA001	Technical	System Architecture	General Architecture	60705	7.1.1	The system shall be a web-based system that can support the major functions performed by the Beneficiary Services function and other related support functions including Third Party Liability (TPL), Program Integrity, Quality Control, Managed Care, and Non-Emergency Transportation Services (NETS).
TRA002	Technical	System Architecture	General Architecture	60714	7.1.1	The system shall be designed as a web-based solution capable of supporting multiple interface devices. Information in the Recipient Subsystem-RS shall be updated online and in real-time using a graphical user interface (GUI). Information updates made through the GUI shall make the value of every modified data element current and immediately available for inquiry.

TRA004	Technical	System Architecture	General Architecture	60694	7.1.1	The system shall provide a Central Data Validation Function that workers can use to verify and validate case information across different internal systems and programs and available public data sources.
TRA005	Technical	System Architecture	General Architecture	60693	7.1.1	The system shall be an Integrated system that can support all of the major eligibility functions performed by the Agency such as: (a) Outreach and screening: intake, application and referral (b) Verification and validation of key required information (c) Eligibility review and determination (d) Case maintenance and redetermination
TRA006	Technical	System Architecture	General Architecture	60699	7.1.1	The system shall utilize a robust rules engine capability that will make it possible to use a single web-based application to support different program functions.
TRA007	Technical	System Architecture	General Architecture	60700	7.1.1	The system shall provide a single electronic case file and case review function that can be tailored to the specific rules and requirements of different programs.
TRA008	Technical	System Architecture	General Architecture	60701	7.1.1	The system shall utilize a robust electronic content management function to support the electronic imaging, management, and control of key documents and reports.
TRA010	Technical	System Architecture	General Architecture	60697	7.1.1	The system shall utilize a Service Oriented Architecture (SOA) technology to make it possible to share, utilize, and build upon information and systems that already exist.
TRA011	Technical	System Architecture	General Architecture	60695	7.1.1	The system shall be designed as a components-based modular architecture maximized to use Commercial Off-The-Shelf (COTS) software that does not require any client-side software to be installed on the Agency workstation or laptop such as rules engine, document management and workflow software, letter generation tool, and security software.
TRA012	Technical	System Architecture	General Architecture	60711	7.1.1	The system shall utilize a MITA-compliant enterprise service bus capability that provides failover and redundant capability and service capability to allow information to be shared across systems and information sources, and to build applications that can be reused, and expanded upon for other similar functions.
TRA013	Technical	System Architecture	General Architecture	60712	7.1.1	The system shall implement a technical design that can be readily expanded and modified on an ongoing iterative basis.
TRA014	Technical	System Architecture	General Architecture	60703	7.1.1	The system shall use a state-of-the-art platform technology to allow the system to interface effectively with other related systems and information sources.
TRA015	Technical	System Architecture	General Architecture	60710	7.1.1	The system shall be at a minimum 3-tier architecture that includes presentation tier, business logic tier and persistence tier.
TRA016	Technical	System Architecture	General Architecture	60704	7.1.1	The system shall support flexibility by separating a software application into tiers or layers that are architecturally independent of other layers.
TRA017	Technical	System Architecture	General Architecture	60702	7.1.1	The system shall support scalability that allows additional application hardware to be used to address increases in system loads without modifying program code.
TRA018	Technical	System Architecture	General Architecture	60716	7.1.1	The system shall use object oriented development principals.

TRA019	Technical	System Architecture	General Architecture	60698	7.1.1	The system shall be designed to be accessed by Agency workers on existing workstations over the existing State WAN and leveraging the existing State infrastructure by employing techniques such that the Recipient Subsystem-RS runs as efficiently and reliably across the State WAN as it does on the external Internet.
TRA020	Technical	System Architecture	General Architecture	60707	7.1.1	The system shall provide a batch window that does not interfere with normal business hours (without affecting the online real-time system).
TRA021	Technical	System Architecture	General Architecture	60709	7.1.1	The system shall support a hardware based load balancing scheme such as content switches capable of maintaining session affinity.
TRA022	Technical	System Architecture	General Architecture	60713	7.1.1	The system shall include administration tools for manageability and maintainability for modifying configuration files.
TRA024	Technical	System Architecture	General Architecture	60717	7.1.1	The system shall provide a screen refresh function.
TRA025	Technical	System Architecture	General Architecture	60715	7.1.1	The system shall provide an indicator when the system is processing.
TRA026	Technical	System Architecture	General Architecture	60708	7.1.1	The system shall be deployed to ensure that each layer of the application architecture is designed to provide redundant high availability.
TRA027	Technical	System Architecture	General Architecture	60696	7.1.1	The system shall validate inputs from web applications being certain to filter input for scripting language commands or HTML tags that might be maliciously inserted into input fields.
TRA02.1	Technical	System Architecture	General Architecture	61187	7.1.1	The system shall utilize the MITA-standard approach to orchestrating and composing services
TRA028	Technical	System Architecture	Architecture Standards	60725	7.1.2	The system shall conform to the Rehabilitation Act Electronic and Information Technology Accessibility Standards (Section 508) and Americans with Disabilities Act (ADA).
TRA029	Technical	System Architecture	Architecture Standards	60722	7.1.2	The system shall have a user interface that conforms to W3C recommendations and standards which shall be validated through the W3C Markup Validation Service (http://validator.w3.org/).
TRA030	Technical	System Architecture	Architecture Standards	60721	7.1.2	The system shall make use of HTML templates and/or Cascading Style Sheets (CSS) or Extensible Style Sheet Language Transformations (XSLT), JScript, AJAX (Asynchronous JavaScript and XML), etc. to facilitate a common look and feel that can be easily modified.
TRA031	Technical	System Architecture	Architecture Standards	60729	7.1.2	The system shall be compatible with the State Server Platform Technology Standards.
TRA031.1	Technical	System Architecture	Architecture Standards	60730	7.1.2	The system shall be compatible with the State ISD Portal Standards.
TRA032	Technical	System Architecture	Architecture Standards	60726	7.1.2	The system shall incorporate the core elements for a common, consistent presentation layer defined by the State Look and Feel Standard for Web Applications.
TRA033	Technical	System Architecture	Architecture Standards	60720	7.1.2	The system shall conform to the State defined Enterprise Software Standards including, at a minimum, placing comments on source code, naming standards for physical objects, and naming standards for column names.

TRA03 4	Technical	System Architecture	Architecture Standards	60723	7.1.2	The system shall use national MITA standards and uniform data and processes among participating entities to improve efficiency and further reduce delays in obtaining eligibility.
TRA03 5	Technical	System Architecture	Architecture Standards	60719	7.1.2	The system shall be designed to support providing participating agencies with all cross-Agency eligibility information such as Medicaid programs and benefits for which applicants are eligible.
TRA03 5.1	Technical	System Architecture	Architecture Standards	60728	7.1.2	The system shall support the Web Services Description Language (WSDL) to provide web services over the internet.
TRA03 5.2	Technical	System Architecture	Architecture Standards	60727	7.1.2	The system shall support the Web Services for Remote Portlets (WSRP) network protocol standards designed for communications with remote portlets.
TRA03 6	Technical	System Architecture	Architecture Standards	60724	7.1.2	The system shall be based on MITA Health Information Seven (HL7) Version 3 Reference Information Model (RIM) and associated Refined Message Information Models (R-MIMs) data standards for at least 90% of the verification and validation of enrollment data with the exception of some categories of eligibility.
TRI001	Technical	Infrastructure	Performance and Scalability	60745	7.2.1	The system shall be capable of supporting all Medicaid Agency workers performing normal daily business activities concurrently, with the ability to increase supported workers by 50% without modification to the software or degradation in performance (There are currently approximately 600 workers in the Agency).
TRI001. 3	Technical	Infrastructure	Performance and Scalability	61326	7.2.1	The system shall be capable of supporting those members of the public using the system to apply for benefits or other public user application functions (The Medicaid Agency believes the number of Alabama citizens potentially qualified for Medicaid programs is approximately 1.5 million. The number of concurrent users to be planned for should be 2,000)
TRI001. 1	Technical	Infrastructure	Performance and Scalability	61188	7.2.1	The system shall define, implement, collect, and report using a set of business process-related performance metrics that conform to MITA defined performance metrics such as tracking changes in programs and policies and evaluating corresponding changes in health outcomes.
TRI001. 2	Technical	Infrastructure	Performance and Scalability	61189	7.2.1	The system shall generate alerts and alarms when the value of a metric falls outside limits.
TRI002	Technical	Infrastructure	Performance and Scalability	60741	7.2.1	The system shall be available 99.0% of the time on a 24-hour, 7-days per week basis, with the exception of approved maintenance outage time periods.
TRI003	Technical	Infrastructure	Performance and Scalability	60747	7.2.1	The system shall provide the capability for an average two second transaction response time (with no individual transaction exceeding 10 seconds) to be consistent for all workers directly interacting with the production environment, based on a common web portal access for network access point, processed and returned to the network access point.
TRI004	Technical	Infrastructure	Performance and Scalability	60740	7.2.1	The system shall have a mean response time of 1 second for adding, updating, or deleting data and 99% of all responses shall occur within 1.5 seconds.
TRI005	Technical	Infrastructure	Performance and Scalability	60737	7.2.1	The system shall have a mean response time of 2 seconds for retrieval of a record from on-line history and 99% of all responses shall occur within 2.5 seconds.

TRI006	Technical	Infrastructure	Performance and Scalability	60735	7.2.1	The system shall have a mean response time of 5 seconds for providing a beneficiary's eligibility or archived history information from secondary storage and 99% of all responses shall occur within 5.5 seconds.
TRI007	Technical	Infrastructure	Performance and Scalability	60744	7.2.1	The system shall have a mean response time of 2 seconds for print initiations and 99% of all responses shall occur within 2.5 seconds.
TRI008	Technical	Infrastructure	Performance and Scalability	60743	7.2.1	The system shall have a mean response time of 3 seconds for cumulative transactions and 99% of all responses shall occur within 3.5 seconds.
TRI009	Technical	Infrastructure	Performance and Scalability	60738	7.2.1	The system shall have a mean response time of 2 seconds for retrieval of a record from on-line history and 99% of all responses shall occur within 2.5 seconds.
TRI010	Technical	Infrastructure	Performance and Scalability	60742	7.2.1	The system shall have a mean retrieval time to the network access point of less than 5 seconds for document images of 2MB or less in size over the State's ISDN 256Kb line.
TRI011	Technical	Infrastructure	Performance and Scalability	60748	7.2.1	The system shall be able to display within 1 second each subsequent page of the same document.
TRI012	Technical	Infrastructure	Performance and Scalability	60736	7.2.1	The system shall be able to retrieve any images stored (archived to offsite tertiary storage) more than 84 months according to State's retention policy within 2 Agency work days of the request.
TRI013	Technical	Infrastructure	Performance and Scalability	60746	7.2.1	The system shall be able to retrieve any images that are stored on tertiary media devices within 1 Agency work day.
TRI014	Technical	Infrastructure	Performance and Scalability	60739	7.2.1	The system shall integrate with the State supported Microsoft Outlook Exchange mail system.
	Technical	Infrastructure	Performance and Scalability	61410	7.2.1	The system shall be available 99.0% to complete interfaces as designed.
	Technical	Infrastructure	Performance and Scalability	61413	7.2.1	The system shall respond to the user's request to exit 100% of the time within 60 seconds.
	Technical	Infrastructure	Performance and Scalability	61414	7.2.1	The system shall make imaged documents available 99.5% immediately after the image is made.
	Technical	Infrastructure	Performance and Scalability	61415	7.2.1	The system shall accurately complete 99.9% of eligibility actions.
	Technical	Infrastructure	Performance and Scalability	61416	7.2.1	The system shall accurately complete 99.9% of presumptive eligibility actions.
	Technical	Infrastructure	Performance and Scalability	61417	7.2.1	The system shall accurately determine 99.9% of household compositions.
	Technical	Infrastructure	Performance and Scalability	61418	7.2.1	The system shall automatically route 99.9% of electronic applications to the correct location and work unit.
	Technical	Infrastructure	Performance and Scalability	61419	7.2.1	The system shall accurately update 99.9% of on-line transactions in the electronic case record.
	Technical	Infrastructure	Performance and Scalability	61420	7.2.1	The system shall compile, return and display 99.9% of case records accurately.
	Technical	Infrastructure	Performance and Scalability	61421	7.2.1	The system data shall be 99.0% available for reporting purposes.
	Technical	Infrastructure	Performance and Scalability	61422	7.2.1	The system shall have the ability to store 100% of all reports in a specified document repository.
	Technical	Infrastructure	Performance and Scalability	61423	7.2.1	The system shall have the ability to retrieve 100% of all reports within five (5) minutes.
	Technical	Infrastructure	Performance and Scalability	61412	7.2.1	The system shall respond to the user's request to exit 99% of the time within 30 seconds.

	Technical	Infrastructure	Performance and Scalability	61424	7.2.1	The system shall have the ability to produce 100% of all reports accurately.
TRI015	Technical	Infrastructure	Hardware	60753	7.2.2	The Vendor shall specify the minimum hardware configuration required to meet or exceed the performance specifications described in the RFP.
TRI016	Technical	Infrastructure	Hardware	60754	7.2.2	The system shall be configured with software that is compatible with the State hardware standards.
TRI018	Technical	Infrastructure	Hardware	60749	7.2.2	The Vendor shall specify the hardware that is compatible with the State's Information Services Division (ISD) environment to be able to transmit and accept data in multiple media including direct data transmission.
TRI019	Technical	Infrastructure	Hardware	60751	7.2.2	The system shall use online, real-time updates from Agency approved external devices such as ID scanners, PIN pads, signature capture devices, etc.
TRI020	Technical	Infrastructure	Hardware	60750	7.2.2	The system shall be configured with communication line(s), gateway server(s), network-attached storage unit(s), router(s), scanner(s), and associated peripherals that are approved by the State.
TRI021	Technical	Infrastructure	Hardware	60756	7.2.2	The system shall support dual monitors.
TRI022	Technical	Infrastructure	Hardware	60752	7.2.2	The system shall be configured with scanning equipment software that are compatible with the web based system and the State's existing networking infrastructure.
TRI023	Technical	Infrastructure	Hardware	60755	7.2.2	The system shall require no or minimal changes to individual workstations.
TRI024.1	Technical	Infrastructure	Software	61190	7.2.3	The system shall utilize MITA-standard service interfaces for service-enabling legacy systems.
TRI025	Technical	Infrastructure	Software	60769	7.2.3	The system shall be granted to the State of Alabama with ownership rights to developed software, software modifications, and associated documentation that are designed, developed, installed or enhanced for the Alabama Medicaid Agency using Federal and/or State of Alabama financial funding.
TRI026	Technical	Infrastructure	Software	60768	7.2.3	The system shall comply with the State of Alabama policy on Software Licensing according to the publication entitled, "Policy 630-06_Rev A: Software Licensing and Use".
TRI027	Technical	Infrastructure	Software	60760	7.2.3	The system shall support the State ISD's current IP-based infrastructure for wide area and local area networking using Multiprotocol Label Switching (MPLS) technology and Cisco networking solutions.
TRI031	Technical	Infrastructure	Software	60772	7.2.3	The system shall support the current supported or higher version of Microsoft Office Professional 2007 and Plus 2010 for enterprise productivity platform.
TRI035	Technical	Infrastructure	Software	60762	7.2.3	The system shall support the current supported or higher version of QAS Pro Web online address validation tool or equivalent to provide postal address validation during the web application process.
TRI038	Technical	Infrastructure	Software	60767	7.2.3	The system shall ensure that all software applications that support the operation of the Recipient Subsystem must be compatible with the Agency/State local area network.

TRI042	Technical	Infrastructure	Software	60777	7.2.3	The system shall be developed/modified using an application programming language(s) that is currently widely used in the Medicaid Information Systems, technically appropriate for the application, and compatible with programming languages and CMS MMIS certification requirements.
TRI043	Technical	Infrastructure	Software	60778	7.2.3	The system shall support a web statistics (tracking) software to determine such things including but, not limited to: (a) IP address and the link they came from to reach the site (b) The link they go to from the Recipient Subsystem site (c) At what point in the application people tend to stop/get stuck (d) Need to know if they saved or just exited out or timed out (e) How long a person's session was and what page they stopped on (f) How long they are on a specific page (g) If they logged in or not (h) If it is the home view or Agency view (i) If they started with an existing application or keyed from scratch or from an Agency pre-population process, if submitted, was it a change or full application
TRI044	Technical	Infrastructure	Software	60766	7.2.3	The system shall be designed to balance all external system inputs, transactions processed, and outputs for all system-maintained data maintenance activity and transactions.
TRI045	Technical	Infrastructure	Software	60759	7.2.3	The system shall be designed to simplify the process of changing data structure such that data elements, fields, or values can be easily added or updated or the data field lengths expanded as required.
TRI046	Technical	Infrastructure	Software	60763	7.2.3	The system shall be designed to follow maximize software reuse, component architecture to minimize repetitive code, and best practice surrounding scope, global and private variables.
TRI047	Technical	Infrastructure	Software	60761	7.2.3	The system shall be designed to simplify the process of updating reference/resource files including but, not limited to data dictionary, look-up tables, and State aid category cross walks.
TRI048	Technical	Infrastructure	Database	60786	7.2.4	The system shall support the current supported and higher version of MS SQL Server 2005 as the data repository.
TRI049	Technical	Infrastructure	Database	60782	7.2.4	The system shall follow database tables, columns, and object naming convention that allows for a partially self-documenting data or data object model.
TRI050	Technical	Infrastructure	Database	60787	7.2.4	The system shall have a core data model that is normalized, making proper use of foreign keys.
TRI051	Technical	Infrastructure	Database	60781	7.2.4	The system shall only allow access to the database from the application through standard Java Database Connectivity (JDBC), ActiveX Data Objects (ADO) or Open Database Connectivity (ODBC) drivers.
TRI052	Technical	Infrastructure	Database	60780	7.2.4	The system shall provide only one logical database for the online portion of the system and, physically, the online database should be supported with a cluster or parallel servers acting against one database.
TRI053	Technical	Infrastructure	Database	60783	7.2.4	The system's stored procedures shall contain self-identifying information imbedded in the code for debugging and performance tuning purposes.

TRI054	Technical	Infrastructure	Database	60785	7.2.4	The system shall provide the ability for the database administrators to manage or control resource usage at the procedure level.
TRI055	Technical	Infrastructure	Database	60788	7.2.4	The system shall have centralized administrative and monitoring capabilities.
TRI056	Technical	Infrastructure	Database	60789	7.2.4	The system shall maintain entity, referential and domain integrity.
TRI057	Technical	Infrastructure	Database	60784	7.2.4	The system shall support an integrated operational database using relational technology supporting a single view for all operational data.
TRI058	Technical	Infrastructure	Database	60790	7.2.4	The system shall comply with the following State of Alabama Medicaid guidelines and standards such as Database coding Guidelines, Database Naming Standards, Development and Maintenance of Database (IS-NSPP-0005), Handling Special Request (IS-NSPP-0004), Handling Database Objects (IS-NSPP-0005), and Development and Maintenance of Web Application (IS-NSPP-0010).
TRI059	Technical	Infrastructure	Database	60779	7.2.4	The system shall contain a Logical Database Design (LDD) that is based on IEEE 12207-2008 System and Software Engineering-Software Life Cycle Processes such as a fully described data dictionary, Entity Relationship Diagram(s) (ERD), and transaction entry point analysis to support the business data requirements.
TRI060	Technical	Infrastructure	Production Control	60791	7.2.5	The system shall be securely accessible to authorized workers via remote access (i.e. any access to the State network through a non-State controlled network, device, or medium) in accordance to State standards through a variety of communications channels and protocols such as: (a) Virtual Private Network (b) Lease lines (if appropriate and required) (c) Dial-up telephone inquiry via toll free lines (d) Wireless networks, i.e. Wi-Fi (e) Wireless clients, i.e. Personal Digital Assistants (PDAs), text messaging devices, smart phones-PDA products, and wireless-capable laptop PCs (f) Bluetooth (g) Kiosks (h) Internet access
TRI061	Technical	Infrastructure	Production Control	60799	7.2.5	The system shall allow the batch processing cycle to be monitored through automated scheduling tools.
TRI062	Technical	Infrastructure	Production Control	60798	7.2.5	The system shall provide an automated production schedule report accessible online by authorized workers.
TRI063	Technical	Infrastructure	Production Control	60792	7.2.5	The system shall include appropriate checkpoint/restart capabilities, and other features necessary to ensure reliability and recovery, including telecommunications reliability, file backups, and disaster recovery.
TRI064	Technical	Infrastructure	Production Control	60796	7.2.5	The system shall support procedures that can restart the batch processing from a given checkpoint without running the entire batch process from the beginning.
TRI065	Technical	Infrastructure	Production Control	60802	7.2.5	The system shall include tools for performance tuning.
TRI066	Technical	Infrastructure	Production Control	60800	7.2.5	The system shall be compatible with existing State office automation systems.

TRI067	Technical	Infrastructure	Production Control	60801	7.2.5	The system shall support Interactive Voice Response (IVR) interaction.
TRI068	Technical	Infrastructure	Production Control	60793	7.2.5	The system shall support the use of separate applications and web servers for hosting web pages that are externally facing to the internet to provide a secure layer separating intranet only/internal web pages and web pages that are exposed through the DMZ (Demilitarized Zone), using mechanisms such as URL (Uniform Resource Locator) redirection.
TRI070	Technical	Infrastructure	Production Control	60794	7.2.5	The system shall have adequate safeguards and features that are required to ensure that the service delivery function can continue in cases of equipment failures, system unavailability, a failure or disaster.
TRI071	Technical	Infrastructure	Production Control	60795	7.2.5	The system shall have the capability to comply with or respond to the disaster recovery plan in accordance to the "45 CFR 95.621(f)" Federal guideline, the State of Alabama policy entitled, "Policy 700-00: Disaster Recovery", and the Alabama Medicaid Memorandum No. 108, dated February 1, 2010, Subject: “ Disaster/Recovery for Medicaid”.
TRS00 1.1	Technical	Security	Security Standards and Guidelines	61191	7.3.1	The system shall provide support for user authentication via SecureID tokens and delivery of results to authentication and authorization functions.
TRS00 1.2	Technical	Security	Security Standards and Guidelines	61192	7.3.1	The system shall support user authentication using public key infrastructure in conformance with MITA-identified standards.
TRS00 1.3	Technical	Security	Security Standards and Guidelines	61193	7.3.1	The system shall be implemented with Web Single Sign-On (SSO) to provide a framework for seamless application access through unified authentication services.
TRS00 1.4	Technical	Security	Security Standards and Guidelines	61194	7.3.1	The system shall be implemented with Extended Single Sign-On (SSO) to add a security integration tier that allows other application tiers to use the authenticated identity.
TRS00 2	Technical	Security	Security Standards and Guidelines	60803	7.3.1	The system shall comply with the State of Alabama standards on external connections based on the following publications entitled, "Standard 640-01S1: Interconnecting IT Systems" and "Standard 640-01S2: Secure Web Application Development".
TRS00 3	Technical	Security	Security Standards and Guidelines	60804	7.3.1	The system shall comply with the State of Alabama standards on authentication based on the following publications entitled, "Standard 620-03S1_Rev A: Authentication - Passwords" and "Standard 620-03S2_Rev A: Authentication - Biometrics".
TRS00 4	Technical	Security	Security Standards and Guidelines	60810	7.3.1	The system shall comply with the State of Alabama standards on remote access based on the following publications entitled, "Standard 640-02S1_Rev A: Remote Access Controls", "Standard 640-02S2: Virtual Private Networks", and "Standard 640-02S3_Rev A: Dial-In Access/Modem Use".
TRS00 5	Technical	Security	Security Standards and Guidelines	60814	7.3.1	The system shall comply with the State of Alabama standards on wireless security based on the following publications entitled, "Standard 640-03S1: Wireless Networks", "Standard 640-03S2_Rev B: Wireless Clients", and "Standard 640-03S3: Bluetooth Security".

TRS006	Technical	Security	Security Standards and Guidelines	60809	7.3.1	The system shall comply with the State of Alabama standards on physical security based on the following publications entitled, "Standard 650-01S1: Physical Security" and "Standard 650-01S2: Physical Access Control".
TRS007	Technical	Security	Security Standards and Guidelines	60807	7.3.1	The system shall comply with the State of Alabama guidelines and standards on application security based on the following publications entitled, "Standard 660-01S1: Application Security - Mobile Code", "Guideline 660-01G1: Application Security - SQL Injection", "Guideline 660-01G2: Input Validation and Data Security", "Guideline 660-01G3: Database Security", and "Guideline 660-01G4: Error Handling".
TRS008	Technical	Security	Security Standards and Guidelines	60811	7.3.1	The system shall comply with the State of Alabama guidelines and standards on system security based on the following publications entitled, "Standard 660-02S1: Laptop Security", "Standard 660-02S2: PDA Security", "Baseline 660-02B1_Rev A: Server Security", "Baseline 660-02B2: Client Security", "Guideline 660-02G2: Firewall Security", "Guideline 660-02G5: Security Engineering Principles", and "Guideline 660-02G6: Domain Name System (DNS) Security".
TRS009	Technical	Security	Security Standards and Guidelines	60815	7.3.1	The system shall comply with the State of Alabama standards on virus protection based on the following publication entitled, "Standard 670-04S1_Rev A: Virus Protection".
TRS010	Technical	Security	Security Standards and Guidelines	60806	7.3.1	The system shall comply with the State of Alabama standards on intrusion detection and prevention systems based on the following publication entitled, "Standard 670-05S1_Rev A: Intrusion Detection and Prevention Systems".
TRS011	Technical	Security	Security Standards and Guidelines	60817	7.3.1	The system shall comply with to the State of Alabama standards on log management based on the following publication entitled, "Standard 670-06S1: Log Management".
TRS012	Technical	Security	Security Standards and Guidelines	60816	7.3.1	The system shall comply with the State of Alabama standards on backup and recovery based on the following publication entitled, "Standard 670-07S1: Backup and Recovery".
TRS013	Technical	Security	Security Standards and Guidelines	60812	7.3.1	The system shall comply with the State of Alabama standards on secure system maintenance based on the following publication entitled, "Standard 670-08S1: Secure System Maintenance".
TRS014	Technical	Security	Security Standards and Guidelines	60813	7.3.1	The system shall comply with the NIST guideline for PHI (Protected Health Information) systems that are connected by remote devices based on the National Institute of Standards and Technology (NIST) Special Publication 800-111 Storage Encryption Technologies for End User Devices.
TRS015	Technical	Security	Security Standards and Guidelines	60808	7.3.1	The system shall comply with the State of Alabama standards on information protection based on the following publications entitled, "Standard 680-01S1: Information Protection", "Standard 680-01S2: Protecting PII", "Standard 680-01S3: Removable Storage Devices", and "Standard 680-01S4: Media Sanitization".

TRS016	Technical	Security	Security Standards and Guidelines	60818	7.3.1	The system shall comply with the State of Alabama standards on encryption based on the following publication entitled, "Standard 680-03S1_Rev B: Encryption" for example encryption products used shall be listed on the National Institute of Standards and Technology (NIST) Cryptographic Module Validation List (http://csrc.nist.gov/groups/STM/cmvp/validation.html) and be validated to the current Federal Information Processing Standard (FIPS).
TRS017	Technical	Security	Security Standards and Guidelines	60819	7.3.1	The system shall comply with the State of Alabama Policy 660-03: Application Security Testing and must successfully pass an independent third party audit.
TRS018	Technical	Security	Security Standards and Guidelines	60805	7.3.1	The system shall comply with the State of Alabama standards on web development based on the following publications entitled, "Standard 1210-00S1_Rev A: Online Privacy and Data Collection", "Standard 1210-00S2: Universal Accessibility", "Standard 1210-00S3: Online Security Statement", and "Standard 1210-00S4: Hypertext Linking".
	Technical	Security	Security Standards and Guidelines	61428	7.3.1	The system shall use encryption for all data transmission over the network using SSI protocol.
TRS019	Technical	Security	Web Application	60830	7.3.2	The system shall allow a unique user ID, password, and answers to 3 security questions to be created when an application is filled out but prior to submitting the application.
TRS020	Technical	Security	Web Application	60841	7.3.2	The system shall prompt the Applicant to create a user ID and password before saving or submitting an application.
TRS020.1	Technical	Security	Web Application	60837	7.3.2	The system shall prevent Applicants from navigating to the pre-eligibility determination screens without first creating a user ID and password.
TRS021	Technical	Security	Web Application	60824	7.3.2	The system shall generate a Personal Identification Number (PIN) when an application is submitted, and associate the PIN to the case number, Application Tracking Number (ATN), record ID (RID), user ID and password.
TRS022	Technical	Security	Web Application	60842	7.3.2	The system shall mail the system-generated PIN to the mailing address specified on the application form.
TRS023	Technical	Security	Web Application	60834	7.3.2	The system shall link the user ID to the PIN and associate the user ID to the name, last 4-digits of the SSN, and date of birth of the Applicant on the application.
TRS026	Technical	Security	Web Application	60832	7.3.2	The system shall deactivate the PIN after 3 unsuccessful attempts to match the last 4-digits of SSN, and DOB against the Applicant on the case number for the PIN entered.
TRS027	Technical	Security	Web Application	60835	7.3.2	The system shall support PIN reactivation and resending of PIN to an authenticated email address of record if the Applicant can match the 3 security questions.
TRS028	Technical	Security	Web Application	60827	7.3.2	The system shall display a message to inform the Applicant attempting to create a user ID to use the "Forgot User ID" feature if it is determined that the PIN already has a user ID associated with it.
TRS029	Technical	Security	Web Application	60838	7.3.2	The system shall display to the Applicant a list of similar user IDs as suggestions if a user ID that is chosen already exists in the system.

TRS030	Technical	Security	Web Application	60829	7.3.2	The system shall verify the minimum requirement for creating a user ID is 8 characters and a maximum length of whatever field size is defined on the table with no spaces allowed.
TRS031	Technical	Security	Web Application	60846	7.3.2	The system shall require users to select a password in accordance with agency requirements for password strength.
TRS032	Technical	Security	Web Application	60822	7.3.2	The system shall include helpful guides on the sign-on page such as a link for tips on creating a user ID and password, choosing from a set of dropdown security questions, option for signing in using user ID and password, option for "Forgot User ID", and option for "Forgot Password".
TRS033	Technical	Security	Web Application	60826	7.3.2	The system shall display a message to inform the Applicant attempting to sign-in with an invalid combination of user ID and password that they can use the "Forgot User ID" or "Forgot Password" features.
TRS034	Technical	Security	Web Application	60825	7.3.2	The system shall disable the user ID after 5 unsuccessful sign-in attempts in a row with the same user ID in combination with an invalid password and provide the web helpdesk phone number to call for assistance.
TRS035	Technical	Security	Web Application	60831	7.3.2	The system shall allow the web helpdesk to enable a person's disabled user ID by using the Extranet to verify the applicable security questions and its respective answers.
TRS036	Technical	Security	Web Application	60828	7.3.2	The system shall allow the Applicant to create a new password in the event of a forgotten password by prompting for the last 4-digits of the Applicant's SSN, DOB, and one of the security questions.
TRS037	Technical	Security	Web Application	60823	7.3.2	The system shall allow the web helpdesk to change a user ID via the Extranet when contacted by the Applicant but only after supplying the correct answer to the security questions and other pertinent personal information.
TRS038	Technical	Security	Web Application	60844	7.3.2	The system shall allow the applications that are not accessed and not submitted to be saved for a minimum of 30 days.
TRS038.1	Technical	Security	Web Application	61196	7.3.2	The system shall provide configurable "garbage collection" utilities to clean up and delete draft and un-submitted applications.
TRS039	Technical	Security	Web Application	60836	7.3.2	The system shall limit the user ID to only pull up the saved application associated with it, if there is one, or the most current application for the case, which will include all changes that have been applied to the application since it was originally submitted.
TRS040	Technical	Security	Web Application	60840	7.3.2	The system shall disable an existing user ID and destroy the PIN, if there is one, associated with it after it has been determined that a new user ID has been created and linked to a case number that is already linked to the existing user ID.
TRS041	Technical	Security	Web Application	60821	7.3.2	The system shall determine that if an Applicant pulls up their existing application and makes some changes or maybe doesn't make any changes, and save, the system will assume it is a change and save under the same ATN. When the application is submitted, the system will need to determine if a new ATN needs to be assigned at that time.

TRS04 2	Technical	Security	Web Application	60843	7.3.2	The system shall disable but never delete a user ID that has not been used for a minimum of 18 months.
TRS04 2.1	Technical	Security	Web Application	60833	7.3.2	The system shall provide the web helpdesk the capability to enable a user ID after it has been disabled by using the Extranet to check the security questions and answers.
TRS04 3	Technical	Security	Web Application	60845	7.3.2	The system shall allow the Agency to retrieve any current or saved application.
TRS04 4	Technical	Security	Web Application	60839	7.3.2	The system shall support authenticated Applicant requests for new PIN issuances in cases of a lost PIN and the Extranet shall be used to destroy the Applicant's existing PIN, create a new PIN, request a PIN letter, and associate the new PIN to the same case, ATN, and RID that the destroyed PIN was related to.
TRS04 5	Technical	Security	Access Control	60849	7.3.3	The system shall develop security and functionality to allow e-signature, in compliance with the FIPS PUB 186-3 Digital Signature Standard June 2009, on all web applications, reviews, and changes.
TRS04 6	Technical	Security	Access Control	60850	7.3.3	The system shall allow community application assisters to have access to system for certain functions such as to check to make sure Applicant does not already have an application on file.
TRS04 7	Technical	Security	Access Control	60847	7.3.3	The system shall be capable of serving as a worker web portal providing functionality such as allowing an authorized supervisor, Agency privacy/security officers or system administrator to set permission levels and other security features.
TRS04 8	Technical	Security	Access Control	60855	7.3.3	The system shall establish a limit of unsuccessful access attempts after which an Agency worker will be locked out.
TRS04 9	Technical	Security	Access Control	60856	7.3.3	The system shall automatically log an Agency worker off after an Agency-defined, configurable amount of inactivity.
TRS05 0	Technical	Security	Access Control	60848	7.3.3	The system shall provide screen level security for all data and define and maintain the level of authorization/security for specific functions by individual worker including module level security for grouping of screens/pages.
TRS05 1	Technical	Security	Access Control	60852	7.3.3	The system shall provide field level security permissions including the capability to modify and set user field level security rules based on user roles.
TRS05 2	Technical	Security	Access Control	60863	7.3.3	The system shall support field level encryption for all PHI and PII data elements.
TRS05 3	Technical	Security	Access Control	60858	7.3.3	The system shall support central policy managed and role driven data masking for all PHI and PII data elements.
TRS05 4	Technical	Security	Access Control	60866	7.3.3	The system shall support roles for grouping of privileges/modules.
TRS05 5	Technical	Security	Access Control	60860	7.3.3	The system shall allow workers to have multiple roles that determine access to system objects and fields.
TRS05 6	Technical	Security	Access Control	60854	7.3.3	The system shall provide workers access to imaged documents using the same security profiles within Recipient Subsystem.
TRS05 7	Technical	Security	Access Control	60857	7.3.3	The system shall allow for future, current and past begin and end dates for staff data (e.g. dates of employment, user roles, unit assignment, locations, address, etc.).
TRS05 8	Technical	Security	Access Control	60864	7.3.3	The system shall provide a history of security profile assignments for a worker.

TRS059	Technical	Security	Access Control	60867	7.3.3	The system shall not allow duplicate user IDs.
TRS060	Technical	Security	Access Control	60859	7.3.3	The system shall support a configurable logon banner for the purpose of displaying acceptable use policies.
TRS061	Technical	Security	Access Control	60851	7.3.3	The system shall prevent concurrent logins for the same user ID (e.g. if the worker attempts to logon to another workstation, the system will force a log off from the other terminal).
TRS062	Technical	Security	Access Control	60865	7.3.3	The system shall allow for global level security administrator access.
TRS063	Technical	Security	Access Control	60853	7.3.3	The system shall support the State-wide Active Directory services for authentication.
TRS068	Technical	Security	Access Control	60862	7.3.3	The system shall provide the capability to share key data and images across programs and agencies.
TRS069	Technical	Security	Host Security	60869	7.3.4	The system shall allow for the categorization of access into different security levels that will be defined by the Agency such as workers, groups, roles, and office location.
TRS070	Technical	Security	Host Security	60875	7.3.4	The system shall provide for robust online security checks, including security by individual, State defined role, location, files, and fields before allowing access to any files including data, software, resources, code or any other files resident with or accessed by the Agency.
TRS071	Technical	Security	Host Security	60868	7.3.4	The system shall provide ability to view, retrieve, update and print case file information based on user level permissions e.g. worker, supervisor, manager, Long Term Care, or Legal purposes.
TRS072	Technical	Security	Host Security	60870	7.3.4	The system shall restrict the ability to access, modify or override system edits and audits, or alter system functionality, to staff authorized to implement such changes.
TRS073	Technical	Security	Host Security	60871	7.3.4	The system shall ensure that all authenticated interfaces are secure and support a minimum of 128bit encryption.
TRS075	Technical	Security	Host Security	60874	7.3.4	The system shall utilize a proven data encryption method which follows State standards.
TRS077	Technical	Security	Host Security	60872	7.3.4	The system shall use the State ISD's current networking infrastructure for access to all applications.
TRS075.1	Technical	Security	Host Security	61195	7.3.4	The system shall support user authentication using public key infrastructure in conformance with MITA-identified standards.
TRS078	Technical	Security	Log-in and Audit	60882	7.3.5	The system shall have the capability to log the hosts, host components (e.g. operating system (OS), service, application), and events.

TRS079	Technical	Security	Log-in and Audit	60879	7.3.5	The system shall have the capability to determine which events require logging on a continuous basis and which events require logging in response to specific situations such as: (a) Start up and shut down of audit functions (b) Successful and unsuccessful logons and logoffs (c) Successful and unsuccessful attempts to access security relevant files and utilities, including user authentication information (d) Log information on read, modify, or destroy operations (e) Configuration changes made during auditing operations (f) Unsuccessful usage of user identification or authentication mechanisms (g) Changes to the time (h) Activities that modify, bypass, or negate system security controls (i) Use of privileged accounts (j) Administrator logons, changes to the administrator group, and account lockouts (k) Actions following log storage failure or exceeding threshold levels (l) Unsuccessful security attribute revocations (m) Modifications to user groups within a role (n) Key recovery requests and associated responses (o) Access denials resulting from excessive numbers of logon attempts (p) Blocking or blacklisting of user ID, terminal, or access port (q) Detected replay attacks (r) Rejections of new sessions based on limits to number of concurrent sessions (s) Use of compilers (t) System software installations.
TRS080	Technical	Security	Log-in and Audit	60884	7.3.5	The system shall determine what information the log content will capture such as the date and time of the event, component of the information system (e.g. software component, hardware component) where the event occurred, type of event, subject identity, outcome (success or failure) of the event, source IP, destination IP, protocol used, and action taken in order to establish what events occurred, the sources of the events, and the outcomes of the events.
TRS081	Technical	Security	Log-in and Audit	60877	7.3.5	The system shall capture, based on Agency-defined frequency, the log data collection, log transmission, log retention, log storage capacity, log audit processing, log protection, log reduction and report generation, log activity review procedures, log monitoring, log analysis, log reporting, and what personnel will be authorized to access the system security logs.
TRS082	Technical	Security	Log-in and Audit	60881	7.3.5	The system shall maintain audit trails of all changes to data, both online and batch 24/7, including data conversion on all Recipient Subsystem fields unless specifically identified by the Agency such as: (a) Date and time of change (b) "Before" and "after" status (c) "Before" and "after" data field contents as displayed on the screen or report (d) Operator identifier or source of the update (e) User ID
TRS083	Technical	Security	Log-in and Audit	60878	7.3.5	The system shall create an audit trail of all interface processes that will include enough detail to support complete reconciliation with the level of detail to be determined by the State.
TRS085	Technical	Security	Log-in and Audit	60883	7.3.5	The system shall provide authorized staff the ability to view online a history or log file that tracks last transactions on record, type of transaction, changed data, date of change, operator ID, and other relevant data up to 72 months following the last auditable action on a case.

TRS086	Technical	Security	Log-in and Audit	60876	7.3.5	The system shall produce security maintenance reports for routine maintenance and support by program administrators per Agency-defined schedule such as an alpha listing by county of workers by role and transactions related to changes in roles.
TRS087	Technical	Security	Log-in and Audit	60880	7.3.5	The system shall have controls and audits for detecting and reporting changes of the database, any connect operations, including intruder detection, attempts to access nonexistent objects, and any activities requiring DBA (Database Administrator) privileges.
TRS088	Technical	Security	Information Labeling and Handling	60888	7.3.6	The system shall be compliant with current HIPAA regulations including the Transaction and Code Sets provisions, as well as the provisions for Unique Identifiers that have been finalized to date (e.g., National Provider Identifier and Employer Identification Number).
TRS089	Technical	Security	Information Labeling and Handling	60886	7.3.6	The system shall support and protect the privacy of personally identifiable health information in compliance with the Privacy final rule and must meet requirements for the secure storage and transmission of protected health care information as stipulated in the Security final rule.
TRS090	Technical	Security	Information Labeling and Handling	60889	7.3.6	The system shall track disclosure of Protected Health Information (PHI) such as written documents, electronic files, and verbal information.
TRS091	Technical	Security	Information Labeling and Handling	60892	7.3.6	The system shall keep pace and meet compliance requirements as HIPAA provisions are modified, amended or finalized in the future including transaction version updates, identifiers, security and privacy system requirements, and other applicable regulations and addenda.
TRS092	Technical	Security	Information Labeling and Handling	60885	7.3.6	The system shall provide the capability to support all of the following HIPAA transactions and regulations: (a) 270 Health Care Eligibility Benefit Inquiry (Version 5010 Level II Compliance) (b) 271 Health Care Eligibility Benefit Response (Version 5010 Level II Compliance) (c) 278 Health Care Services Review and Response (d) National Provider Identifier (NPI) generation / Provider Taxonomy (e) National Employer Identifier
TRS093	Technical	Security	Information Labeling and Handling	60890	7.3.6	The system shall be compliant with Part 11 of the State Medicaid Manual and with all regulations required to achieve CMS certification.
TRS094	Technical	Security	Information Labeling and Handling	60887	7.3.6	The system shall support the Health Information Seven (HL7) version 3 R-MIM standards for the exchange, integration, sharing, and retrieval of electronic health information.
TRS095	Technical	Security	Information Labeling and Handling	60891	7.3.6	The system shall include data element definitions to indicate the security classification level of the data.

TRU001	Technical	User Interface	Appearance	60897	7.4.1	The system shall have consistent graphical user interface that conforms to the current GUI accepted practices including the use of common elements such as titles, message lines, status lines or navigation bars and buttons (in the case of web application and publishing screens), occupy the similar position on all screens in a system or site, and display information on each screen that uniquely identifies it such as the application name, screen title, and a unique identifying code which is traceable to the program(s) that handle the screen.
TRU002	Technical	User Interface	Appearance	60894	7.4.1	The system shall include a user interface that allows the worker to enter in data on screens that are visually similar to the documents generated (aka WYSIWYG, What You See is What You Get).
TRU003	Technical	User Interface	Appearance	60895	7.4.1	The system shall comply with the Information Technology Accessibility Standards by labeling or otherwise indicating mandatory fields, conditionally mandatory fields, or read-only fields.
TRU004	Technical	User Interface	Appearance	60898	7.4.1	The system shall display the due date and time remaining for tasks that have deadlines.
TRU005	Technical	User Interface	Appearance	60901	7.4.1	The system shall present standard icons that represent to the worker the action intended such as an arrow pointing left may be used in addition to the word "Previous" to allow the worker to display the previous page in a document or report by clicking on the arrow.
TRU006	Technical	User Interface	Appearance	60893	7.4.1	The system shall identify what icons and fields represent by displaying a descriptive word(s) under the icon and by displaying a descriptive word(s) in accordance to the approved standards when the mouse is rolled over the icon.
TRU007	Technical	User Interface	Appearance	60900	7.4.1	The system shall be able to support dynamic screen resolutions sizing.
TRU008	Technical	User Interface	Appearance	60899	7.4.1	The system search capability shall have the same look and feel for all searches.
TRU009	Technical	User Interface	Appearance	60896	7.4.1	The system shall allow for the use of drop-down, key-in and calendar functions with the month spelled out for all date fields.
TRU010	Technical	User Interface	Worker Portal	60925	7.4.2	The system shall provide the worker portal designed to be a streamlined version of the self service web application to include fields needed by the workers without all the questions and information provided on the self service web application for the public.
TRU011	Technical	User Interface	Worker Portal	60920	7.4.2	The system shall allow the worker to access the worker portal from either the internet or intranet to perform functions such as Beneficiary Services and other related support functions and use the Internet to enhance receipt and distribution of information to State staff and the recipient community.
TRU012	Technical	User Interface	Worker Portal	60921	7.4.2	The system shall link the Central Data Validation function to the worker portal.
TRU013	Technical	User Interface	Worker Portal	60907	7.4.2	The system shall provide the capability for workers to initiate data searches through the Central Data Validation Function with security in place to prevent phishing.

TRU015	Technical	User Interface	Worker Portal	60924	7.4.2	The system shall display the worker portal as the home page for all functions with features such as a navigation bar, menu bar, recent documents opened, inbox/dashboard, items/alerts, reminders, important announcements, daily task list, and quick search.
TRU016	Technical	User Interface	Worker Portal	60908	7.4.2	The system shall organize the worker portal inbox/dashboard to enhance workflow in a manner to most efficiently assist workers with prioritizing their work.
TRU017	Technical	User Interface	Worker Portal	60902	7.4.2	The system shall provide a menu type-ahead, look-ahead, key-in functionality for certain fields, drop down box, and calendar selections for all functions such as income type, living arrangement, county codes, etc. specific to Alabama.
TRU017.1	Technical	User Interface	Worker Portal	60906	7.4.2	The system shall support system intelligence such as calculating age and auto-populating fields based on zip code including town, county, city, State, country, and APO/FPO designation.
TRU018	Technical	User Interface	Worker Portal	60919	7.4.2	The system shall provide online access to manuals such as Policy Manuals, Technical Manuals, and SDX.
TRU019	Technical	User Interface	Worker Portal	60914	7.4.2	The system shall allow manuals to be searchable such as by word search, subject matter search, content type search, and author search.
TRU020	Technical	User Interface	Worker Portal	60903	7.4.2	The system shall provide access to training resources such as PowerPoint presentations, new worker and refresher courses, acronyms, etc., related to eligibility, TPL, fraud, HIPAA privacy and security, personnel and other areas.
TRU021	Technical	User Interface	Worker Portal	60912	7.4.2	The system shall provide online access to tables such as code tables and value tables, and allow updating of tables by authorized workers.
TRU022	Technical	User Interface	Worker Portal	60913	7.4.2	The system shall provide the capability for authorized workers to view interpretation requests/responses by keyword, date or entire list.
TRU023	Technical	User Interface	Worker Portal	60923	7.4.2	The system shall support icons for shortcut to commonly used menus.
TRU024	Technical	User Interface	Worker Portal	60904	7.4.2	The system shall provide the ability to jump, tab back and forward, home, end, top of page, bottom of page, find, group, update, rotate pages, toggle between screens, applications, or otherwise navigate the system.
TRU025	Technical	User Interface	Worker Portal	60922	7.4.2	The system shall provide a "search this page/document" functionality.
TRU026	Technical	User Interface	Worker Portal	60910	7.4.2	The system shall provide help lookup features and policy reminders in the areas most prone to error or requiring a high level of policy decision.
TRU027	Technical	User Interface	Worker Portal	60918	7.4.2	The system shall provide the ability to compare data fields and highlight the differences between new electronic information with the case file's current information, and allow the worker the option to accept the new information by data field, section or document.
TRU27.1	Technical	User Interface	Worker Portal	60905	7.4.2	The system shall provide the ability to either compare or view electronic data sources against the Recipient Subsystem and allow the worker to selectively update the Recipient Subsystem.
TRU028	Technical	User Interface	Worker Portal	60909	7.4.2	The system shall provide authorized staff access to contact lists on the worker portal such as phone numbers, email addresses, and physical addresses.

TRU029	Technical	User Interface	Worker Portal	60911	7.4.2	The system shall provide authorized staff access on the worker portal to publications such as Medicaid newsletters and Administrative memos.
TRU030	Technical	User Interface	Worker Portal	60916	7.4.2	The system shall provide the ability to display a scrolling marquee to notify workers of late breaking news or release of new policy.
TRU031	Technical	User Interface	Worker Portal	60915	7.4.2	The system shall provide authorized staff the ability to customize website links on the worker portal to support eligibility workers such as: (a) Links to insurance databases (b) Links to community resources/reference materials (c) Links to Vehicle values such as Kelly Blue Book (d) Financial directories (e) Savings bond calculator (f) Tax and Court House records (g) Amortization schedule (h) Interstate Compact Agreement State contacts (i) Federal Employee Identification No. (FEIN) search (j) Link(s) to legal settlement databases (k) Low Income Subsidy and Part D benefits (l) State and Teacher employee and retiree insurance and benefit information (m) TPQY for beneficiary disability determination dates, drug plans, Part A, B and D premium payments, etc. (n) Banking information databases (o) Governmental files such as SOLQ, SVES, BENDEX, SDX, EDB, and SAVE (p) Citizenship and/or identity data from other verified sources e.g. EVVE (q) Verified employment, wage, and insurance data (r) Veteran’s Administration (s) Claim number and income of retirees of from Railroad, Civil Service, Teachers or State Employment (t) Eligibility status in other states (u) Legal monetary settlements
TRU032	Technical	User Interface	Navigation	60934	7.4.3	The system shall support a "Self Service Web Portal" website that affords the general public access to up-to-date information 24/7.
TRU033	Technical	User Interface	Navigation	60929	7.4.3	The system portal shall be designed to provide information in a self-service/self-help format for all applicants/beneficiaries through the use of a knowledge base model and extended use of help features.
TRU034	Technical	User Interface	Navigation	60940	7.4.3	The system shall allow access to the "Self Service Web Portal" website from any location that has internet access limited to online application and associated links necessary to complete an application, renewal, or make changes to needed information.
TRU035	Technical	User Interface	Navigation	60936	7.4.3	The system shall automatically link screens used in conjunction with each other (e.g., demographics, household composition).
TRU036	Technical	User Interface	Navigation	60928	7.4.3	The system shall implement a single unified interface for all applicant data that would make access to the information available to all authorized workers and automated processes such as access to program integrity case results by other authorized units in cases of applicant and provider eligibility/enrollment activities.
TRU037	Technical	User Interface	Navigation	60938	7.4.3	The system shall provide an online help with descriptive error messages for all online errors.
TRU038	Technical	User Interface	Navigation	60926	7.4.3	The system shall provide language translation such as English and Spanish for the online application, online help, error messages, and associated links necessary to complete an application, renewal, or make changes to needed information.

TRU039	Technical	User Interface	Navigation	60931	7.4.3	The system shall provide online assistance to applicant/recipients in checking eligibility status, applying for benefits or making/reporting a change via an online tool such as Chat.
TRU042	Technical	User Interface	Navigation	60927	7.4.3	The system shall allow authorized workers to review and make edits to documents created in programs such as Word, Excel, PowerPoint, and OneNote from a supported web browser such as Internet Explorer, Firefox, Safari, Lynx, Chrome, and Opera.
TRU043	Technical	User Interface	Navigation	60933	7.4.3	The system shall allow authorized workers to maintain documents offline and synchronize any changes made to the documents once connected.
TRU044	Technical	User Interface	Navigation	60930	7.4.3	The system shall allow authorized workers located in different locations to share, edit, and comment on documents with their smart phones, PDAs, etc., without losing any formatting or content.
TRU045	Technical	User Interface	Navigation	60935	7.4.3	The system shall present data entry fields as standard codes and descriptions in accordance with the accessibility standards.
TRU046	Technical	User Interface	Navigation	60939	7.4.3	The system shall have the ability to easily update and edit features for reference tables such as allowing authorized workers online update capability to all reference databases whereby the update authorization may be to a single reference database, selected reference databases, or all reference databases for a single user.
TRU048	Technical	User Interface	Navigation	60932	7.4.3	The system shall ensure that intake, application, eligibility, enrollment and case maintenance data is integrated and seamless to the worker.
TRU049	Technical	User Interface	UI Tools	60941	7.4.4	The system shall employ a graphical user interface (GUI) that allows free movement throughout the system using pull-down menus, point and click navigation, and hot links for easy navigation between pre-determined screens.
TRU050	Technical	User Interface	UI Tools	60954	7.4.4	The system shall make major functionality accessible within two clicks from the navigational menu or link.
TRU052	Technical	User Interface	UI Tools	60955	7.4.4	The system shall allow the worker to open up multiple read/write windows for the same session.
TRU053	Technical	User Interface	UI Tools	60948	7.4.4	The system shall present long lists of records such as search results and lists of tasks in smaller groups with next/back functionality to minimize scrolling and page size.
TRU054	Technical	User Interface	UI Tools	60946	7.4.4	The system shall provide the worker an easily viewed navigation structure that allows them to know where they are at all times such as access to the home page and logout from anywhere within the system.
TRU055	Technical	User Interface	UI Tools	60956	7.4.4	The system shall provide sort by column functionality for all tabular or grid displays.
TRU056	Technical	User Interface	UI Tools	60947	7.4.4	The system shall require internet pages to link to Intranet sites with an appropriate warning or disclaimer to the public that they are about to enter a secured area and may not have access.
TRU057	Technical	User Interface	UI Tools	60944	7.4.4	The system shall provide edit checks which inform the applicant of required data for submission of application using a mechanism that does not impede the business process flow because information is missing.

TRU057.1	Technical	User Interface	UI Tools	60945	7.4.4	The system shall provide edit checks which inform the worker of required data for eligibility determination using a mechanism that does not impede the business process flow because information is missing.
TRU058	Technical	User Interface	UI Tools	60949	7.4.4	The system shall allow an authorized worker to copy, cut and paste text from applications that support the clipboard function into system narratives and vice versa.
TRU059	Technical	User Interface	UI Tools	60957	7.4.4	The system shall allow for screens that update data a means to exit without updating.
TRU060	Technical	User Interface	UI Tools	60953	7.4.4	The system shall clearly indicate the available exit method(s) on each screen to the worker in a standard format.
TRU061	Technical	User Interface	UI Tools	60958	7.4.4	The system shall allow forward/backward movement in multiple screen displays.
TRU062	Technical	User Interface	UI Tools	60951	7.4.4	The system shall allow all search results screens the capability to view and return the details associated with any specific search results.
TRU063	Technical	User Interface	UI Tools	60943	7.4.4	The system shall provide the ability to perform full word processing activities in any free form text field such as spelling/grammar checking and suggestions, bold/italicized font, underlining, copy/cut and paste.
TRU064	Technical	User Interface	UI Tools	60950	7.4.4	The system shall be fully compatible with the latest version of all major internet browsers such as Microsoft Internet Explorer, Mozilla Firefox, Apple Safari, etc.
TRU066	Technical	User Interface	UI Tools	60952	7.4.4	The system shall have the capability to generate and broadcast special notices upon demand such as for Hurricane or disaster information.
TRU067	Technical	User Interface	UI Tools	60942	7.4.4	The system shall provide the ability to propagate an update such that when a change is made to a designated global/master screen, the change will be translated and populated on other associated screens automatically.
TRU068	Technical	User Interface	Rules Engine	60961	7.4.5	The system shall maintain rules information resident in the database repositories in Plain English that include codes, policy, edits, audits, and other criteria that will control and enforce required operational processing.
TRU069	Technical	User Interface	Rules Engine	60959	7.4.5	The system shall provide a user-friendly, graphical front-end to the rules engine, enabling designated Agency workers to easily connect and apply, or disable, business rules more quickly; and usually without programmer intervention such as the ability to easily add new certifying agencies, new codes, and new indicators.
TRU070	Technical	User Interface	Rules Engine	60960	7.4.5	The system shall provide view access to business rules and print capability to authorized workers through use of GUI views such as to view business rules for system exceptions online and to trace exception rule dependencies.
TRU071	Technical	User Interface	Rules Engine	60963	7.4.5	The system shall provide a debugging process that automatically analyzes and identifies logical errors such as conflict, redundancy and incompleteness across business rules.
TRU072	Technical	User Interface	Rules Engine	60969	7.4.5	The system shall allow for business rules to be tested against production data prior to installation (i.e., model office environment).
TRU073	Technical	User Interface	Rules Engine	60975	7.4.5	The system shall allow for the tracking and reporting of business rules usage.

TRU07 4	Technical	User Interface	Rules Engine	60966	7.4.5	The system shall allow for business rules to be implemented in a real-time environment, and applied immediately upon approval, if desired.
TRU07 5	Technical	User Interface	Rules Engine	60974	7.4.5	The system shall provide the capability to manage implementation timing of the business rules, i.e. date driven by a "from" and "through" designation including open-ended end-dates.
TRU07 8	Technical	User Interface	Rules Engine	60965	7.4.5	The system shall provide a modular structure so that the same Rules Engine can be used by different services or be called as a service itself.
TRU07 9	Technical	User Interface	Rules Engine	60962	7.4.5	The system shall contain a process for a built-in multi-level rule review and approval process that will identify any conflicts in business rules as they are being developed.
TRU08 0	Technical	User Interface	Rules Engine	60964	7.4.5	The system shall provide edit processes that allow business rules to be configured by a trained business analyst and not hard coded in the system.
TRU08 1	Technical	User Interface	Rules Engine	60968	7.4.5	The system shall configure all edits and audits in the system so that the beginning and ending effective dates of the edits are defined.
TRU08 2	Technical	User Interface	Rules Engine	60972	7.4.5	The system shall support the ability to test actual or potential changes to business rules and procedures to allow designated Agency workers to perform hypothetical testing, scenario modeling, and "what if" analysis that tests the impact of a proposed business rule change resulting from policy or legislative changes.
TRU08 3	Technical	User Interface	Rules Engine	60970	7.4.5	The system shall store all business rules maintenance requirements in an audit trail that provides a history of the rules changes.
TRU08 5	Technical	User Interface	Workflow Management	60984	7.4.6	The system shall document and maintain definition and modeling of workflow processes and their constituent activities in a workflow management module.
TRU08 6	Technical	User Interface	Workflow Management	60983	7.4.6	The workflow management software shall support priorities, security alerts, and multi-routing of tasks such as escalation to multiple layers of management.
TRU08 7	Technical	User Interface	Workflow Management	60980	7.4.6	The system shall support end-to-end workflow design based on the bottom-up approach such that the required metrics, (i.e. date/time or cost related field(s)), are embedded or built into the definitions of the workflows themselves so that it can be used to collect and record data for purposes of measuring business performance.
TRU08 8	Technical	User Interface	Workflow Management	60991	7.4.6	The system shall provide the capability to view performance charts/diagrams on workflow processes in real-time such as histograms, run charts, trending run charts, pareto charts, and process capability calculations according to role-based security level.
TRU08 9	Technical	User Interface	Workflow Management	60985	7.4.6	The system shall provide flexibility in the workflow to allow access to other areas of the system outside of the workflow without loss of data.
TRU09 0	Technical	User Interface	Workflow Management	60990	7.4.6	The system shall support configurable and modifiable workflow of screens without changing program code.
TRU09 1	Technical	User Interface	Workflow Management	60986	7.4.6	The system shall contain automated workflow management capabilities for routing, reviewing, tracking, and updating.
TRU09 2	Technical	User Interface	Workflow Management	60987	7.4.6	The system shall provide a rules-based workflow engine that supports workflow access, assignments, and execution.

TRU093	Technical	User Interface	Workflow Management	60977	7.4.6	The system shall include run-time control functions for managing the workflow process in the Recipient Subsystem operational environment and sequencing the various activities to be handled as part of each process.
TRU094	Technical	User Interface	Workflow Management	60982	7.4.6	The system shall include run-time interactions with workers and Information Technology (IT) application tools for processing the operational activity steps.
TRU095	Technical	User Interface	Workflow Management	60989	7.4.6	The system shall include a user-friendly GUI for process definition, execution, monitoring, and management.
TRU096	Technical	User Interface	Workflow Management	60988	7.4.6	The system shall provide the ability to utilize user-defined templates that support various workflow processes.
TRU097	Technical	User Interface	Workflow Management	60976	7.4.6	The system shall provide the ability to link together separate workflow records, or customer service requests, based upon beneficiary or other entity ID, tracking number, case number, and other criteria specified by the Agency.
TRU098	Technical	User Interface	Workflow Management	60978	7.4.6	The system shall accept documents through various input methods such as: (a) Web Portal (b) E-mail (c) FAX (d) Internal creation from Personal Computers (PCs) (e) Imaging (f) System generated (g) Mailroom
TRU099	Technical	User Interface	Workflow Management	60981	7.4.6	The system shall provide a unified content management solution with versioning capabilities and appropriate change control, using appropriate industry standard technologies.
TRU100	Technical	User Interface	Workflow Management	60979	7.4.6	The system shall provide the ability to log every step in the process to a database, for query and reporting purposes such as employee production reporting and identification of low confidence areas.
TRU101	Technical	User Interface	Error Handling/Validation	60999	7.4.7	The system shall determine the Application Tracking Number (ATN) when an application is first saved and stores the ATN with the application in the following format: RRJJJJSSSSSS where RR (region) will indicate if it was submitted on paper or through the web app, JJJJ will indicate ?, and SSSSSS will indicate a unique sequence number.
TRU102	Technical	User Interface	Error Handling/Validation	60996	7.4.7	The system shall perform field and relationship editing on all data entered on the application such that all dates are valid.
TRU103	Technical	User Interface	Error Handling/Validation	61001	7.4.7	The system shall perform special editing on SSN such as: (a) No duplicate SSN on same application for different people (b) No patterns such as 123123123, 123456789, 111111111, 222222222 etc. (c) worker will have to type SSN twice when added to the system and the system will not allow copy/paste the second time (d) First SSN entered will be changed to display as asterisks once they tab to next field.
TRU104	Technical	User Interface	Error Handling/Validation	61000	7.4.7	The system shall be designed to eliminate duplicate records.

TRU105	Technical	User Interface	Error Handling/Validation	60994	7.4.7	The system shall display relevant errors or exceptions in plain English with a clear description of the message when system processing anomalies occur.
TRU106	Technical	User Interface	Error Handling/Validation	60997	7.4.7	The system shall include the ability to provide information to the State of Alabama's system monitoring tools so failures in hardware, software, and application components can be detected and sent out as alerts for example, to provide sufficient logging data to identify system issues and to allow remote testing tools to run scenarios in the application to detect performance or functional issues.
TRU107	Technical	User Interface	Error Handling/Validation	61002	7.4.7	The system shall record all error messages in the error log. The error message should include the module in which the error occurred, a descriptive reason for the error, an image of the data associated with the error, and unique identification for submission errors such as data that is incomplete or inconsistent with data field requirements.
TRU108	Technical	User Interface	Error Handling/Validation	60995	7.4.7	The system shall provide Agency workers with a mechanism to electronically forward error messages, screenshots, etc. to the Help Desk.
TRU109	Technical	User Interface	Error Handling/Validation	60993	7.4.7	The system shall provide an easy to use method for maintenance of error messages by authorized technical staff (i.e., modifying the verbiage of the message).
TRU110	Technical	User Interface	Error Handling/Validation	60992	7.4.7	The system shall provide an editing and validation function to support the correction of any data entry errors such as directing the worker to the location where data entry error(s) occur.
TRU111	Technical	User Interface	Error Handling/Validation	60998	7.4.7	The system shall prompt the worker when the worker attempts to close a window that has unsaved changes.
TRA037	Data Warehouse	Data Warehouse		60732	8.1	The system shall have the data readily available for extraction without impacting system performance data to the Applications Data Warehouse at intervals defined by the State.
TRA038	Data Warehouse	Data Warehouse		60734	8.1	The system shall allow authorized workers to have direct access to the Applications Data Warehouse through the application.
	Technical	Infrastructure	Performance and Scalability	61206	7.2.1	The vendor shall establish an extraction process of relevant data from the recipient subsystem
IEC013	Enterprise Content Management (ECM)	ECM Capture		60147	9.1	The ECM capture component shall have multiple user scanning capability and shall support scanning from multiple remote offsite locations.
IEC014	Enterprise Content Management (ECM)	ECM Capture		60151	9.1	The ECM shall have the capability to scan the front and back of documents, while skipping blank pages, in a single pass.
IEC034	Enterprise Content Management (ECM)	ECM Capture		60161	9.1	The ECM shall have the capability to support validation of scanning to ensure accuracy such as proper posting, quality of documents posted (legible), and two-sided copies captured.

IEC016	Enterprise Content Management (ECM)	ECM Capture		60137	9.1	<p>The capture software used to support the ECM shall have a resolution of not less than 200 dots per inch (DPI), and shall support variable resolution configuration and multiple compression algorithms including:</p> <p>300 DPI 600 DPI 1200 DPI TIFF PDF JPEG</p>
IEC017	Enterprise Content Management (ECM)	ECM Capture		60149	9.1	The ECM will support capture of multimedia including voice and video and associated codices including MP3, MP4, DIVX and MKV.
IEC018	Enterprise Content Management (ECM)	ECM Capture		60140	9.1	The ECM shall support both 2D barcode automated indexing and shall extract important information such as machine-printed text, bar codes, hand-printed words and checked boxes.
IEC018.1	Enterprise Content Management (ECM)	ECM Capture		60154	9.1	The ECM shall provide for automatic indexing wherever possible using OCR and other data recognition methods.
IEC019	Enterprise Content Management (ECM)	ECM Capture		60152	9.1	The ECM shall feature a system match and merge of incoming and system data that can be used to populate index fields.
IEC020	Enterprise Content Management (ECM)	ECM Capture		60144	9.1	The ECM shall also have the capability to allow manual indexing of each document into the appropriate case file by document type preferably by using a drop down list.
IEC033	Enterprise Content Management (ECM)	ECM Capture		60160	9.1	The ECM shall provide the capability for authorized workers to create and maintain selection lists for all supported data types (e.g., drop-down lists) for metadata items that are constrained to a pre-defined set of data.
IEC040	Enterprise Content Management (ECM)	ECM Capture		60167	9.1	The ECM shall allow only authorized workers to designate the metadata fields to be constrained to selection lists.
IEC022	Enterprise Content Management (ECM)	ECM Capture		60157	9.1	The ECM shall have the capability to add new index categories based on role permissions.

IEC023	Enterprise Content Management (ECM)	ECM Capture		60146	9.1	<p>The ECM shall allow elements that are copied to be maintained separately to facilitate search, retrieval, transfer, and archival. These include:</p> <ul style="list-style-type: none"> a) Transmission and Receipt Data b) Transmission and Receipt Data E-mail Record Metadata Field Name c) The intelligent name, the clear, uncoded, identifications of the sender d) E-mail Sender, may be mapped to Author or Originator e) The intelligent name of all primary addressees (or distribution lists) f) E-mail Addressee, may be mapped to Addressee(s) g) The intelligent name of all other addressees (or distribution lists) h) E-mail Other Addressee, may be mapped to Other Addressee(s) i) The date and time the message was sent. E-mail Date Sent, may be copied as Publication Date j) For messages received, the date and time the message was received (if available) k) E-mail Date Received, may be mapped to Date Received l) The subject of the message. E-mail Subject may be mapped to Subject, and optionally as Title
IEC024	Enterprise Content Management (ECM)	ECM Capture		60150	9.1	<p>The ECM shall provide user-selectable options of filing e-mail and e-mail attachments as a single record, filing selected e-mail items as worker records, or doing both. When the attachments are filed as worker records, the worker shall be provided the capability to enter the metadata.</p>
IEC026	Enterprise Content Management (ECM)	ECM Capture		60145	9.1	<p>The ECM component shall support capture extensions that permit the worker to file email directly from their email applicant/recipient into the electronic case folder.</p>
IEC027	Enterprise Content Management (ECM)	ECM Capture		60156	9.1	<p>The ECM shall automatically link e-mail records to their attachments when both are filed separately.</p>
IEC028	Enterprise Content Management (ECM)	ECM Capture		60139	9.1	<p>The ECM shall provide graphical user interface capabilities that allow an authorized worker to map standard-compliant e-mail application header fields to record metadata fields.</p>
IEC031	Enterprise Content Management (ECM)	ECM Capture		60138	9.1	<p>The ECM shall scan mixed batches of black & white, color documents, photos, ID cards (e.g., health insurance cards, driver licenses) and multiple paper sizes without having to presort them or process them separately</p>
IEC032	Enterprise Content Management (ECM)	ECM Capture		60141	9.1	<p>The ECM shall have the capability to scan documents into the system and associate them with specific applications, and organize in appropriate folders or categories such as income.</p>
IEC092	Enterprise Content Management (ECM)	ECM Capture		60235	9.1	<p>The ECM shall have the ability to capture paper and convert to a Portable Document Format (PDF).</p>

IRI34	Enterprise Content Management (ECM)	ECM Capture		61425	9.1	The system shall include a metadata field called county of residence, not just county
IEC030	Enterprise Content Management (ECM)	ECM Manage		60158	9.2	The ECM component shall automatically clean and optimize images.
IEC042	Enterprise Content Management (ECM)	ECM Manage		60170	9.2	The ECM shall have the capability to apply annotation (e.g. highlighting, notes or comments) and redaction to static images.
IEC043	Enterprise Content Management (ECM)	ECM Manage		60169	9.2	The system shall provide the option to apply, masks, redaction and display annotation based upon the security role of the authenticated user.
IEC093	Enterprise Content Management (ECM)	ECM Manage		60234	9.2	The ECM shall feature the following common viewer features: zoom in/out, rotate, and scroll through thumb nail images.
	Enterprise Content Management (ECM)	ECM Manage		61426	9.2	The system shall account for trailing documents and documents that are unidentified.
IEC035	Enterprise Content Management (ECM)	ECM Collaboration		60168	9.3	The ECM shall have the capability to interact seamlessly with a web-based portal.
IEC036	Enterprise Content Management (ECM)	ECM Collaboration		60166	9.3	The ECM shall provide customizable components / web-parts that can be consumed seamlessly within a WSRP web based portal.
IEC037	Enterprise Content Management (ECM)	ECM Collaboration		60164	9.3	The ECM shall allow authorized workers to have access to documents they are allowed to access stored related to their assigned applicant/eligible cases.
IEC041	Enterprise Content Management (ECM)	ECM Collaboration		60162	9.3	The ECM shall have the ability to support applicable security compliance standards and enforce all users and role based authentication and delegated security and access privileges defined in the system.
IEC038	Enterprise Content Management (ECM)	ECM Collaboration		60163	9.3	The ECM shall provide a web service interface and provide access points to the eligibility system to allow the authorized worker to view/change applicant/recipient and/or case data.
IEC039	Enterprise Content Management (ECM)	ECM Collaboration		60165	9.3	The ECM shall include the capability to provide open standards interfaces in order to integrate into an organization's information technology enterprise.

IEC044	Enterprise Content Management (ECM)	ECM Records Management		60178	9.4	<p>The ECM shall allow only authorized workers to define the cut-off criteria and, for each life cycle phase, define the following disposition components for a record category:</p> <ul style="list-style-type: none"> a) Retention period (e.g., fiscal year or calendar year) b) Disposition action (interim transfer, accession, or destroy) c) Location of interim transfer or accession location (if applicable) d) Schedule and reschedule records and/or record folders. <p>Mandatory disposition types include:</p> <ul style="list-style-type: none"> i. Time Dispositions. A cyclical process where records are eligible to enter their disposition lifecycle immediately after the conclusion of a fixed period of time following organization-defined cut-off (e.g., days, months, years). ii. Event Dispositions. A unique event(s) process where records are eligible for disposition immediately after a specified event takes place (i.e., the event acts as cut-off and there is no retention period). iii. Time-Event Dispositions. A unique event(s) process where the timed retention periods are triggered after a specified event takes place (i.e., the event makes the record folder eligible for closing and/or cut-off and there is a retention period).
IEC045	Enterprise Content Management (ECM)	ECM Records Management		60182	9.4	The ECM shall allow authorized workers to indicate when the specified event has occurred for records and folders with event- and time-event-driven dispositions.
IEC046	Enterprise Content Management (ECM)	ECM Records Management		60176	9.4	The system shall provide the capability to identify in a summarized report, record folders eligible for deletion/archiving and present them only to the authorized worker(s) for approval.
IEC046.1	Enterprise Content Management (ECM)	ECM Records Management		60186	9.4	The system shall provide in the summarized report, dynamic linkages to the record folders to view contents of folders eligible for cut-off.
IEC047	Enterprise Content Management (ECM)	ECM Records Management		60191	9.4	The ECM shall provide the capability to implement cut-off instructions for scheduled and unscheduled record folders.
IEC048	Enterprise Content Management (ECM)	ECM Records Management		60173	9.4	The ECM shall provide the capability to cut-off and start the first disposition phase of a record or folder life cycle as controlled by the disposition instruction attached to the file plan record category or records schedule.
IEC049	Enterprise Content Management (ECM)	ECM Records Management		60179	9.4	The system shall support multiple events per disposition instruction by requiring that one or more be necessary to trigger deletion/archiving actions.
IEC051	Enterprise Content Management (ECM)	ECM Records Management		60171	9.4	The system shall provide for sorting, viewing, saving, and printing lists and partial lists of unscheduled record folders and/or records.
	Enterprise Content Management (ECM)	ECM Records Management		61427	9.4	The system shall not allow deletions of current cases and documents before approved destruction date.
IEC071	Enterprise Content Management (ECM)	ECM Store		60199	9.5	The ECM shall have the ability to compress data to reduce the length of time it takes to transmit data.

IEC071 .1	Enterprise Content Management (ECM)	ECM Store		61238	9.5	The ECM shall support storage of renditions of content using multiple loss and lossless compression algorithms for example TIFF, PDFJ, PEG, MP3, etc.
IEC071 .2	Enterprise Content Management (ECM)	ECM Store		61239	9.5	The ECM shall have the ability to store both the original and compressed renditions of content.
IEC072	Enterprise Content Management (ECM)	ECM Store		60204	9.5	The ECM shall date stamp and log every access occurrence on a document in order to create the audit trail required for HIPAA compliance.
IEC073	Enterprise Content Management (ECM)	ECM Store		60203	9.5	The ECM shall not require workers to save attachments to a hard drive or other media prior to filing them separately from the e-mail message.
IEC077	Enterprise Content Management (ECM)	ECM Store		60201	9.5	The ECM shall delete electronic records approved for destruction in a manner that prevents their physical reconstruction using commonly available file restoration utilities.
IEC078	Enterprise Content Management (ECM)	ECM Store		60205	9.5	The ECM shall provide an option allowing the organization to select whether to retain or delete the metadata of destroyed records.
IEC079	Enterprise Content Management (ECM)	ECM Store		60208	9.5	The ECM shall restrict the records destruction commands to authorized workers.
IEC069	Enterprise Content Management (ECM)	ECM Workflow		60196	9.6	The ECM shall have the capability to accept and file documents from applications received and provide support to the organization's workflow.
IEC070	Enterprise Content Management (ECM)	ECM Workflow		60198	9.6	The ECM shall support recurring events (e.g., recertification).
	Enterprise Content Management (ECM)	ECM Workflow		61409	9.6	The system shall be able to determine when documents are scanned that trigger worker action (i.e. new application, review form) and populate the date scanned into the system and generate a system alert to the worker.
IEC081	Enterprise Content Management (ECM)	ECM Preserve		60219	9.7	The ECM shall meet Federal and State records retention standards.
IEC082	Enterprise Content Management (ECM)	ECM Preserve		60215	9.7	The system shall support the request for records as defined by the Alabama Public Records Law Statutes Code §41.13.1 - 41.13.44
IEC083	Enterprise Content Management (ECM)	ECM Preserve		60211	9.7	The ECM shall provide for sorting, viewing, saving, printing, identification, search, retrieval, display, archiving and linking of record folder metadata and/or record metadata regardless of media based on any combination of record category, disposition, folder and/or record metadata including organization-defined metadata and system generated metadata.
IEC086	Enterprise Content Management (ECM)	ECM Preserve		60212	9.7	The ECM shall allow authorized workers the capability to enter a reference "as-of" date to support searching for documents.

IEC094	Enterprise Content Management (ECM)	ECM Search		60223	9.8	The ECM shall leverage the use of digital signature technology to validate and authenticate any digital transmission, in accordance with the Uniform Electronic Transactions Act (UETA), and in accordance with Alabama 2001 Ala. Code §8-1A-1 et seq. (UETA was developed by the National Conference of Commissioners on Uniform State Laws to provide a legal framework for the use of electronic signatures and records in government or business transactions. UETA makes electronic records and signatures as legal as paper and manually signed signatures.)
IEC095	Enterprise Content Management (ECM)	ECM Search		60231	9.8	The ECM shall allow workers to browse and search the records stored in the file plan based on their user access permissions.
IEC096	Enterprise Content Management (ECM)	ECM Search		60229	9.8	The ECM shall allow searches using any combination of the record category, record and/or folder metadata elements, including organization-defined and system-generated metadata.
IEC096.1	Enterprise Content Management (ECM)	ECM Search		60225	9.8	The ECM shall allow authorized workers to search records by name, SSN, recipient ID, case ID, worker ID, worker name, document type, date, date ranges, case action type, or any combination of the above.
IEC097	Enterprise Content Management (ECM)	ECM Search		60233	9.8	The ECM shall allow the worker to specify partial matches and shall allow designation of "wild card" fields or characters.
IEC098	Enterprise Content Management (ECM)	ECM Search		60221	9.8	The ECM shall allow searches using combinations of Boolean and relational operators:"and," "and not," "or," "greater than" (>), "less than" (<), "equal to" (=), "not equal to" (<>), is blank, is null, not blank, and not null, and shall provide a mechanism to override the default (standard) order of precedence.
IEC099	Enterprise Content Management (ECM)	ECM Search		60228	9.8	The ECM shall present the worker with a list of records and/or folders meeting the retrieval criteria, or notify the worker if there are no records and/or folders meeting the retrieval criteria.
IEC100	Enterprise Content Management (ECM)	ECM Search		60230	9.8	The ECM shall allow the worker to select and group results, and to order the columns presented in the search results list for viewing, transmitting, printing, etc.
IEC101	Enterprise Content Management (ECM)	ECM Search		60226	9.8	The ECM shall provide to the worker's workspace (filename, location, or path name specified by the worker) copies of electronic records, selected from the list of records meeting the retrieval criteria, in the filing format in which they were provided to the ECM for filing, and shall not require that applications necessary to manipulate the records be installed on the retrieving workstation.
IEC102	Enterprise Content Management (ECM)	ECM Search		60222	9.8	The ECM shall provide the capability for filed e-mail records to be retrieved back into a compatible e-mail application for viewing, forwarding, replying, and any other action within the capability of the e-mail application.
IEC103	Enterprise Content Management (ECM)	ECM Search		60224	9.8	The ECM shall provide authorized workers a choice of retrieving filed records to their workspace or into a compatible application for viewing, editing, and any other action within the capability of the application.

IEC105	Enterprise Content Management (ECM)	ECM Search		60232	9.8	The ECM shall allow workers to select any number of documents, and their metadata, for retrieval from the search results list.
IEC106	Enterprise Content Management (ECM)	ECM Search		60236	9.8	The ECM shall allow the worker to abort a search.